



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. John J Faso
Status: Congressional Candidate
State/District: NY19

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2015
Filing Date: 09/29/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Anthem, Inc. (ANTM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Bank of Greene County Savings	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Exxon Mobil Corporation (XOM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Tax-Deferred		
Goldman Sachs Growth Op Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Greene County Bancorp, Inc. (GCBC)		\$1,001 - \$15,000	Tax-Deferred		
Kinderhook Bank Savings Acct	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Neuberger Berman Genesis Trust		\$50,001 - \$100,000	Tax-Deferred		
Vanguard 500 Index Fund	SP	\$15,001 - \$50,000	Tax-Deferred		
Vanguard 500 Index Fund		\$50,001 -	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
		\$100,000			
Vanguard Devel Markets Idx		\$15,001 - \$50,000	Tax-Deferred		
Vanguard Equity Income Fund	SP	\$15,001 - \$50,000	Tax-Deferred		
Vanguard REIT Index Fund		\$15,001 - \$50,000	Tax-Deferred		
Vanguard VDVIX		\$1,001 - \$15,000	Tax-Deferred		
Vanguard VFINX		\$1,001 - \$15,000	Tax-Deferred		
Vanguard VWESX		\$15,001 - \$50,000	Tax-Deferred		
vanguard windsor II Fund		\$15,001 - \$50,000	Tax-Deferred		

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Law Offices of John J. Faso, PC	legal practice	\$183,000	\$225,000
National Stock Exchange	director fee	\$	\$10,000
Kinderhook Central School District	salary	N/A	N/A
State of New York	pension income	\$20,000	\$40,000

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	American Educational Services	January 2007	children's student loans	\$50,001 - \$100,000
JT	Great Lakes Educational Loan Services	January 2012	Children's student loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	National Stock Exchange
COMMENTS: The NSX was sold to another entity at the end of 2014; my director position ended at that time	
Board Member	Rockefeller Institute of Government
COMMENTS: I resigned from the RIG Board as of September 22, 2015.	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Constitution Pipeline Company (Houston, TX, US)	consulting & legal services
AT&T (New York, NY, US)	consulting & legal services
NYS Optometric Assn (Albany, NY, US)	legal counsel services
Troy Prep Charter School (Troy, NY, US)	legal counsel services
Rochester Prep Charter School (Rochester , NY, US)	legal counsel services
Brooklyn Dreams Charter School (Brooklyn, NY, US)	legal counsel services
NY 2014 (Kinderhook, NY, US)	consulting and legal services
Hewlett Packard (New York, NY, US)	legal counsel services
Columbia County NY (Hudson , NY, US)	legal counsel services
Manatt Phelps & Phillips, LLP (Los Angeles, CA, US)	legal practice
COMMENTS: From 2003-2013, I was a non-equity partner having no ownership stake in the firm. I had an annual employment contract with Manatt. As such, my status was akin to an employee of the firm and not a "partner" in the traditional sense. Moreover, I had no role in firm management or client selection in this firm with more than 375 attorneys.	
Audience Partners, LLC (Fort Washington , PA, US)	consulting and legal services

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. John J Faso , 09/29/2015