UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2013 MAY 15 PM 3:14 MC
U.S. HOUSE OF REPRESENTATIVES

Edward Randall Royce
(Full Name)

(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: CA, District: 39	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII.	☐	☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☐	☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	☐	☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE I - EARNED INCOME

Name Edward Randall Royce

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Alcatel Lucent	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward Randall Royce

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B **Year-End Value of Asset** Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Alcatel Lucent Stock (Spouse IRA)	None	None	NONE	S
SP	Biotime Inc. Stock (Spouse IRA)	None	None	NONE	S
SP	Blackrock Energy and Resources Fund (Spouse IRA)	None	DIVIDENDS	$201 - $1,000	S
JT	Cash/CFCU Savings/CFCU Money Market	$1,001 - $15,000	DIVIDENDS	<$1	
SP	Engendering Success	None	None	NONE	
SP	Enterprise Products Partner 1 (Spouse IRA)	None	Dividends/CAPITAL GAINS	$5,001 - $15,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SP	Exchange Traded Fund Precious Metal Basket (Spouse IRA)	None	None	NONE	S
SP	Fidelity Advisor Corp. Bond Fund (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Fidelity Advisor Emerging Markets Income Fund (Spouse IRA)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS(part)
SP	Fidelity Advisor Global Fund (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Fidelity Advisor High Income Advantage Fund (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Fidelity Advisor Large Cap Fund (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Fidelity Advisor Midcap Fund (Spouse IRA)	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	P
SP	Fidelity Advisor Real Estate Income Fund (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Fidelity Advisor Small Cap Fund (Spouse IRA)	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Fidelity Advisor Value Stratey Fund (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Fidelity Advisors Europe Capital Enterprises Fund (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Fidelity Advisory Stock SELECTOR fund (Spouse IRA)	$15,001 - $50,000	DIVIDENDS	$1 - $200	P/E
SP	Fidelity Mutual Fund - Bond Fund (Spouse IRA)	$15,001 - $50,000	None	NONE	P

SP	Fidelity Mutual Fund - Equity Index Fund (Spouse IRA)	$50,001 - $100,000	None	NONE	P
SP	Fidelity Mutual Fund - Stable Value Bond Fund (spouse IRA)	None	None	NONE	P
SP	Fidelity Mutual Fund - US Large Cap Growth Equity (Spouse IRA)	$15,001 - $50,000	None	NONE	P
SP	Fidelity Mutual Fund - US Large Cap Value Equity (Spouse IRA)	$15,001 - $50,000	None	NONE	P
SP	Fidelity Mutual Fund - US MidCap Core (Spouse IRA)	None	None	NONE	P
SP	Fidelity Mutual Fund - US Small Cap Fund (Spouse IRA)	None	None	NONE	P
SP	Fidelity Mutual Fund- International Equity (Spouse IRA)	$15,001 - $50,000	None	NONE	S(part)
SP	Franklin India Growth Fund (Spouse IRA)	$1,001 - $15,000	None	NONE	S(part)
SP	I-Shares Indonesia (Spouse IRA)	None	DIVIDENDS	$1 - $200	S
SP	I-Shares Silver Trust (Spouse IRA)	None	None	NONE	S
SP	Janus Forty Fund (Spouse IRA)	None	CAPITAL GAINS	$1,001 - $2,500	S
SP	Netfilx.com Inc. Stock (Spouse IRA)	None	None	NONE	S
SP	Pace Money Market Fund (Spouse IRA)	$1,001 - $15,000	None	NONE	P
SP	Proctor and Gamble Stock (Spouse IRA)	None	Dividends/CAPITAL GAINS	$5,001 - $15,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward Randall Royce

SP	Templeton Emerging Markets Fund (Spouse IRA)	None	None	NONE	S
SP	UBS Bank USA Money Market Funds (Spouse IRA)	None	None	<$1	
SP	Western Assest Global High Income Fund (Spouse IRA)	None	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	S

SCHEDULE IV - TRANSACTIONS

Name Edward Randall Royce

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	Alcatel Lucent Stock (Spouse IRA)	S	No	9/24/12	<$1,000
SP	Biotime Inc. Stock (Spouse IRA)	S	No	9/24/12	$1,001 - $15,000
SP	Blackrock Energy and Resources Fund (Spouse IRA)	S	No	9/24/12	$1,001 - $15,000
SP	Enterprise Products Partner 1 (Spouse IRA)	S	Yes	9/24/12	$15,001 - $50,000
SP	Enterprise Products Partner 2 (Spouse IRA)	S	No	9/19/12	<$1,000
SP	Exchange Traded Fund Precious Metal Basket (Spouse IRA)	S	No	9/24/12	$1,001 - $15,000
SP	Fidelity Advisor Corp Bond Fund (Spouse IRA)	P	N/A	10/22/12	$1,001 - $15,000
SP	Fidelity Advisor Emerging Markets Income Fund (Spouse IRA)	S(part)	No	11/6/12	<$1,000
SP	Fidelity Advisor Emerging Markets Income Fund (Spouse IRA)	P	N/A	10/22/12	$1,001 - $15,000
SP	Fidelity Advisor Europe Capital Enterprises Fund (Spouse IRA)	P	N/A	10/22/12	$1,001 - $15,000
SP	Fidelity Advisor Global Fund (Spouse IRA)	P	N/A	10/22/12	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Edward Randall Royce

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	Fidelity Advisor High Income Advantage Fund (Spouse IRA)	P	N/A	10/22/12	$1,001 - $15,000
SP	Fidelity Advisor Large Cap Fund (Spouse IRA)	P	N/A	10/22/12	$1,001 - $15,000
SP	Fidelity Advisor Midcap Fund (Spouse IRA)	P	Yes	10/22/12	$15,001 - $50,000
SP	Fidelity Advisor Real Estate Income Fund (Spouse IRA)	P	N/A	10/22/12	$1,001 - $15,000
SP	Fidelity Advisor Small Cap Fund (Spouse IRA)	P	N/A	10/22/12	$15,001 - $50,000
SP	Fidelity Advisor Stock SELECTOR Fund (Spouse IRA)	P	N/A	10/22/12	$15,001 - $50,000
SP	Fidelity Advisor Value Stratey Fund (Spouse IRA)	P	N/A	10/22/12	$1,001 - $15,000
SP	Fidelity Mutual Fund - Bond Fund (Spouse IRA)	P	N/A	08/20/12	$1,001 - $15,000
SP	Fidelity Mutual Fund - Equity Index Fund (Spouse IRA)	P	N/A	08/20/12	$1,001 - $15,000
SP	Fidelity Mutual Fund - Stable Value Bond Fund (spouse IRA)	P	N/A	08/20/12	$1,001 - $15,000
SP	Fidelity Mutual Fund - US Large Cap Growth Equity (Spouse IRA)	P	N/A	08/20/12	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Edward Randall Royce

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	Fidelity Mutual Fund - US Large Cap Value Equity (Spouse IRA)	P	N/A	08/20/12	$1,001 - $15,000
SP	Fidelity Mutual Fund - US MidCap Core (Spouse IRA)	P	N/A	08/20/12	$1,001 - $15,000
SP	Fidelity Mutual Fund - US Small Cap Fund (Spouse IRA)	P	N/A	08/20/12	$1,001 - $15,000
SP	Fidelity Mutual Fund- International Equity (Spouse IRA)	S(part)	No	08/20/12	$1,001 - $15,000
SP	Franklin India Growth Fund (Spouse IRA)	S	No	3/12/12	<$1,000
SP	I-Shares Indonesia (Spouse IRA)	S	No	9/24/12	$1,001 - $15,000
SP	I-Shares Silver Trust (Spouse IRA)	S	No	9/24/12	$1,001 - $15,000
SP	Janus Forty Fund (Spouse IRA)	S	Yes	10/22/12	$1,001 - $15,000
SP	Netfilx.com Inc. Stock (Spouse IRA)	S	No	9/24/12	$1,001 - $15,000
SP	Pace Money Market (Spouse IRA)	P	N/A	10/22/12	$1,001 - $15,000
SP	Proctor and Gamble Stock (Spouse IRA)	S	Yes	9/24/12	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Edward Randall Royce

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	Templeton Emerging Markets Fund (Spouse IRA)	S	No	9/24/12	$1,001 - $15,000
SP	Western Assest Global High Income Fund (Spouse IRA)	S	Yes	9/24/12	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Edward Randall Royce

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Congressional Federal Credit Union	April 2009	Mortgage on residence in Fullerton, CA	$500,001 - $1,000,000
JT	Congressional Federal Credit Union	April 2009	Mortgage on residence in Alexandria, VA	$250,001 - $500,000