



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Michael Harry Frese
Status: Congressional Candidate
State/District: NM01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2014
Filing Date: 05/15/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Campaign Loan to Mike Frese for Congress	JT	\$15,001 - \$50,000	None		
Northrop-Grumman 401K ⇒ NG US Equity	SP	\$50,001 - \$100,000	Tax-Deferred	None	None
Northrop-Grumman 401K ⇒ NGSP Balanced Fund	SP	\$50,001 - \$100,000	Tax-Deferred	None	None
Northrop-Grumman 401K ⇒ NGSP Small Cap	SP	\$1,001 - \$15,000	Tax-Deferred	None	None
NumerEx ⇒ NumerEx, 100% Interest	JT	\$250,001 - \$500,000	S Corp	\$100,001 - \$1,000,000	None
LOCATION: Albuquerque, NM, US DESCRIPTION: Small family-owned S-Corp doing scientific R&D					
Optum Health Bank HSA	SP	\$1,001 - \$15,000	Tax-Deferred	None	None
Optum Health Bank HSA Wellington Fund		\$15,001 - \$50,000	Tax-Deferred	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Paul's 529 ⇒ Oppenheimer - The Education Plan	JT	\$1,001 - \$15,000	Tax-Deferred	None	None
Plain IRA- SP ⇒ Vanguard 500 Index Fund	SP	\$15,001 - \$50,000	Tax-Deferred	None	None
Plain IRA- SP ⇒ Vanguard 500 Index Fund	SP	\$15,001 - \$50,000	Tax-Deferred	None	None
Rental Property ⇒ Rental Property LOCATION: Washington , DC, US		\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
Savings Bonds	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
SEPIRA-Mike ⇒ Vanguard Adm Treasury Money Market		\$50,001 - \$100,000	Tax-Deferred	None	None
SEPIRA-Mike ⇒ Vanguard Energy Index Fund		\$100,001 - \$250,000	Tax-Deferred	None	None
SEPIRA-Mike ⇒ Vanguard Health Care Fund Adm		\$100,001 - \$250,000	Tax-Deferred	None	None
SEPIRA-Mike ⇒ Vanguard REIT Index Fund Adm		\$250,001 - \$500,000	Tax-Deferred	None	None
SEPIRA-Mike ⇒ Vanguard Short-Term Treas Adm		\$50,001 - \$100,000	Tax-Deferred	None	None
SEPIRA-SP ⇒ Vanguard 500 Index Adm		\$500,001 - \$1,000,000	Tax-Deferred	None	None
Vanguard Funds ⇒ Vanguard 500 Index Adm	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Vanguard Funds ⇒ Vanguard Adm Treasury Money Market	JT	\$1 - \$1,000	None		
Vanguard Funds ⇒ Vanguard Consumer Discretionary Fund	JT	\$100,001 - \$250,000	Dividends	None	\$1,001 - \$2,500
Vanguard Funds ⇒	JT	\$100,001 -	Dividends	None	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Energy Index Fund		\$250,000			
Vanguard Funds ⇒ Vanguard Inter-Term Invest Grd Adm	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Vanguard Funds ⇒ Vanguard Inter-Term Tax Exempt Adm	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Vanguard Funds ⇒ Vanguard Precious Metals & Mining	JT	None	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: No longer held as of early 2013					
Vanguard Funds ⇒ Vanguard REIT Index Fund Adm	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Wells Fargo Personal Accts	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
NumerEx LLC	Salary		\$114,014
NumerEx LLC	Spouse salary		\$83,287

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Home Mortgage	4/09	Mortgage on DC Rental Property	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Manager	NumerEx LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
SAIC (Albuquerque, NM, US)	R&D Services

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">o Northrop-Grumman 401K (Owner: SP)o NumerEx (100% Interest) (Owner: JT) LOCATION: Albuquerque, NM, US DESCRIPTION: Scientific R&Do Paul's 529 (Owner: JT) LOCATION: NMo Plain IRA- SP (Owner: SP) DESCRIPTION: Sherry's old IRAo Rental Property (100% Interest) LOCATION: Washington, DC, US DESCRIPTION: Rental homeo SEPIRA-Mikeo SEPIRA-SP (Owner: SP)o Vanguard Funds (100% Interest) (Owner: JT) LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Michael Harry Frese , 05/15/2014