

**UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For use by Members, officers, and employees

MAY 15 2013  
2013 MAY 20 AM 9:18  
MPC  
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Name: Scott R. Tipton Daytime Telephone: \_\_\_\_\_

Office Use Only

Filer Status <input checked="" type="checkbox"/> Member of the U.S. House of Representatives <input type="checkbox"/> Annual (May 15, 2013)	State: <u>CO</u> District: <u>03</u>	<input type="checkbox"/> Officer or Employee <input type="checkbox"/> Ammendment <input type="checkbox"/> Termination	Employing Office: _____ Termination Date: _____
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**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes  No





**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Scott R. Tipton

4/28/12

BLOCK A <b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any advance payments for a personal obligation as	BLOCK B <b>Year-End Value of Asset</b> at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C <b>Type of Income</b> Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D <b>Amount of Income</b> For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E <b>Transaction</b> Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
4 Way Construction Airport Circle Farmington, NM	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
702 Arbor Street Cortez, CO	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
JT Alliant Energy	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
AmTrade C.E.A.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Ashland Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Ashland Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Scott R. Tipton

50612

AT&T	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
AT&T	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
BAC Capital Trust 1	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
Bank of America	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Bank of America	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
Broomfield Bond Co	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
Broomfield Rev. Bond	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000
CenturyLink	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
CenturyLink	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
CenturyLink	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Denver CO Revenue Bond	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
Exxon Mobil	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500
Exxon Mobil	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
Exxon Mobil Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Scott R. Tipton

6/26/12

First Units Preferred	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
Glaxo SmithKline	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
Helios Return Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
Hewlett Packard	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Hidden Valley CRM 1 Sec NE 1/4 Sec Rd 26	\$1,000,001 - \$5,000,000	None	NONE
JT Intel Corp	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
JT John Hancock Patriot	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
JP Morgan Chase Trust 1	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
Kinder Morgan Energy	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
Las Cruces Rev Bond	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500
Marathon Oil	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Menco Health Systems	\$1,001 - \$15,000	None	NONE
Merck & Co Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Morgan Stanley Capital Trust	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Scott R. Tipton

7/26/12

	National Semiconductor	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
	New York Life- Whole Life Insurance Policy	\$100,001 - \$250,000	None	NONE
	Occidental Petroleum	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
	Petty Building Hwy 160 E Cortez, CO	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000
JT	Pepsico	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
	Power Shares QQQ	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
	Ridges Metro District	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
	RMA Money Market Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
	RMA Money Market Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
	Royal Bank of Scotland PLC	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
JT	Royal Dutch Shell	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
	Scott R. Tipton EX AMTR AMTRADE C.E.A.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
	Scott R. Tipton Ex AMTR Travelers Co	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
	Scott R. Tipton Trust Denver Airport Rev. Bond	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Scott R. Tipton

Scott R. Tipton Trust Questar Corp	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500
Scott R. Tipton Trust -AMTR Questar Corp	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500
Scott Tipton RMA Tax Free Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
Scott Tipton AMTR Trust Alliant Energy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Scott Tipton Exempt At&T	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
St. Phillip 701 3rd Street Cortez, CO	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000
State of Colorado Vanguard Index Fund	\$1,001 - \$15,000	None/None	NONE
Summit Co. Revenue Bond	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000
Tim Hortons Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Tipton Properties 27601 Hwy 160 Cortez, CO	\$500,001 - \$1,000,000	None	NONE
Travelers Co	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
UBS Bank	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200
UBS Bank	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
SP UBS Bank	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Scott R. Tipton

UPS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Vectra Bank CCA	\$15,001 - \$50,000	INTEREST	\$1 - \$200
SP Walt Disney	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
WestPac Bkg Corp	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000
Zions Bancorp	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
JT Zions Bancorp	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
Zweg Total Return	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
Zweig FD Com	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000

**SCHEDULE V— LIABILITIES**

Name Scott R. Tipton

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability												
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Spouse/DC Liability Over \$1,000,000*		
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X									
	N/A															

**SCHEDULE VI— GIFTS**

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375
N/A		



**SCHEDULE VIII—POSITIONS**

Name

SCOTT R. TIPTON

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
N/A	

**SCHEDULE IX—AGREEMENTS**

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	