

**HAND
DELIVERED**

**UNITED STATES HOUSE OF REPRESENTATIVES
2013 FINANCIAL DISCLOSURE STATEMENT**

For Use by Members, Officers, and Employees

Form A

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LEGISLATIVE RESOURCE CENTER

2014 MAY 15 PM 3:27

Name: Bradley James Sherman

Daytime Telephone: 202-225-5911

U.S. HOUSE OF REPRESENTATIVES
OFFICE OF THE CLERK
ML (Office Use Only)

FILER
STATUS



Member of or Candidate for
U.S. House of Representatives

State: California
District: 30



Officer or
Employee

REPORT
TYPE



2013 Annual (Due: May 15, 2014)



Amendment



Termination Date: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child:

a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or

b. Make more than \$200 in unearned income from any reportable asset during the reporting period?

Yes ☒ No ☐

F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?

Yes ☐ No ☒

B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?

Yes ☒ No ☐

G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?

Yes ☐ No ☒

C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?

Yes ☒ No ☐

H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?

Yes ☐ No ☒

D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?

Yes ☒ No ☐

I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?

Yes ☐ No ☒

E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?

Yes ☐ No ☒

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Yes ☐ No ☒

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Bradley James Sherman

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Bee Bee Draw/Hamberst Properties (small working interest in oil and gas wells in Weld County, CO; valuation is rough estimate; royalties net of expenses)																						Royalties														
	CA State legislators retirement pension from service on the CA Board of Equalization valued at 6/30/13 (end of fiscal year)																																				
	Sacramento, CA																																				

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	US Savings Bonds Interest earned, not received																																					
	Value of CPA Practice Equipment, including furniture																																					
	Vanguard Intermediate CA Tax Exempt Bond Fund																																					
	PO Box 105433 Atlanta, GA 30348																																					

S(part) PS

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Bradley James Sherman

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, S(part), or E			
	Vanguard TIPS Fund (IRA)							X									X			X																		
	PO Box 105433 Atlanta, GA 30348																																					
	Congressional Federal Credit Union Rayburn Building																																					
	Home with rental unit in Washington, DC (Address confidentially rent is gross before expenses)									X								X																				

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Bradley James Sherman

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
EIF		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000*	Spouse/DC Asset with Income over \$1,000,000*		
	Pacoima Credit Union Lakerien Terrace, CA		X															X							X											
	Union Bank of California Los Angeles, CA				X													X							X											
	Vanguard TIPS Fund (personal account-not IRA)					X										X			X								X									P S(part)
	PO Box 105433 Atlanta, GA 30348																																			

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	Wescom Credit Union (First account) 5000 Van Nuys Blvd. Sherman Oaks, CA 91403					X												X						X												
	Wescom Credit Union (Second account) Van Nuys Blvd. Sherman Oaks, CA 91403			X														X						X												

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Asset and/or Income Source			BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	EIF	A None	B \$1-\$1,000	C \$1,001-\$15,000	D \$15,001-\$50,000	E \$50,001-\$100,000	F \$100,001-\$250,000	G \$250,001-\$500,000	H \$500,001-\$1,000,000	I \$1,000,001-\$5,000,000	J \$5,000,001-\$25,000,000	K \$25,000,001-\$50,000,000	L Over \$50,000,000	M Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II \$1-\$200	III \$201-\$1,000	IV \$1,001-\$2,500	V \$2,501-\$5,000	VI \$5,001-\$15,000	VII \$15,001-\$50,000	VIII \$50,001-\$100,000	IX \$100,001-\$1,000,000	X \$1,000,001-\$5,000,000	XI Over \$5,000,000	XII Spouse/DC Asset with Income over \$1,000,000*	P, S, Spouse, or E
	Wescom Credit Union (Third Account) 5000 Van Nuys Blvd. Sherman Oaks, CA 91403				X														X																	
	Wescom Credit Union (Fourth Account) 5000 Van Nuys Blvd. Sherman Oaks, CA 91403					X												X																		

SCHEDULE B - TRANSACTIONS

Name: Bradley James Sherman

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YYYY) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000 (Spouse/DC Asset)
Example	Mega Corp. Stock			X		X	3/5/13		X									
	Vanguard Intermediate CA	X	X	X														
	Tax Exempt Bond Fund - see attached schedule of transactions																	
	Vanguard TIPS Fund Personal Account - not IRA - see attached schedule of transactions	X		X		X												
	Vanguard TIPS Fund - IRA - see attached schedule of transactions	X																

Bradley James Sherman 10/24/15

Vanguard TIPS Fund (Not IRA)

Date	Transaction	Amount
03/27	Income dividend reinvested	\$83.57
03/27	ST cap gain distribution reinvested	\$35.82
03/27	LT cap gain distribution reinvested	\$495.45
06/27	Income dividend reinvested	\$269.59
07/08	Partial sale	\$25,000
09/26	Income dividend reinvested	\$948.44
11/06	Partial sale	\$25,000
11/08	Partial sale	\$25,000
12/26	Income dividend reinvested	\$690.91

Vanguard TIPS Fund – IRA

Date	Transaction	Amount
03/27	Income dividend reinvested	\$67.61
03/27	ST cap gain distribution reinvested	\$28.97
03/27	LT cap gain distribution reinvested	\$400.82
06/27	Income dividend reinvested	\$218.10
09/26	Income dividend reinvested	\$912.75
12/26	Income dividend reinvested	\$1,065.98

Bradley James Sherman 11/4/15

Vanguard CA Intermediate Tax-Exempt Fund

Date	Transaction	Amount
01/31	Income dividend reinvested	\$83.31
02/28	Income dividend reinvested	\$78.43
03/28	Income dividend reinvested	\$93.25
04/30	Income dividend reinvested	\$89.43
05/31	Income dividend reinvested	\$84.01
06/28	Income dividend reinvested	\$79.51
07/11	Partial sale	\$25,000
07/31	Income dividend reinvested	\$37.31
08/30	Income dividend reinvested	\$15.55
09/30	Income dividend reinvested	\$15.17
10/31	Income dividend reinvested	\$15.74
11/29	Income dividend reinvested	\$15.16
12/13	Sale	\$5,705.90

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EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

[illegible]

SCHEDULE D – LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Bank of America (paid off through re-financing)	1/2011	First mortgage on home with rental property in Washington, DC						X					

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE D - LIABILITIES

Name: Bradley James Sherman

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Borrowing from Federal Thrift Savings Program	8/2012	Loan from TSP		X									
	Sandy Spring Bank, then sold to Chase in February 2013	1/2013	First mortgage on home with rental property in Washington, DC						X					

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

FOOTNOTES

Number	Section/Schedule	Footnote
1	General Info	Filer holds promissory notes payable by his principal campaign committee, Sherman for Congress. These represent non-interest bearing loans from filer to Committee. Per page 21 of 2013 Form A Instruction Guide for Financial Disclosures, these promissory notes are not disclosed herein. These loans are disclosed on relevant FEC filings of Sherman for Congress.
2	General Info	Filer owns a home in his district. Because that home is not subject to a mortgage, and does not produce rental income, it is not listed in this report.
3	General Info	We have been advised that the phrase "trust benefiting you" does not apply to a trust from which the filer receives no benefit, but which will provide a benefit at some future time upon the death of the current beneficiary (but only if the trust happens to still have assets at that time).
4	General Info	The valuation of filers state pension is as of 06/30/13, the end of California's fiscal year.