

**FINANCIAL DISCLOSURE REPORT**

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

**FILER INFORMATION**

**Name:** Mr. John J Faso  
**Status:** Congressional Candidate  
**State/District:** NY19

**FILING INFORMATION**

**Filing Type:** Amendment Report  
**Filing Year:** 2016  
**Filing Date:** 09/20/2016

**SCHEDULE A: ASSETS AND "UNEARNED" INCOME**

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Anthem, Inc. (ANTM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Bank of Greene County Savings	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Exxon Mobil Corporation (XOM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Tax-Deferred		
Greene County Bancorp, Inc. (GCBC)		\$1,001 - \$15,000	Tax-Deferred		
Kinderhook Bank Savings Acct	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
NYS Pension		None	Govt Pension	\$15,001 - \$50,000	\$15,001 - \$50,000
Vanguard 500 Index Fund	SP	\$15,001 - \$50,000	Tax-Deferred		
Vanguard 500 Index Fund		\$50,001 - \$100,000	Tax-Deferred		
Vanguard Devel Markets Idx		\$15,001 - \$50,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Vanguard Equity Income Fund	SP	\$15,001 - \$50,000	Tax-Deferred		
Vanguard Long Term Investment		\$50,001 - \$100,000	Tax-Deferred		
vanguard mid-cap index fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard REIT Index Fund		\$15,001 - \$50,000	Tax-Deferred		
Vanguard VDVIX		\$1,001 - \$15,000	Tax-Deferred		
Vanguard VFINX		\$1,001 - \$15,000	Tax-Deferred		
Vanguard VWESX		\$15,001 - \$50,000	Tax-Deferred		
Vanguard Wellington fund	SP	\$15,001 - \$50,000	Tax-Deferred		
vanguard windsor II Fund		\$15,001 - \$50,000	Tax-Deferred		

### **SCHEDULE C: EARNED INCOME**

<b>Source</b>	<b>Type</b>	<b>Amount Current Year to Filing</b>	<b>Amount Preceding Year</b>
Law Offices of John J. Faso, PC	legal practice	\$22,000.00	\$150,000.00
Kinderhook Central School District	spouse salary	N/A	N/A

### **SCHEDULE D: LIABILITIES**

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
JT	American Educational Services	January 2007	Children's student loans	\$50,001 - \$100,000
JT	Great Lakes Educational Loan Services	January 2012	Children's student loans	\$15,001 - \$50,000

### **SCHEDULE E: POSITIONS**

Position	Name of Organization
Director	National Stock Exchange
COMMENTS: The NSX was sold to another entity at the end of 2014; my director position ended at that time	
Board Member	Rockefeller Institute of Government
COMMENTS: I resigned from the RIG Board as of September 22, 2015	
Board Member	Lawyers Democracy Fund

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Constitution Pipeline Company (Houston , TX, US)	consulting and legal services
COMMENTS: Services concluded as of September 2015	
AT&T (New York, NY, US)	consulting and legal services
NYS Optometric Assn (Albany, NY, US)	legal counsel services
Rochester Prep Charter School (Rochester, NY, US)	legal counsel services
Troy Prep Charter School (Troy, NY, US)	legal counsel services
Brooklyn Dreams Charter School (Brooklyn, NY, US)	legal counsel services
NY 2014 (Kinderhook, NY, US)	consulting and legal services
Hewlett Packard (New York, NY, US)	legal counsel services

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## COMMENTS

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. John J Faso , 09/20/2016