



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. K. Michael Conaway

**Status:** Member

**State/District:** TX11

## TRANSACTIONS

| ID | Owner                                | Asset  | Transaction Type | Date       | Notification Date | Amount  |
|----|--------------------------------------|--|------------------|------------|-------------------|---|
| JT |                                      | INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY<br>FILING STATUS: New<br>SUBHOLDING OF: JT M39 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY | P                | 01/26/2017 | 01/31/2017        | \$1,001 - \$15,000  |
| SP | JP Morgan Chase & Co. (JPM)          |  | S                | 01/18/2017 | 01/23/2017        | \$1,001 - \$15,000<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)   |
| SP | Philip Morris International Inc (PM) |  | P                | 01/18/2017 | 01/23/2017        | \$1,001 - \$15,000<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)   |
| SP | R2000 STEPUP ISSUER BARC STEP 35.00% |  | P                | 01/26/2017 | 02/2/2017         | \$15,001 - \$50,000<br>FILING STATUS: New<br>SUBHOLDING OF: SP M40 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: R2000 STEPUP ISSUER BARC STEP 35.00% |
| SP | Simon Property Group, Inc. (SPG)     |  | P                | 01/18/2017 | 01/23/2017        | \$1,001 - \$15,000<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)   |
| SP | Simon Property Group, Inc. (SPG)     |  | P                | 01/18/2017 | 01/23/2017        | \$1,001 - \$15,000<br>FILING STATUS: New  |

| ID | Owner Asset   | Transaction Type | Date       | Notification Date | Amount                |
|----|---|------------------|------------|-------------------|-----------------------|
|    | SUBHOLDING OF: SP M81 (Merrill Lynch)   |                  |            |                   |                       |
| SP | SP500 CLIRN ISSUER CS CAP 19.85%  | S                | 01/17/2017 | 01/20/2017        | \$100,001 - \$250,000 |
|    | FILING STATUS: New<br>SUBHOLDING OF: SP M40 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: SP500 CLIRN ISSUER CS CAP 19.85%      |                  |            |                   |                       |
| SP | SP500 CLIRN ISSUER CS CAP 19.85%  | S                | 01/17/2017 | 01/20/2017        | \$15,001 - \$50,000   |
|    | FILING STATUS: New<br>SUBHOLDING OF: Trust M69 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: SP500 CLIRN ISSUER CS CAP 19.85%   |                  |            |                   |                       |
| SP | SP500 STEPUP ISSUER BNS STEP 16.30%   | S                | 01/17/2017 | 01/20/2017        | \$100,001 - \$250,000 |
|    | FILING STATUS: New<br>SUBHOLDING OF: SP M40 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: SP500 STEPUP ISSUER BNS STEP 16.30%   |                  |            |                   |                       |
| SP | SP500 STEPUP ISSUER HSBC STEP 35.00%  | P                | 01/26/2017 | 02/2/2017         | \$15,001 - \$50,000   |
|    | FILING STATUS: New<br>SUBHOLDING OF: Trust W66 (Wells Fargo)<br>LOCATION: US<br>DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% |                  |            |                   |                       |
| SP | SP500 STEPUP ISSUER HSBC STEP 35.00%  | P                | 01/26/2017 | 02/2/2017         | \$50,001 - \$100,000  |
|    | FILING STATUS: New<br>SUBHOLDING OF: SP M40 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00%  |                  |            |                   |                       |
| SP | SPX/SX5E STEPUP ISS HSBC STEP 22.80%  | P                | 01/19/2017 | 01/24/2017        | \$50,001 - \$100,000  |
|    | FILING STATUS: New<br>SUBHOLDING OF: SP M37 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: SPX/SX5E STEPUP ISS HSBC STEP 22.80%  |                  |            |                   |                       |
| SP | US BANCORP JR SUBORDNTD   | S                | 01/19/2017 | 01/24/2017        | \$15,001 - \$50,000   |
|    | FILING STATUS: New<br>SUBHOLDING OF: SP M37 (Merrill Lynch)<br>DESCRIPTION: US BANCORP JR SUBORDNTD                               |                  |            |                   |                       |

| ID | Owner Asset  | Transaction Date Type | Transaction Date | Notification Date | Amount              |
|----|--|-----------------------|------------------|-------------------|---------------------|
| SP | WELLS FARGO & COMPANY JR SUBORDNTD SER<br>FILING STATUS: New<br>SUBHOLDING OF: SP M37 (Merrill Lynch)<br>DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER | S                     | 01/19/2017       | 01/24/2017        | \$15,001 - \$50,000 |

### ASSET CLASS DETAILS

- JT M39 (Merrill Lynch) (Owner: JT)  
LOCATION: US
- SP M37 (Merrill Lynch) (Owner: SP)  
LOCATION: US
- SP M40 (Merrill Lynch) (Owner: SP)  
LOCATION: US
- SP M81 (Merrill Lynch) (Owner: SP)  
DESCRIPTION: Managed Account – Not self-directed
- Trust M69 (Merrill Lynch) (Owner: SP)
- Trust W66 (Wells Fargo) (Owner: SP)

### INITIAL PUBLIC OFFERINGS

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. K. Michael Conaway , 02/6/2017