UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employ

HAND DELIVERED MC
LEGISLATIVE RESOURCE CENTER
2013 MAY 15 AM 10: 03
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Bradley James Sherman
(Full Name)

(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: CA, District: 30	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes ☐ No ☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Bradley James Sherman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Federal Government	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bradley James Sherman

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B **Year-End Value of Asset** Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Bank of America	$1,001 - $15,000	INTEREST	$1 - $200	
	Bank of America Savings Account (Second Account)	$1,001 - $15,000	INTEREST	NONE	
	BeeBee Draw/Hambert Combined Properties (valuation is rough estimate; royalties net of expenses)	$15,001 - $50,000	ROYALTIES	$5,001 - $15,000	
	CA State Legislators retirement Pension from service on the CA Board of Equalization valued at 6/30/11 (end of fiscal year) Sacramento, CA	$100,001 - $250,000		N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bradley James Sherman

	Dreyfus CA Tax Exempt Bond - Dreyfus Fund, Box 9387 Providence, RI	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
	Home with Rental in Washington DC (Address is confidential, rent is gross before expenses)	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
	Pacoima Credit Union Lakeview Terrace, CA	$1 - $1,000	INTEREST	$1 - $200	
	Union Bank of California Los Angeles, CA	$15,001 - $50,000	INTEREST	$1 - $200	
	US Savings Bond (interest earned, not received)	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Value of CPA Practice Equipment, including furniture	$1 - $1,000	None	NONE	
	Vanguard Intermediate CA Tax Exempt Bond Fund PO Box 105433 Atlanta, GA 30348	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Vanguard TIPS Fund (IRA) PO Box 105433 Atlanta, GA 30348	$100,001 - $250,000	DIVIDENDS	N/A	P
	Vanguard TIPS Fund (personal account, not IRA) PO Box 105433 Atlants, GA 30348	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$100,001 - $250,000	S(part)/P
	Wescom Credit Union (first Account - Money Market Account) 5000 Van Nuys Blvd. Sherman Oaks, CA 91404	$100,001 - $250,000	INTEREST	$1 - $200	

	Wescom Credit Union (Fourth Account) 5000 Van Nuys Blvd. Sherman Oaks, CA 91403	$1,001 - $15,000	None	NONE	
	Wescom Credit Union (Second Account) 5000 Van Nuys Blvd Sherman Oaks, CA 91403	$1,001 - $15,000	None	NONE	
	Wescom Credit Union (Third Account) 5000 Van Nuys Blvd Sherman Oaks, CA 91403	$1,001 - $15,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Bradley James Sherman

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	Dreyfus CA Tax Exempt Bond - Dreyfus Fund, PO Box 9387 Providence, RI	S	Yes	12-28-12	$1,001 - $15,000
	Vanguard Intermediate CA Tax Exempt Bond Fund, PO Box 105433 Atlanta, GA 30348	P	No	See attached schedule	See attached schedule
	Vanguard TIPS Fund (IRA), PO Box 105433 Atlanta, GA 30348	P	No	See attached schedule	See attached schedule
	Vanguard TIPS Fund (personal account, not IRA), PO Box 105433 Atlanta, GA 30348	S(part)	Yes	See attached schedule	See attached schedule
	Vanguard TIPS Fund (personal account, not IRA), PO Box 105433 Atlanta, GA 30348	P	No	See attached schedule	See attached schedule

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Bank of America	January 2011	First Mortgage on Home with *rental property in* Washington, DC	$500,001 - $1,000,000
	Borrowing from Federal TSP	August 2012	Loan from TSP Account	$15,001 - $50,000

FOOTNOTES

Name Bradley James Sherman

Number	Section / Schedule	Footnote	This note refers to the following item
1	General Info	Filer holds promissory notes payable by his principal campaign committee, Sherman for Congress. These represent non-interest bearing loans from filer to Committee. Per pages 19 and 21 of 2012 From A Instruction Guide for financial disclosures, these notes are not disclosed herein. These loans are disclosed on relevant FEC filings of Sherman for Congress.	

Transactions Schedule

Vanguard Intermediate CA Tax-Exempt Board Fund

Date	Category	Amount
01/31	Income dividend reinvested	$86.87
02/29	Income dividend reinvested	$79.79
03/30	Income dividend reinvested	$84.63
04/30	Income dividend reinvested	$82.43
05/31	Income dividend reinvested	$84.29
06/29	Income dividend reinvested	$81.13
07/31	Income dividend reinvested	$83.08
08/31	Income dividend reinvested	$82.44
09/28	Income dividend reinvested	$79.48
10/31	Income dividend reinvested	$82.74
11/30	Income dividend reinvested	$80.35
12/31	Income dividend reinvested	$82.93

Vanguard TIPS Fund (Personal Account; Not IRA)

Date	Category	Amount
03/29	Income dividend reinvested	$1,637.43
06/28	Income dividend reinvested	$1,407.38
07/05	Sale	-$250,000.00
07/05	Sale	-$200,000.00
09/27	Income dividend reinvested	$763.01
12/19	Income dividend reinvested	$2,740.66
12/19	ST cap gain distribution reinvested	$296.13
12/19	LT cap gain distribution reinvested	$1,608.39

Vanguard TIPS Fund (IRA)

Date	Category	Amount
03/29	Income dividend reinvested	$358.26
06/28	Income dividend reinvested	$307.93
09/27	Income dividend reinvested	$617.27
12/19	Income dividend reinvested	$2,217.19
12/19	ST cap gain distribution reinvested	$239.57
12/19	LT cap gain distribution reinvested	$1,301.19

Capital Gain/Loss Schedule

Description of Property	Date Sold	Sales Price	Cost
Vanguard TIPS Fund (not IRA)	07/05/12	$250,000	$192,824
Vanguard TIPS Fund (not IRA)	07/05/12	$200,000	$154,259
Dreyfus CA Tax Exempt Bond Fund	12/28/12	$7,119	$6,376