



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Julia Brownley  
**Status:** Member  
**State/District:** CA26

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 07/14/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA ⇒ Amer Funds Washington		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA ⇒ American Growth Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
IRA ⇒ Eaton Vance Floating		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ Franklin Small Cap Value		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Complete disposition					
IRA ⇒ Franklin Sm-Mid Cap Gr		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ Glenmede Small Cap		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: New in 2016					
IRA ⇒ Hartford Growth		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
IRA ⇒ Loomis Sayles Inflation Fund  DESCRIPTION: New in 2016		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ Mainstay High Yield		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ MFS Total Return Bond  DESCRIPTION: Formerly MFS Research Bond Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IRA ⇒ MFS Value Fund Cl I		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ Oppenheimer Int'l Bond Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
IRA ⇒ Oppenheimer Int'l Growth		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ Pimco Low Duration		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ Pimco Total Return Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ SPDR Blumberg Barclays  DESCRIPTION: New in 2016		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ The Oakmark Int'l Small Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IRA ⇒ Thornburg International		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ Vanguard 500 Index Fund  DESCRIPTION: New in 2016		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ Vanguard FTSE Developed Markets  DESCRIPTION: New in 2016		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
IRA ⇒ Victory Large Cap Growth		None	None		<input type="checkbox"/>
COMMENTS: Full disposition reported in 2015.					
Merrill Lynch ⇒ Amer Funds Washington		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ American Century Hi Yld		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ American Growth Fund		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ Artisan International		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ Cambiar Small Cap Fund		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Complete disposition					
Merrill Lynch ⇒ Cash and Money Accounts		\$1,001 - \$15,000	None		<input type="checkbox"/>
Merrill Lynch ⇒ Franklin California Tax Free		None	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Complete disposition					
Merrill Lynch ⇒ Franklin Sm-Mid Cap Gr		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ Glenmede Small Cap		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ Invesco Int'l Growth		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Complete disposition					
Merrill Lynch ⇒ Mainstay Large Cap		\$50,001 - \$100,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ MFS Value Fund Cl I		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ Oppenheimer Int'l Growth		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: Repurchased in 2016					
Merrill Lynch ⇒ SPDR Blumberg Barclays		\$250,001 - \$500,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: New in 2016					
Merrill Lynch ⇒ Thornburg International		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Repurchased in 2016					
Merrill Lynch ⇒ Vanguard 500 Index Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: New in 2016					
Merrill Lynch ⇒ Vanguard FTSE Developed Markets		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Other Securities ⇒ AIG Annuity - Fixed		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Annuity					
Other Securities ⇒ AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Other Securities ⇒ CenturyLink, Inc. (CTL)		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Other Securities ⇒ Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Other Securities ⇒ Hartford Annuity - Fixed		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Annuity					
Other Securities ⇒ Verizon Communications Inc. (VZ)		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
COMMENTS: Recently discovered as California unclaimed property.					
Other Securities ⇒ Vodafone Group Plc Ordinary Shares (VODPD)		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Real Estate ⇒ 10 acres in Kern County		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Kern County, CA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Real Estate ⇒ Santa Monica, California		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Santa Monica / Los Angeles, CA, US					

\* Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ Amer Funds Washington		Quarterly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ Amer Growth Fund		Quarterly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ Amer Growth Fund		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ Eaton Vance Floating		Quarterly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sold at a loss					
IRA ⇒ Franklin Small Cap Value		01/26/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sold at a loss. Complete disposition.					
IRA ⇒ Franklin Sm-Mid Cap Gr		Quarterly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ Glenmede Small Cap		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ Hartford Growth		Quarterly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sold at a loss					
IRA ⇒ Hartford Growth		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ Loomis Sayles Inflation Fund		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Sold at a loss					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ Mainstay High Yield		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ MFS Value Fund Cl I		Quarterly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ MFS Value Fund Cl I		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ Oppenheimer Int'l Growth		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ Pimco Low Duration  DESCRIPTION: Sold at a loss		Quarterly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Pimco Total Return Fund  DESCRIPTION: Sold at a loss		Quarterly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Pimco Total Return Fund		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ SPDR Blumberg Barclays		01/26/2016	P	\$1,001 - \$15,000	
IRA ⇒ Thornburg International  DESCRIPTION: Sold at a loss		01/26/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Vanguard 500 Index Fund		01/26/2016	P	\$1,001 - \$15,000	
IRA ⇒ Vanguard FTSE Developed Markets		01/26/2016	P	\$1,001 - \$15,000	
Merrill Lynch ⇒ Amer Funds Washington		Quarterly	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ Amer Funds Washington		Quarterly	P	\$1,001 - \$15,000	
Merrill Lynch ⇒ American Century Hi Yld		Quarterly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch ⇒ American Century Hi Yld		Quarterly	P	\$15,001 - \$50,000	
Merrill Lynch ⇒ American Growth Fund		Quarterly	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ American Growth Fund		Quarterly	P	\$1,001 - \$15,000	
Merrill Lynch ⇒ Artisan International		05/26/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ Artisan International		Quarterly	P	\$1,001 - \$15,000	
Merrill Lynch ⇒ Cambiar Small Cap Fund		01/26/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sold at a loss. Complete disposition.					
Merrill Lynch ⇒ Franklin California Tax Free		Quarterly	S	\$250,001 - \$500,000	<input type="checkbox"/>
DESCRIPTION: Sold at a loss. Complete disposition.					
Merrill Lynch ⇒ Franklin Sm-Mid Cap Gr		05/26/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sold at a loss.					
Merrill Lynch ⇒ Franklin Sm-Mid Cap Gr		Quarterly	P	\$1,001 - \$15,000	
Merrill Lynch ⇒ Glenmede Small Cap		01/26/2016	P	\$15,001 - \$50,000	
Merrill Lynch ⇒ Invesco Int'l Growth		01/26/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Sold at a loss. Complete disposition.					
Merrill Lynch ⇒ Mainstay Large Cap		Quarterly	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ Mainstay Large Cap		Quarterly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch ⇒ MFS Value Fund Cl I		11/11/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ MFS Value Fund Cl I		Quarterly	P	\$15,001 - \$50,000	
Merrill Lynch ⇒ Oppenheimer Int'l Growth		03/28/2016	P	\$50,001 - \$100,000	
Merrill Lynch ⇒ SPDR Blumberg Barclays		12/14/2016	P	\$250,001 - \$500,000	
Merrill Lynch ⇒ Thornburg International		01/26/2016	P	\$15,001 - \$50,000	
Merrill Lynch ⇒ Vanguard 500 Index Fund		01/26/2016	P	\$15,001 - \$50,000	
Merrill Lynch ⇒ Vanguard FTSE Developed Markets		Quarterly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sold at a loss.					
Merrill Lynch ⇒ Vanguard FTSE Developed Markets		Quarterly	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Merrill Lynch	Feb 2013	Mortgage - Santa Monica, California	\$250,001 - \$500,000
	Merrill Lynch	April 2013	Mortgage - Westlake Village, California	\$500,001 - \$1,000,000
	BB&T Mortgage	July 2015	Mortgage	\$50,001 - \$100,000
	Capitol Hill Tower Housing Cooperative Inc.	November 2015	Mortgage	\$50,001 - \$100,000



## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- IRA  
DESCRIPTION: ML 230-80737
- Merrill Lynch (100% Interest)  
LOCATION: US  
DESCRIPTION: ML 230-18317
- Other Securities  
LOCATION: US
- Real Estate  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Julia Brownley , 07/14/2017