	Yes □ No ✓	<u>.</u>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	ts, "unearned" ir Do not answer "y	Exemptions Have you excluded from this report any other asset because they meet all three tests for exemption?	
	□ 8 €	Yes	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	d by the Commit ails of such a tru	Trusts— Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain disclosed. Have you excluded from this report details of such a trust benefiting you, your specific process.	
	□ 8 √	Yes	lai Public Offering?	s a part of an init	IPO- Did you purchase any shares that were allocated as a part of an initial Public Offering?	
	STIONS	SE QUE	INFORMATION - ANSWER EACH OF THESE QUESTIONS	OR TRUST I	IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST	I₩
	ppropriate	and the a	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	Yes V No	if yes, complete and attach Schedule V.	<u> </u>
			If yes, complete and attach Schedule IX.		if yes, complete and attach Schedule IV.	
<u> </u>	Yes No V		Did you have any reportable agreement or arrangement with an outside IX. entity?	Yes V No	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	<u>.</u>
	Yes 🗸 No		VIII. current calendar year? If yes, complete and attach Schedule VIII.	Yes V No	more than \$200 in the reporting period of hold any reportable asset worth y more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	
		ï	If yee, complete and attach Schedule VII.		if yes, complete and attach Schedule II.	1
	Yes 🗸 No 🗌	9	Did you, your spouse, or a dependent child receive any reportable travel or VII. relimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes No	Did any individual or organization make a donation to charity in iteu of paying you for a speech, appearance, or article in the reporting period? Y	F
			If yes, complete and attach Schedule VI.		If yes, complete and attach Schedule I.	
<u>S</u>	Yes 🗌 No		Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes V No	Did you or your spouse have "eamed" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	-
			THESE QUESTIONS		PRELIMINARY INFORMATION ANSWER EACH OF	ס
	more than 30 days late.	more tha	Termination Date:	☐ Termination	Report Type ✓ Annual (May 15) ☐ Amendment	
# -	A \$200 penalty shall be assessed against anyone who files	A \$200 penalty st be assessed again	Officer Or Employing Office: Employee		Filer Member of the U.S. State: CA House of Representatives District: 43	
-	(Office Use Only)	(Office	(Daytime Telephone)	:	(Full Name)	
5	2013 AUG 23 AUTH: 21 U	3 AIII: 2	2913 33		MAXINE WATERS	
ಪ	AUG 15 2013	AUG	FORM A Page 1 of 9 For use by Members, officers, and employees	ATIVES	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT	

SCHEDULE I - EARNED INCOME

Name MAXINE WATERS

Page 2 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
State of California Legislative Pension	sion	\$5,893
PRIME AUTOMOTIVE SPOUSE CONSULTING FEE GROUP,INC/SOUTH BAY AUTO	SULTING FEE	N/A

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name MAXINE WATERS	WATERS		Page 3 of 9
	BLOCK A	всоск в	BLOCK C	вгоск в	BLOCK E
ASSet and cach asset value exceeding \$1, reportable asset or "unearned" income Provide complete n For all IRAs and oth each asset held in t for rental or other r a description, e.g., a description in Block A. Exclude: Your personance of the location in Block and the state the name of the location in Block and the state the name of the location in Block and the state the state the name of the location in Block and the state the name of the location in Block and the state the name of the location in Block and	Asset and/or income Source identity (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all iRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposts totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. For a detailed discussion of Schedule III requirements, please refer to the For a detailed discussion of Schedule III requirements, please refer to the	Year-End Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Nore" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
For a detailed discuinstruction booklet.	optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.				
SP	2201 Kirk St.,Houston,TX	\$1,001 - \$15,000	None	NONE	
JT	549 So.Lucerne Blvd.,L.A.	\$1,000,001 - \$5,000,000	None	NONE	
SP	701 W. Barstow;Palm Springs,CA	\$1,001 - \$15,000	None	NONE	
SP	American Golf Jt. Venture	\$100,001 - \$250,000	INTEREST	NONE	
SP	American Golf Jt. Venture	\$100,001 - \$250,000	Other: Other: Profit Sharing	\$15,001 - \$50,000	
	Congressional Federal Credit Union	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	IE Name MAXINE WATERS	WATERS		Page 4 of 9
[°] SP	DWS CA Tax Free Fund	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
SP	ECI Holding, Inc	\$1,001 - \$15,000	INTEREST	NONE	
SP	FIA CARD SERVICES NA RASP (IRA)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	1
SP	Merrill Lynch Cap Fund- Blackrock Balanced (IRA)	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
SP	Merrill Lynch Global Allocation Fund-Blackrock Global	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	
SP	OneUnited Bank	\$100,001 - \$250,000	DIVIDENDS	NONE	
SP	OneUnited Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
ЯS	Siebert Brandford	\$1,001 - \$15,000	Other: 401K	NONE	
SP	Tract Unit 3357, Lot 96, CA	\$1,001 - \$15,000	None	NONE	
SP	Wells Fargo Bank	\$1 - \$1,000	INTEREST	\$1 - \$200	

SCHEDULE IV - TRANSACTIONS

Name MAXINE WATERS Page 5 of 9

is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	BLACKROCK BALANCED CAP.FUND (IRA)	S(part)	No	03-1	03-15-12
SP	BLACKROCK BALANCED CAP.FUND (IRA)	S(part)	No	-60	09-11-12
SP	BLACKROCK GLOBAL ALLOCATION FUND (IRA)	S(part)	No	ဥ	03-15-12
SP .	BLACKROCK GLOBAL ALLOCATION FUND (IRA)	S(part)	No	0	09-11-12
SP	FIA CARD SERVICES (IRA)	S(part)	N _o	_	07-18-12
SP	FIA CARD SERVICES (IRA)	S(part)	No		02-17-12
P	FIA CARD SERVICES (IRA)	S(part)	S _O		02-27-12
SP	FIA CARD SERVICES (IRA)	P	N/A		3-21-12
SP	FIA CARD SERVICES (IRA)	S(part)	N _O		04-18-12
SP	FIA CARD SERVICES (IRA)	S(part)	No		01-18-12
SP	FIA CARD SERVICES (IRA)	S(part)	No		06-18-12

SCHEDULE IV - TRANSACTIONS

Name MAXINE WATERS Page 6 of 9

is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse or dependent child.

SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
SP	FIA CARD SERVICES (IRA)	S(part)	No	12-18-12	\$1,001 - \$15,000
SP	FIA CARD SERVICES (IRA)	S(part)	No	08-17-12	\$1,001 - \$15,000
SP	FIA CARD SERVICES (IRA)	ס	N/A	09-17-12	\$15,001 - \$50,000
SP	FIA CARD SERVICES (IRA)	S(part)	No	09-18-12	\$1,001 - \$15,000
SP	FIA CARD SERVICES (IRA)	S(part)	o	10-18-12	\$1,001 - \$15,000
SP	FIA CARD SERVICES (IRA)	S(part)	No	11-16-12	\$1,001 - \$15,000
SP	FIA CARD SERVICES (IRA)	S(part)	N _o	05-18-12	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount

Name MAXINE WATERS

Page 7 of 9

owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; for liabilities held solely by your spouse or dependent child. liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. "This column is

JT		SP, DC, JT
Chase	Congressional Federal Credit Union	Creditor
		Date Liability Incurred
Mortgage on 549 S. Lucerne Bl.,Los Angeles,CA	Revolving Charge Account	Type of Liability
\$1,000,001 - \$5,000,000	\$15,001 - \$50,000	Amount of Liability

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name MAXINE WATERS

Page 8 of 9

spouse or dependent child that is totally independent of his or her relationship to you. Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the

Source	Date(s)	Point of Departure DestinationPoint of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family g? Food? Member Included? (Y/N) (Y/N)	Days not at sponsor's expense
ESSENCE Music Festival	July 7	LA-New Orleans-DC	Z	~	Z	None
African American Credit Union Coalition	August 4	Washington-Charleston- Detroit	Z	Y	Z	None
The Community Brainstorming Conference	November 16	Washington-Milwaukee-LA	~	~	Z	None

SCHEDULE VIII - POSITIONS

Name MAXINE WATERS

Page 9 of 9

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Black Women's Forum
Director	Gourmet Services
Director	Minority AIDS Project
Director	African American 2000 and Beyond