



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Debbie Wasserman Schultz  
**Status:** Member  
**State/District:** FL23

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 08/2/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
"For The Next Generation" Published by St. Martin's Press [IP]		Undetermined	Royalties	None	<input type="checkbox"/>
Aspen Aerogels, Inc. (ASPN) [ST] DESCRIPTION: Dependent Child B	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Aspen Aerogels, Inc. (ASPN) [ST] DESCRIPTION: Dependent Child A	DC	\$1 - \$1,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
AstroNova, Inc. (ALOT) [ST] DESCRIPTION: Dependent Child A	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
AstroNova, Inc. (ALOT) [ST] DESCRIPTION: Dependent Child B	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Bank of the Ozarks SSGA Cash Series US Gov't Fund => Fidelity Balanced Fund [MF] DESCRIPTION: Bank of the Ozarks Retirement Savings Account that was rolled over in 2017	SP	None	Tax-Deferred		<input type="checkbox"/>
Calamos Asset Management, Inc. - Class A (CLMS)	DC	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[ST]					
DESCRIPTION: Dependent Child C					
Call Keryx Biopharmaceuticals, Inc. \$6 [OP]	DC	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dependent Child A Expiration Date: 6/16/2017 Strike Price: \$6.00					
Call Keryx Biopharmaceuticals, Inc. \$6 [OP]	DC	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dependent Child B Expiration Date: 6/16/2017 Strike Price: \$6.00					
Call Keryx Biopharmaceuticals, Inc. \$6 [OP]	DC	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dependent Child C Expiration Date: 6/16/2017 Strike Price: \$6.00					
Call New Gold, Inc. \$3.5 [OP]	DC	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dependent Child A Expiration Date: 5/19/2017 Strike Price: \$3.50					
Call New Gold, Inc. \$3.5 [OP]	DC	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dependent Child C Expiration Date: 5/19/2017 Strike Price: \$3.50					
Call Quantum CP DLT & ST\$8 [OP]	DC	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dependent Child A Expiration Date: 1/19/2018 Strike Price: \$8.00					
Call Quantum CP DLT & ST\$8 [OP]	DC	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dependent Child B Expiration Date: 1/19/2018 Strike Price: \$8.00					
Call Quantum CP DLT & ST\$8 [OP]	DC	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dependent Child C Expiration Date: 1/19/2018 Strike Price: \$8.00					
Charles Schwab Deposit Account [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent A					
Charles Schwab Deposit Account [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent B					
Charles Schwab Deposit Account [BA]	DC	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent C					
Communications Systems, Inc. (JCS) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child A					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Communications Systems, Inc. (JCS) [ST] DESCRIPTION: Dependent Child B	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Communications Systems, Inc. (JCS) [ST] DESCRIPTION: Dependent Child C	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Comtech Telecommunications Corp. (CMTL) [ST] DESCRIPTION: Dependent Child A	DC	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Comtech Telecommunications Corp. (CMTL) [ST] DESCRIPTION: Dependent Child B	DC	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Comtech Telecommunications Corp. (CMTL) [ST] DESCRIPTION: Dependent Child C	DC	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DNC 401K SSGA Cash Series US Gov't Fund ⇒ DNC 401K SSGA cash Series US Gov't Fund Class L [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Florida Prepaid College Plan ⇒ 529 Florida Prepaid College Plan [5P] LOCATION: FL DESCRIPTION: Dependent child B		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Florida Prepaid College Plan ⇒ 529 Florida Prepaid College Plan [5P] LOCATION: FL DESCRIPTION: Dependent child A		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Florida Prepaid College Plan ⇒ 529 Florida Prepaid College Plan [5P] LOCATION: FL DESCRIPTION: Dependent child C		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Frontier Communications Corporation (FTR) [ST] DESCRIPTION: Dependent Child A	DC	\$1 - \$1,000	None		<input type="checkbox"/>
Frontier Communications Corporation (FTR) [ST] DESCRIPTION: Dependent Child B	DC	\$1 - \$1,000	None		<input type="checkbox"/>
Frontier Communications Corporation (FTR) [ST] DESCRIPTION: Dependent Child C	DC	\$1 - \$1,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Home BancShares, Inc. - common stock (HOMB) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Keryx Biopharmaceuticals, Inc. (KERX) [ST]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Dependent A					
Keryx Biopharmaceuticals, Inc. (KERX) [ST]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: dependent B					
Keryx Biopharmaceuticals, Inc. (KERX) [ST]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Dependent C					
L.S. Starrett Company (SCX) [ST]	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child A					
L.S. Starrett Company (SCX) [ST]	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child B					
L.S. Starrett Company (SCX) [ST]	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child C					
Medical Properties Trust, Inc. common stock (MPW) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent Child A					
Medical Properties Trust, Inc. common stock (MPW) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent Child B					
Medical Properties Trust, Inc. common stock (MPW) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent C					
Millennium Trust IRA [IH]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
New Gold Inc. (NGD) [ST]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Dependent C					
New Gold Inc. (NGD) [ST]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Dependent B					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
New Gold Inc. (NGD) [ST] DESCRIPTION: Dependent A	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
Personal Residence [RP] LOCATION: Newbury, NH, US	JT	\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
Quantum Corporation (QTM) [ST] DESCRIPTION: Dependent Child A	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Quantum Corporation (QTM) [ST] DESCRIPTION: Dependent Child C	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Quantum Corporation (QTM) [ST] DESCRIPTION: Dependent Child B	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Richardson Electronics, Ltd. (RELL) [ST] DESCRIPTION: Dependent Child A	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Richardson Electronics, Ltd. (RELL) [ST] DESCRIPTION: Dependent Child B	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Richardson Electronics, Ltd. (RELL) [ST] DESCRIPTION: Dependent Child C	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Schwab Value Advantage Money Fund [MF] DESCRIPTION: Dependent Child A	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Schwab Value Advantage Money Fund [MF] DESCRIPTION: Dependent Child B	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Schwab Value Advantage Money Fund [MF] DESCRIPTION: Dependent Child C	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
State of Florida Pension [PE] DESCRIPTION: Defined Benefit Pension Plan. Monthly Retirement benefit at age 62 to be determined		Undetermined	None		<input type="checkbox"/>
State of israel 4th Mazel Tov savings [CS]	DC	None	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Dependent child A					
State of israel 4th Mazel Tov savings [CS]	DC	None	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent child B					
Stonegate Bank (SGBK) [ST]	DC	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child A					
Stonegate Bank (SGBK) [ST]	DC	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child B					
Wells Fargo Bank N.A Savings Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Bank N.A Savings Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Bank N.A. Checking Account [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent Child A					
Wells Fargo Bank N.A. Checking Account [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent Child B					
Westell Technologies, Inc. - Class A (WSTL) [ST]	DC	\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child A					
Westell Technologies, Inc. - Class A (WSTL) [ST]	DC	\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dependent Child B					
Westell Technologies, Inc. - Class A (WSTL) [ST]	DC	\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child C					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Aspen Aerogels, Inc. (ASPN) [ST] DESCRIPTION: Dependent Child B	DC	11/22/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of the Ozarks SSGA Cash Series US Gov't Fund ⇒ Fidelity Balanced Fund [MF]	SP	11/7/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Calamos Asset Management, Inc. - Class A (CLMS) [ST]	DC	01/6/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Communications Systems, Inc. (JCS) [ST] DESCRIPTION: Dependent Child C	DC	06/30/2017	P	\$1,001 - \$15,000	
Communications Systems, Inc. (JCS) [ST] DESCRIPTION: Dependent Child B	DC	06/30/2017	P	\$1,001 - \$15,000	
Communications Systems, Inc. (JCS) [ST] DESCRIPTION: Dependent Child A	DC	06/30/2017	P	\$1,001 - \$15,000	
Comtech Telecommunications Corp. (CMTL) [ST]	DC	02/10/2017	P	\$1,001 - \$15,000	
Comtech Telecommunications Corp. (CMTL) [ST]	DC	02/10/2017	P	\$1,001 - \$15,000	
Comtech Telecommunications Corp. (CMTL) [ST]	DC	02/10/2017	P	\$1,001 - \$15,000	
Comtech Telecommunications Corp. (CMTL) [ST] DESCRIPTION: Dependent Child C	DC	03/29/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Comtech Telecommunications Corp. (CMTL) [ST] DESCRIPTION: Dependent Child B	DC	03/29/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Comtech Telecommunications Corp. (CMTL) [ST] DESCRIPTION: Dependent Child A	DC	03/29/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Home BancShares, Inc. - common stock (HOMB) [ST] DESCRIPTION: 527 shares of SGBK stock jointly owned by Dependent Child A and Dependent Child B, with Spouse as Custodian, were exchanged for cash and stock in Home Bancshares, Inc. (HOMB).	JT	09/26/2017	E	\$15,001 - \$50,000	
Keryx Biopharmaceuticals, Inc. (KERX) [ST]	DC	02/10/2017	P	\$1,001 - \$15,000	
L.S. Starrett Company (SCX) [ST]	DC	10/9/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Dependent Child C					
L.S. Starrett Company (SCX) [ST]	DC	10/9/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent Child B					
L.S. Starrett Company (SCX) [ST]	DC	10/9/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent Child A					
New Gold Inc. (NGD) [ST]	DC	01/17/2017	P	\$1,001 - \$15,000	
Quantum Corporation (QTM) [ST]	DC	06/1/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent Child C					
Quantum Corporation (QTM) [ST]	DC	06/1/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent Child B					
Quantum Corporation (QTM) [ST]	DC	06/1/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent Child A					
Richardson Electronics, Ltd. (RELL) [ST]	DC	02/10/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent Child C					
Richardson Electronics, Ltd. (RELL) [ST]	DC	11/22/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child B					
Schwab Value Advantage Money Fund [MF]	DC	10/16/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent Child A					
Schwab Value Advantage Money Fund [MF]	DC	10/16/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent Child B					
Schwab Value Advantage Money Fund [MF]	DC	10/16/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent Child C					
Stonegate Bank (SGBK) [ST]	DC	03/30/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child B					
Stonegate Bank (SGBK) [ST]	DC	03/30/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent Child B					



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stonegate Bank (SGBK) [ST] DESCRIPTION: Dependent Child A	DC	03/30/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stonegate Bank (SGBK) [ST] DESCRIPTION: 527 shares of SGBK stock jointly owned by Dependent Child A and Dependent Child B, with Spouse as custodian, were exchanged for cash and stock in Home BancShares, Inc. (HOMB).	JT	09/26/2017	E	\$15,001 - \$50,000	
Westell Technologies, Inc. - Class A (WSTL) [ST] DESCRIPTION: Dependent Child A	DC	11/22/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Westell Technologies, Inc. - Class A (WSTL) [ST] DESCRIPTION: Dependent Child C	DC	11/22/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
First Green Bank	Spouse Salary	N/A
Caremi Partners, Ltd.	Spouse Salary	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Suntrust Mortgage Inc.	December 2005	Mortgage on personal residence Weston FL	\$500,001 - \$1,000,000
JT	Suntrust Bank	March 2005	Home Equity Line of credit on personal residence Weston FL	\$250,001 - \$500,000
	Wells Fargo Bank N.A.	December 2013	Line of Credit	\$15,001 - \$50,000
JT	Claremont Savings Bank	February 2017	Mortgage on personal residence Newbury NH	\$250,001 - \$500,000
JT	Claremont Savings Bank	October 2015	Home improvement loan	\$10,000 - \$15,000

### SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2004	Myself and the State of Florida	Defined Benefit pension Plan . Monthly retirement benefit at age 62 to be determined

## SCHEDULE G: GIFTS

Source	Description	Value
KaiserDillon PLLC (Washington, DC, US)	pro bono legal services	\$56,104.50

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o Bank of the Ozarks SSGA Cash Series US Gov't Fund (Owner: SP)
- o DNC 401K SSGA Cash Series US Gov't Fund
- o Florida Prepaid College Plan  
LOCATION: FL
- o Florida Prepaid College Plan  
LOCATION: FL
- o Florida Prepaid College Plan  
LOCATION: FL

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Debbie Wasserman Schultz , 08/2/2018