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## FILER INFORMATION

Name:	Hon. Scott H. Peters
Status:	Member
State/District:	CA52

#### FILING INFORMATION

Filing Type:	Annual Report
Filing Year:	2017
Filing Date:	05/9/2018

# Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Angel Oak Multi Strategy (ANGIX) [MF]		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	
Angel Oak Multi Strategy (ANGIX) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$100,001 - \$1,000,000	
At Mid Cap Eqty Fd Inst [MF]		\$250,001 - \$500,000	None		
At Mid Cap Eqty Fd Inst [MF]	SP	Spouse/DC Over \$1,000,000	None		
Atlantic Trust Disc Eqty [MF]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Dividends, Distributions	\$100,001 - \$1,000,000	
Atlantic Trust Disc Eqty [MF]		\$1,000,001 - \$5,000,000	Capital Gains, Dividends, Distributions	\$50,001 - \$100,000	Π
Austin TX Water Bonds [GS]		\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	

Asset	Owner	Value of Asset Income Income Type(s)		Tx. > \$1,000?	
Bank of America Cash Account [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	
Bay Area Toll RV Toll Tran [GS]		\$250,001 - \$500,000	None		$\checkmark$
Bay Area Toll RV Toll Tran [GS]	SP	\$500,001 - \$1,000,000	None		<b>V</b>
Blue Water Pandero Investors LLC [OL]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Interest, Partnership Income, Rent	\$15,001 - \$50,000	
LOCATION: Bowling Green, KY, US DESCRIPTION: Quarry Mining & Manufacturing					
Butte-Glenn Muni Bonds [GS]		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	
CA State Dept. of Water Bonds [GS]		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	
CA State School Building [GS]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	V
Calleguas ETC CA [GS]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	V
Cameron Investment Corp [OT]		Spouse/DC Over \$1,000,000	None		
DESCRIPTION: Family investment office used to hold ot	her assets, s	ecurities and income	that are separately ite	mized on this report	
Charles Schwab Cash Account [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	
Charles Schwab Cash Account [BA]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	
East Bay Muni Util Dist, CA [GS]	SP	\$500,001 - \$1,000,000	None		×
Eastern CA MU [GS]	SP	None	Interest	\$1,001 - \$2,500	$\checkmark$
Harbor International FD Inst CL [MF]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	$\checkmark$

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Harding Loevner Inst Emerg Mkts [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	V
Harris County TX Flood Bonds [GS]		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	
Henderson Enterprises Inc. [OL] LOCATION: Manchester, IA, US DESCRIPTION: Manufacturing Company	SP	None	Capital Gains	\$100,001 - \$1,000,000	Π
Hillsborough County Sch. Bonds [GS]		None	Interest	\$15,001 - \$50,000	V
iShares Core S&P Small-Cap ETF (IJR) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	
iShares Core S&P Small-Cap ETF (IJR) [EF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	×
iShares Russell 1000 Value ETF (IWD) [EF]		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	
iShares Russell 1000 Value ETF (IWD) [EF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$100,001 - \$1,000,000	$\checkmark$
Ishares Russell Growth (IWP) [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$2,501 - \$5,000	V
Ishares Russell Growth (IWP) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	$\checkmark$
Ishares S&P 500 (IVV) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	$\checkmark$
Ishares S&P 500 (IVV) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$50,001 - \$100,000	V
Ishares TR Bond (CSJ) [MF]		None	Dividends	\$1,001 - \$2,500	$\checkmark$
Ishares TR Bond (CSJ) [MF]	SP	None	Dividends	\$2,501 - \$5,000	$\checkmark$
Ishares TR Russell 1000 (IWF) [MF]	SP	\$250,001 -	Dividends	\$2,501 - \$5,000	×

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$500,000			
Los Angeles Cty, CA Mt [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	
Los Angeles Unified Sch Dist Bonds [GS]		None	Interest	\$15,001 - \$50,000	$\checkmark$
Los Angeles, CA, W Util Pwr [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$5,001 - \$15,000	<b>V</b>
Madison Adams Investments, LLC [OT] Description: Investments (Cash Only)	SP	\$1,001 - \$15,000	None		Π
Managed Income Fund [MF]		\$1,001 - \$15,000	None		
Manufacturers Drive LLC [OL] LOCATION: St. Louis, MO, US DESCRIPTION: Owns commercial real estate for rent	SP	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	V
Metropolitan Water District of Southern CA Bonds [GS]		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	
Metropolitan WTR Dist S C WTR Util [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$2,501 - \$5,000	
Monterey Peninsula WTR COPS [GS]	SP	\$500,001 - \$1,000,000	None		
Multi-Pack Solutions LLC [OL] LOCATION: Mt. Prospect, IL, US DESCRIPTION: Packaging Company	SP	Spouse/DC Over \$1,000,000	None		Π
Nevada Irrigation District Bonds [GS]		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	
Orange CA WTR CO WTR COPS [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$1,001 - \$2,500	
Orange CA WTR CO WTR COPS [GS]		\$250,001 - \$500,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Poway, CA Unified Sch. Dist. Bonds [GS]		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	Γ
Prudential High Yield Fund [MF]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<b>V</b>
Rancho Corte Madera, Inc. [OL]		\$1,000,001 - \$5,000,000	None		Γ
LOCATION: Pine Valley, CA, US DESCRIPTION: Working Cattle Ranch					
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	\$500,001 - \$1,000,000	None		
Retirement Advocate Moderate Fund [HE]		\$250,001 - \$500,000	None		V
Sacramento, CA [GS]	SP	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000	×
San Diego CA Trans Rev LTX [GS]		\$250,001 - \$500,000	None		V
San Diego County, CA Bonds [GS]		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	
San Diego, CA, TRA [GS]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	$\checkmark$
San Francisco CA Rev. Bonds [GS]		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	
San Jose, CA Bonds [GS]		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	
Santa Clara Valley CA [GS]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	V
Santa Clara Valley Var Bonds [GS]		None	Interest	\$5,001 - \$15,000	$\checkmark$
Sch Treas Obligation MMF [GS]	SP	None	Dividends	\$2,501 - \$5,000	$\checkmark$
Schwab CA Muni Money Fund [OT]	SP	\$100,001 - \$250,000	Dividends, Interest	\$201 - \$1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: EIF					
Schwab CA Muni Money Fund [OT]		\$500,001 - \$1,000,000	Dividends, Interest	\$2,501 - \$5,000	$\checkmark$
DESCRIPTION: EIF					
Sinclair & Rush [OL]	SP	\$250,001 - \$500,000	None		
LOCATION: Arnold, MO, US DESCRIPTION: Manufacturing Company					
Tortoise Energy Infrastructure Corporation (TYG) $[MF]$	SP	Spouse/DC Over \$1,000,000	Dividends	\$100,001 - \$1,000,000	
Tweedy Browne Global [MF]	SP	None	Capital Gains	\$50,001 - \$100,000	$\checkmark$
U.S. Treasury Bills [GS]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Interest	\$15,001 - \$50,000	
University of CA Rev. Bonds [GS]		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	
Vanguard Life Strategy Growth Fund (VASGX) [MF]		\$250,001 - \$500,000	None		Π
Vanguard LifeStrategy Moderate [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		V
Vanguard Mid Cap ETF (VO) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	V
Vanguard Mid Cap ETF (VO) [EF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	V
Vanguard S&P 500 ETF (VOO) [EF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	$\checkmark$
Vanguard Total Stock Market (VTI) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Vanguard Total Stock Market (VTI) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	
Virginia State Bonds [GS]		None	Interest	\$5,001 - \$15,000	$\checkmark$

Asset	Owner	Value of Asset	Income Type(s)	Income	
Virtus Seix Float (SAMBX) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$100,001 - \$1,000,000	
Virtus Seix Float High Income Fd(SAMBX) [MF]		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	
Vulcan Value Partners Small Cap Fd [MF]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Dividends, Distributions	\$100,001 - \$1,000,000	
Washington State Bonds [GS]		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	
Wells Fargo Managed Income Fund [MF]		\$1,001 - \$15,000	None		
Winward Academy, LLC [MF]		\$15,001 - \$50,000	None		Γ
WLR Recovery IV Investors LLC [HE]	SP	\$50,001 - \$100,000	None		
* For the complete list of asset type abbreviations, ple					

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Тх. Туре	Amount	Cap. Gains > \$200?
Bay Area Toll RV Toll Tran [GS]		11/28/2017	Р	\$250,001 - \$500,000	
Bay Area Toll RV Toll Tran [GS]	SP	11/29/2017	Р	Spouse/DC Over \$1,000,000	
CA State Dept. Water Resources [GS]		04/18/2017	Р	\$250,001 - \$500,000	
CA State School Building [GS]	SP	04/20/2017	Р	Spouse/DC Over \$1,000,000	
Calleguas ETC CA [GS]	SP	04/21/2017	Р	\$500,001 - \$1,000,000	
East Bay Muni Util Dist, CA [GS]	SP	12/28/2017	Р	\$500,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
				\$1,000,000	
Eastern CA MU [GS]	SP	04/21/2017	Р	Spouse/DC Over \$1,000,000	
Eastern CA MU [GS]	SP	05/25/2017	S	\$500,001 - \$1,000,000	
Harbor International FD Inst CL [MF]	SP	07/19/2017	Р	\$500,001 - \$1,000,000	
Harbor International FD Inst CL [MF]	SP	04/5/2017	Р	\$500,001 - \$1,000,000	
Harbor International FD Inst CL [MF]	SP	04/6/2017	Р	Spouse/DC Over \$1,000,000	
Harbor International FD Inst CL [MF]	SP	07/19/2017	Р	Spouse/DC Over \$1,000,000	
Harding Loevner Inst Emerg Mkts [MF]	SP	04/7/2017	Р	\$250,001 - \$500,000	
Harding Loevner Inst Emerg Mkts [MF]	SP	04/10/2017	Р	Spouse/DC Over \$1,000,000	
Hillsborough County Sch. Bonds [GS]		07/1/2017	S	\$250,001 - \$500,000	
Ishares Core S&P 500 (IVV) [MF]		04/12/2017	Р	\$50,001 - \$100,000	
Ishares Core S&P Small Cap (IJR) [MF]	SP	04/7/2017	Р	\$100,001 - \$250,000	
Ishares Russell 1000 Value ETF (IWD) [EF]	SP	11/29/2017	S (partial)	\$15,001 - \$50,000	
Ishares Russell Growth (IWP) [MF]	SP	04/7/2017	Р	\$100,001 - \$250,000	
Ishares Russell Growth (IWP) [MF]		11/29/2017	S	\$1,001 - \$15,000	$\checkmark$

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ishares Russell Growth (IWP) [MF]	SP	11/29/2017	S	\$50,001 - \$100,000	\$200? V
Ishares TR Bond (CSJ) [MF]	SP	04/12/2017	S	\$250,001 - \$500,000	Π
Ishares TR Bond (CSJ) [MF]		04/12/2107	S	\$500,001 - \$1,000,000	Π
Ishares TR Russell 1000 (IWF) [MF]	SP	04/7/2017	Р	\$250,001 - \$500,000	
Los Angeles CA, W Util Pwr [GS]	SP	04/24/2017	Р	Spouse/DC Over \$1,000,000	
Los Angeles Cty, CA Mt [GS]		04/19/2017	Р	\$100,001 - \$250,000	
Los Angeles Unified Sch Dist Bonds [GS]		07/1/2017	S	\$250,001 - \$500,000	Π
Los Angeles, CA, W Util Pwr [GS]	SP	04/24/2017	Р	Spouse/DC Over \$1,000,000	
Los Angeles, CA, W Util Pwr [GS]	SP	05/3/2017	Р	\$500,001 - \$1,000,000	
Manufacturers Drive LLC [OL] LOCATION: St. Louis, MO, US DESCRIPTION: Owns commercial real estate for rent	SP	09/15/2017	Р	\$250,001 - \$500,000	
Met. Water District, CA. [GS]	SP	04/24/2017	Р	\$500,001 - \$1,000,000	
Metropolitan WTR Dist S C WTR Util [GS]	SP	12/29/2017	Р	\$250,001 - \$500,000	
Monterey Peninsula WTR COPS [GS]	SP	12/27/2017	Р	\$500,001 - \$1,000,000	
Orange CA WTR CO WTR COPS [GS]		11/28/2017	Р	\$250,001 - \$500,000	

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Asset	Owner	Date	Тх. Туре	Amount	Cap. Gains > \$200?
Orange CA WTR CO WTR COPS [GS]	SP	12/28/2017	Р	Spouse/DC Over \$1,000,000	
Orange, CA Wtr Co. [GS]	SP	05/11/2017	Р	\$250,001 - \$500,000	
Prudential High Yield [MF]	SP	11/29/2017	S	\$15,001 - \$50,000	V
Retirement Advocate Moderate Fund [MF]		02/8/2017	S	\$500,001 - \$1,000,000	
Sacramento, CA [GS]	SP	05/3/2017	Р	Spouse/DC Over \$1,000,000	
San Diego CA Trans Rev LTX [GS]		12/27/2017	Р	\$250,001 - \$500,000	
San Diego, CA, TRA [GS]	SP	04/26/2017	Р	\$500,001 - \$1,000,000	
San Diego, CA, TRA [GS]	SP	04/27/2017	Р	\$100,001 - \$250,000	
Santa Clara Valley CA [GS]	SP	04/20/2017	Р	Spouse/DC Over \$1,000,000	
Santa Clara Valley Var Bonds [GS]		04/1/2017	S	\$250,001 - \$500,000	
Sch Treas Obligation MMF [MF]	SP	04/10/2017	Р	\$500,001 - \$1,000,000	
Sch Treas Obligation MMF [MF]	SP	11/29/2017	S	\$500,001 - \$1,000,000	Π
Schwab CA Muni Money Fund [MF]		04/10/2017	Р	\$500,001 - \$1,000,000	
Tweedy Browne Global [MF]	SP	07/17/2017	S	\$500,001 - \$1,000,000	V
Tweedy Browne Global [MF]	SP	04/5/2017	Р	\$500,001 - \$1,000,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Tweedy Browne Global [MF]	SP	07/18/2017	S	Spouse/DC Over \$1,000,000	V
Tweedy Browne Global [MF]	SP	04/6/2017	Р	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills [GS]	SP	01/26/2017	Р	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills [GS]	SP	01/26/2017	S	Spouse/DC Over \$1,000,000	Π
U.S. Treasury Bills [GS]	SP	02/23/2017	Р	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills [GS]	SP	02/23/2017	S	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills [GS]	SP	03/23/2017	Р	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills [GS]	SP	03/23/2017	S	Spouse/DC Over \$1,000,000	Π
U.S. Treasury Bills [GS]	SP	04/5/2017	S (partial)	Spouse/DC Over \$1,000,000	$\checkmark$
U.S. Treasury Bills [GS]	SP	04/20/2017	S	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills [GS]	SP	11/30/2017	Р	\$500,001 - \$1,000,000	
U.S. Treasury Bills [GS]	SP	12/22/2017	Р	Spouse/DC Over \$1,000,000	
Vanguard LifeStrategy Moderate [MF]	SP	02/8/2017	Р	\$500,001 - \$1,000,000	
Vanguard Mid Cap ETF (VO) [MF]		11/29/2017	Р	\$1,001 - \$15,000	
Vanguard Mid Cap ETF (VO) [EF]	SP	11/29/2017	Р	\$50,001 - \$100,000	

Asset	Owner	Date	Тх. Туре	Amount	Cap. Gains > \$200?
Vanguard S&P 500 ETF (VOO) [EF]	SP	11/29/2017	Р	\$15,001 - \$50,000	
Virginia State Bonds [GS]		06/1/2017	S	\$250,001 - \$500,000	

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

### SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Cameron Holdings Corporation	Spouse Salary	N/A
Sinclair & Rush, Inc.	Spouse Salary	N/A
San Diego City Employees Retirement System	Retirement Payments	\$21,951.00

## Schedule D: Liabilities

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	Citibank	Dec. 2017	Revolving Credit Debt	\$50,001 - \$100,000
	Bank of America	Dec. 2017	Revolving Credit Debt	\$15,001 - \$50,000
	American Express	Dec. 2017	Revolving Credit Debt	\$10,000 - \$15,000
	Wells Fargo Credit Card	Dec. 2017	Revolving Credit Card	\$15,001 - \$50,000

#### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2008	Myself and San Diego City Employees Retirement System	Continued participation in City pension plan

## SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

€ Yes € No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

• Yes • No

#### **CERTIFICATION AND SIGNATURE**

**I** CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Scott H. Peters, 05/9/2018