# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Scott R. Tipton

Status: Member State/District: CO03

#### FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2017

**Filing Date:** 05/14/2018

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
110 Whistlepig Way Site 87 [RP]		\$250,001 - \$500,000	None		
LOCATION: Ophir/ San Miguel, CO, US					
701 3rd Street [RP]		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	
Location: Cortez/ Montezuma, CO, US					
702 Arbor Street [RP]		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	
Location: Cortez/ Montezuma, CO, US					
Alliant Energy Corporation (LNT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	
Bank of America Corporation (BAC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	
CenturyLink, Inc. (CTL) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Hidden Valley CRM 1 sec NE 1/4 Sec Rd 26 [RP]		\$1,000,001 - \$5,000,000	None		
LOCATION: Cortez/ Montezuma, CO, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Intel Corporation (INTC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
John Hancock Premium Dividend Fund (PDT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
New York Life [WU]		\$100,001 - \$250,000	None		
Pelty Building Highway 160 East [RP]  LOCATION: Cortez/ Montezuma, CO, US		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	
Pepsico, Inc. (PEP) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Royal Dutch Shell PLC Ordinary Shares A (RYDAF) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Scott R. Tipton Trust ⇒ Alliant Energy Corporation (LNT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ Ameritrade C.E.A. [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Scott R. Tipton Trust ⇒ Ashland Inc. (ASH) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Scott R. Tipton Trust ⇒ AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Scott R. Tipton Trust ⇒ Bank of America Corporation Depositary Share representing 1/25th Preferred Series U (BCXQL) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	П
Scott R. Tipton Trust ⇒ Broomfield Revenue Bond [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Scott R. Tipton Trust ⇒ CenturyLink, Inc. (CTL) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Scott R. Tipton Trust ⇒ CenturyLink, Inc. (CTL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ Denver Airport Revenue Bond [GS]		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	
Scott R. Tipton Trust ⇒ Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Scott R. Tipton Trust ⇒ Hewlett-Packard Company (HPQ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Scott R. Tipton Trust ⇒ Las Cruces Revenue Bond [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
Scott R. Tipton Trust ⇒ Marathon Oil Corporation (MRO) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ Morgan Stanley Capital Trust VII Cap Secs (MSZ) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Scott R. Tipton Trust ⇒  National Semiconductor Corporation [PS]  DESCRIPTION: This stock is not publicly traded		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Scott R. Tipton Trust ⇒ PacWest Bancorp (PACW) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	П
Scott R. Tipton Trust ⇒ ProMedCo Management Company (PMCOQ) [ST]		\$1,001 - \$15,000	None		
Scott R. Tipton Trust ⇒ Questar Corporation (STR) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
Scott R. Tipton Trust ⇒ Questar Corporation (STR) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Scott R. Tipton Trust ⇒ Ridges Metro District [GS]	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
DESCRIPTION: Data Entry Error- Previously checked dividend. Sh	ould have been interest.			
Scott R. Tipton Trust ⇒ RMA Money Market Fund [BA]	\$15,001 - \$50,000	Dividends	\$1 - \$200	П
Scott R. Tipton Trust ⇒ Royal Bank of Scotland Group PLC Ordinary Shares (RBSPF) [ST]	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	П
Scott R. Tipton Trust ⇒ Summit County Revenue Bond [GS]	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
Scott R. Tipton Trust $\Rightarrow$ The Travelers Companies, Inc. (TRV) [ST]	\$15,001 - \$50,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ Tim Hortons Inc. Common Shares (THI) [ST]	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ UBS AG Trust Preferred Securities (UBS\$D) [ST]	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П
Scott R. Tipton Trust ⇒ UBS RMA Tax Free Fund Inc [MF]	\$15,001 - \$50,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust ⇒ Zions Bancorporation (ZION) [ST]	\$15,001 - \$50,000	Dividends	\$1 - \$200	
Scott R. Tipton Trust $\Rightarrow$ Zweig Total Return Fund, Inc. (ZTR) [ST]	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Scott Tipton Exempt ⇒ Ameritrade C.E.A. [BA]	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott Tipton Exempt ⇒ Ashland Inc. (ASH) [ST]	\$15,001 - \$50,000	Dividends	\$1 - \$200	
Scott Tipton Exempt ⇒ AT&T Inc. (T) [ST]	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Scott Tipton Exempt ⇒ Bank of America Corporation (BAC) [ST]	\$1,001 - \$15,000	Dividends	\$1 - \$200	П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Scott Tipton Exempt ⇒ Broomfield Bond Co [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Scott Tipton Exempt ⇒ Denver Co Revenue Bond [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Scott Tipton Exempt ⇒ Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Scott Tipton Exempt ⇒ Exxon Mobil Corporation (XOM) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
Scott Tipton Exempt ⇒ GlaxoSmithKline PLC (GSK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Scott Tipton Exempt $\Rightarrow$ Helios Advantage Income Fund, Inc. New Common Stock. (HAV) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Scott Tipton Exempt ⇒ Kinder Morgan Energy Partners, L.P. (KMP) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Scott Tipton Exempt ⇒ Occidental Petroleum Corporation (OXY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Scott Tipton Exempt ⇒ PowerShares QQQ Trust, Series 1 (QQQ) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	
Scott Tipton Exempt ⇒ RMA Money Market Fund [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200	П
Scott Tipton Exempt $\Rightarrow$ The Travelers Companies, Inc. (TRV) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott Tipton Exempt ⇒ UBS AG (UBS) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	
Scott Tipton Exempt ⇒ UBS AG Trust Preferred Securities (UBS\$D) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Scott Tipton Exempt ⇒ United Parcel Service, Inc. (UPS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Scott Tipton Exempt ⇒ Vectra Bank CCA [BA]		\$100,001 - \$250,000	Dividends	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Scott Tipton Exempt ⇒ Zweig Total Return Fund, Inc. (ZTR) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
State of Colorado Vanguard Index Fund [IH]		\$1,001 - \$15,000	None		П
Tipton Properties ⇒ 27601 Highway 160 [RP]  Location: Cortez/ Montezuma, CO, US  Description: Sale of Building.		\$500,001 - \$1,000,000	None		П
UBS AG (UBS) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Walt Disney Company (DIS) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Zions Bancorporation (ZION) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	П

<sup>\*</sup> Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$ 

## SCHEDULE B: TRANSACTIONS

None disclosed.

#### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

None disclosed.

#### SCHEDULE E: Positions

None disclosed.

#### SCHEDULE F: AGREEMENTS

None disclosed.

#### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions			
Source	Start Date	<b>End Date</b>	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?	
The German Marshall Fund of the US, Robert Bosch Stiftung	02/18/2017	02/23/2017	Durango, CO - Elmau, Germany - Berlin, Germany - Durango, CO	0	<b>∀</b>	<b>▽</b>	<b>~</b>	
The Aspen Institute, Inc (Congressional Program)	08/9/2017	08/15/2017	Denver, CO - Oslo, Norway - Durango, CO	0	$\overline{\lor}$	<u>~</u>	<u>~</u>	
The Aspen Institute, Inc (Congressional Program)	05/29/2017	06/4/2017	Durango, CO - Berlin, Germany - Durango, CO	O	<b>▽</b>	<u> </u>	<u>~</u>	

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- Scott R. Tipton Trust (100% Interest)
- Scott Tipton Exempt (100% Interest)
- Tipton Properties (50% Interest) Location: Cortez/ Montezuma, CO, US

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO : Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

© Yes © No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Scott R. Tipton, 05/14/2018