	Yes No	child Ethics.	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Have you excluded from this report any other assets, "unearned" income, transactions, or libecause they meet all three tests for exemption? Do not answer "yes" unless you have first	n this report any other a		Exemptions	
	Yes No V	<b>be</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain disclosed. Have you excluded from this report details of such a trust benefiting you, your specific process.	lified Blind Trusts" appr cluded from this report	Details regarding "Quadisclosed. Have you ex	Trusts	
	Yes No		ublic Offering?	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	shares that were allocate	Did you purchase any s	IPO	
	QUESTIONS	ESE G	INFORMATION ANSWER EACH OF THESE QUESTIONS	, OR TRUST INFO	SE, DEPENDENT, OR TRUST	IPO and EXCLUSION OF SPOUSE,	PO and EXC	=
	ponse.	es" res		[		If yes, complete and attach Schedule V.	If yes, comple	
	the	ed and t	Each question in this part must be answered and the	Yes 🗸 No 🗌	any reportable liability	Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	V. (more than \$10,0	•
:			If yes, complete and attach Schedule IX.			ff yes, complete and attach Schedule IV.	If yes, comple	
<u> </u>	e Yes □ No ☑	an outside	Did you have any reportable agreement or arrangement with an outside entity?	Yes 🗸 No 🗌 IX.	se, sell, or exchange any 30 during the reporting	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting	Did you, your sp IV. reportable asset	-
			If yes, complete and attach Schedule VIII.			If yes, complete and attach Schedule III.	If yes, comple	i
	the Yes ✔ No 🗌	f filling in th	Did you hold any reportable positions on or before the date of filing in the II. current calendar year?	Yes V No VIII.	ve "unearned" income of ny reportable asset worth	Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Did you, your sp III. more than \$200 i	=
			ff yes, complete and attach Schedule VII.			If yes, complete and attach Schedule II.	If yes, comple	
	eior 50 Yes ✔ No ☐	table travels than \$350		Yes No VII.	on to charity in lieu of payin porting period?	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Did any individu	=
			If yes, complete and attach Schedule VI.			If yes, complete and attach Schedule I.	If yes, comple	i
	in Yes No 🗸	table gift in t otherwise	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise	Yes 🗸 No 🔲 VI.	.g., salaries or fees) of \$200 ?	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?		÷.
			STIONS	OF THESE QUESTIONS	ANSWER EACH OF	PRELIMINARY INFORMATION	RELIMINAR	اج
	more than 30 days late.	mor late.	Termination Date:	☐ Termination	☐ Amendment	Annual (May 15)	Report Type	
	A \$200 penalty shall be assessed against anyone who files	A \$2	Officer Or Employing Office: Employee	Offic	State: CA htive District: 49	✓ Member of the U.S. House of Representative	Filer Status	
7	(Office Use Only)	<u> </u>	(Daytime Telephone)		(Full Name)	(Fc		
3:55 J	2010 2011 10 611 3: 55	22			The Honorable Darrell Issa	The Honora		
TAPED.	HAND DET WEDED	/H	FORM A Page 0 of 0 For use by Members, officers, and employees		OF REPRESENTATIVES DISCLOSURE STATEMENT	UNITED STATES HOUSE C	UNITED ST	
		]						

### **SCHEDULE I - EARNED INCOME**

Name The Honorable Darrell Issa

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source     Type     Amount       Greene Properties     Spouse Salary     N/A	\$1,000.		
Spouse Salary	Source	Туре	Amount
	Greene Properties	Spouse Salary	N/A

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name

		T GALLING			
	BLOCK A	вгоск в	BLOCK C	BLOCK D	BLOCK E
Ass	Asset and/or Income Source	Year-End	Type of Income	Amount of Income	Transaction
Identify (a) each	Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other	Value of Asset	Check all columns that apply. For retirement accounts that	For assets for which you checked "Tax-Deferred" in Block C, you	Indicate If asset had purchases (P),
reportable asse	reportable asset or sources of income which generated more than \$200 in "upparted" income during the year.	Indicate value of asset at	do not allow you to choose specific investments or that	may check the "None" column.  For all other assets, indicate the	sales (S), or exchanges (E)
		you use a valuation	generate tax-deferred income	category of income by checking	exceeding \$1,000 in
Provide comple	Provide complete names of stocks and mutual funds (do not use ticker symbols.)	method other than fair market value, please	(such as 401(k) plans or IRAs), you may check the "None"	the appropriate box below.  Dividends, interest, and capital	reporting year.
For all IRAs and	For all IRAs and other retirement plans (such as 401(k) plans) provide the value for	specify the method used.	column. Dividends, Interest,	gains, even if reinvested, must be	
each asset here	פקטו מפפר זופוט ווו חוב מיריטוווו חופו פערפפחם וופ ופלטווווא מוופפווטומם.	If an asset was sold during	reinvested, must be disclosed	"None" if no income was earned	
For rental or ot	For rental or other real property held for investment, provide a complete address or	the reporting year and is	as income. Check "None" if the asset generated no income	or generated.	
		generated income, the	during the reporting period.	* This column is for income	
For an ownersh	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its decorabhic	value should be "None."		generated by assets neid solely by your spouse or dependent	
location in Block A.	жA.	* This column is for assets		child.	
Exclude: Your p	Exclude: Your personal residence, including second homes and vacation homes	heid solely by your spouse or dependent child.			
\$5,000 or less in	(unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest				
Savings Plan.	an, or moome genveg from, a regeral regreniem program, including the fitting. Savings Plan.				
If you so choose, you may indi spouse (SP) or dependent chili optional column on the far left.	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.				
For a detailed discuinstruction booklet.	For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.				
	See Attachment				į

Schedule III - Total Assets and "Unearned" Income Year: 2012 Honorable Darrell Issa

Alliance Bernstein High Income Fund CI A   A. None   A	Investment	İ			Va	ue	으	Value of Asset	Še	~		İ		i	=	ន្ត	come Type		¥	P		j			15	Ē	ĮĀ	읔	Ž	Amount of Income	高	1	1	Transaction
None																														<u> </u>				
Income Fund CI A  Bond Fd CI A  X  Bond Fd CI A							5. \$250,001-\$500,000						<del> </del>		Rent			expected Trust	Qualified Blind Trust	Other Type of Income		•							\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000		_	
Incomine Fund CI A    Sound Fd CI A   X   X   X   X   X   X   X   X   X							_							-										-					<u> </u>					
Security   Fund CI A	Alliance Bernstein High income Fund C! A												×		$\vdash$	Щ		×	Щ						$ldsymbol{ldsymbol{ldsymbol{eta}}}$			_					×	
Tr SBI CI A	Allianz AGIC High Yield Bond Fd CI A							_			lacksquare		×	_	×			×			Î	-	╁	┢	₩	<u> </u>	<u> </u>		<u> </u>	L			×	
Prid 9.60% Aug 1 2069 CUSIP 05531B2011         X	American High Income Tr SBI CI A	×		_	<u> </u>	<u> </u>	_	ļ		L	L		┝		Ľ			×	╙		Г	┢	┢	▙	├	_	╙	┖	$\vdash$	L		×	$\vdash$	P,S
sd Portfolio CI A         X	BB&T Capital Trust VI Pfd 9.60% Aug 1 2069 CUSIP 05531B201	×		_		_	_	L			<u> </u>	$\vdash$	┝	⊢	╙		×	×	ļ			┢	$\vdash$	-	<u> </u>		ļ	┡	_		×		Т	P,S
Condition	BlackRock High Yield Bd Portfolio Cl A						_	<u> </u>				×		<u>.</u>	Ľ			×	╙	-			$\vdash$		<u> </u>	ļ		_	_			Π	×	
ML Bank Deposit Program, Preferred Deposit)    X	BlackRock Science & Technology Opportunities Cl A	×		L		<u> </u>	<u> </u>	<u> </u>			<u> </u>	<u> </u>	┝	├	╙	<u> </u>		×	╙	1		┢	┢	┢	┡	┞	上	┡	$ldsymbol{f eta}$		×	Г	+-	P,S
Size Muni Bd Fd Cl A	Cash & Money Accts (ML Bank Deposit Program, Preferred Deposit)			L		<u> </u>	<u> </u>	<u> </u>		×	<u> </u>	-		-	ļ	<u> </u>	×		<u> </u>			<del>                                     </del>	<u> </u>	-	<u> </u>		lacksquare	×	<u> </u>				t	
Credit Union	Columbia CA Intermediate Muni Bd Fd Cl A		<u> </u>			<u> </u>	<u> </u>	<u> </u>		×	_	┡	H		Ľ				L			┢	Н	┝	ļ	_	Ι×	╙	L			Γ	$\vdash$	D
Credit Union	Columbia High Yield Opportunity Fund CI A			_		<u> </u>	×				_	⊢		-	ľ	L		×	<u> </u>			<del>                                     </del>	$\vdash$	<u> </u>	_	_	┖	↓_	$oldsymbol{\perp}$	L	×	Ī	$\vdash$	
N	Congressional Federal Credit Union				X	_					<u> </u>	ļ	_	<b> </b>			×		L				1.	Ĥ	_	<u> </u>	┖	<u> </u>	igspace			Г	$\vdash$	
Tield Fd Ci A	First Eagle Global Fd Cl A	×	$oldsymbol{ol}}}}}}}}}}}}}}}$									⊢		<u> </u>	×	<u> </u>		×	<u> </u>				<del>                                     </del>				_		匚			×	H	P,S,E
X	First Eagle High Yield CI A			Щ	Щ	Щ		Щ.		Щ	×	$\vdash$	$\vdash$	_	Ľ.	Щ.		Щ.	<u> </u>		_	┢━	┢	Ь.	Ь.	<u>L</u>	Ц.	<u>Ļ</u> .	×	$oldsymbol{oldsymbol{oldsymbol{eta}}}$		Γ	一	P,E
X X X X X X X X X X X X X X X X X X X	Ford Steps Issuer SEK	×											>		×				_			$\overline{\mathbf{v}}$	H	┝	_	_	_		<u> </u>				$\vdash$	S
X X X X X X X X X X X X X X X X X X X	GE Stars Issuer BAC*	×								lacksquare	Щ	H	<u> </u>	Ĥ	ļ				ļ			v	<u> </u>	H	┡	L	<b>!</b>	<u> </u>	<u> </u>	$oldsymbol{ol}}}}}}}}}}}}}}}}}$			<del>                                     </del>	S
X X X X X X X X X X X X X X X X X X X	GT Steps Issuer Barc	×											$\rightarrow$		$\stackrel{ iny X}{-}$				<u> </u>			$\overline{}$	Ë				Ш	_					Т	S
× × × × × × × × × × × × × × × × × × ×	Goldman Sachs High Yield Fd Cl A	×													×			X		l		_	$\vdash$		L	L	<u> </u>	ļ		L		×	$\vdash$	P,S
	lvy High Income Fd Cl A				Щ								×		Ľ		<u> </u>	×	_				1	-	<b>├</b> ─	_	<u> </u>		<u> </u>	$oxed{oxed}$		Ì	×	
	JP Morgan High Yield Cl A	×	<u> </u>	ᆫ		_		_				$\vdash$	-	<u> </u>	Ľ	<u> </u>		×	_	ı			$\vdash$	┡		L	L.	┕				×		P,S

Investment			_	Val	це	약	Value of Asset	se					_	ncome Type	Ĭ		Σď	Φ				≥	no	툂	<u>ç</u>	5	Amount of Income	ne			Transaction
	A. None	B. \$1-\$1,000	C. \$1,001-\$15,000	D. \$15,001-\$50,000	E. \$50,001-\$100,000	F. \$100,001-\$250,000	5. \$250,001-\$500,000	н. \$500,001-\$1,000,000	. \$1,000,001-\$5,000,000	l. \$5,000,001-\$25,000,000 K. \$25,000,001-\$50,000,000	L. Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Expected Trust	Qualified Blind Trust	Other Type of Income	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	P, S, E, R (security redemption as it matured in 2012)
		$\vdash$						_																							
JPM Stars Issuer BAC	×	Ш	Ш		Ш	Щ	Щ			Н						×								×		Ш	Ш				S
Lord Abbett Bond Debenture Cl A											×		×															X	L		٦
Lord Abbett High Yield Fd Cl A										×			×			×	_									$ldsymbol{ld}}}}}}$	$ldsymbol{ld}}}}}}$		L	×	P,S
Lord Abbett Value Opportunities Fd Cl A	×									$\vdash$						×												×			တ
Mainstay High Yield Corporate Bond Cl A		<u> </u>	<u> </u>		<u> </u>	┞	_		_		×		×			×	⊢	L				П				<u> </u>	$oxed{oxed}$			×	P,S,E
MFS High Income CI A	×				┡	-	<u> </u>			H			×			×	⊢									×	L				P,S
MFS High Yield Opportunities Fd Cl A	×			ļ	-		ļ		_			_	×			×	┝											×	L		P,S
MFS Utilities Fund CI A	×	⊢	<u> </u>	L	<u> </u>	_			<u> </u>	┝		<u> </u>	×	L		×	⊢										L		×		P,S
Oppenheimer Global Strategic Income Fd Cl A							×			-			×			×	_										L	×			P,S,E
Oppenheimer Limited Term CA Muni Cl A	_	<u> </u>		_	_	┡	<u> </u>		<u> </u>	<del> </del> ×			×			<u> </u>	-								×		<u> </u>		L		P
Principal High Yield Fund CI A	_	<u> </u>	<u> </u>	ldash	<u> </u>	_	<u> </u>		<u> </u>	├	×	$ldsymbol{ld}}}}}}$	×			×	ļ													×	P,S,E
Putnam High Yield Advantage Fd Cl A											X		×				_										L		×		U
Putnam High Yield Trust Fd Cl A									×	$\vdash$			×			×	$\vdash$									ldash			L	×	P,S
es	×												×			×	<u> </u>										_	×	L		P,S
Greene Properties**				×					_	H					×	_	-		×										L	×	
DEI LLC	X				_										×		H		×							×	L				
Fermi Court LP										×				×												L		×			
212 Main Street LP					_	_	<u> </u>		×	├		$ldsymbol{ld}}}}}}$		×		<u> </u>	⊢									L	×				
5931 Priestly Dr LP										×				×						×											
3186 Vista Way LP										×				×			_										×		L		
1800 Carillon LP									×					×														×	L		
12400 Stowe Drive LP										×				×														×			
Slater PI LP		<del> </del>	<u> </u>	_	├-	_	<u> </u>		×			_		×			-			×						<u> </u>	L		L		
Ocean Collection LP	ļ	<u> </u>					<u> </u>		×					×		ļ				×						ļ.,			L		
La Pacifica LP	<u> </u>	$\vdash$		-					<u> </u>	×				×		<u> </u>				×							L		L		

Investment		]		Value of Asset	5	앜	8	Se	1	ł	1			Income Type	lŝ	Iº.	Ą	] <sup>©</sup>		]		1≥	18 □	Amount of Income	<u> </u>	Ĭž	<b>1</b> 8	를	1	1	Transaction
	A. None	В. \$1-\$1,000	C. \$1,001-\$15,000	D. \$15,001-\$50,000	E. \$50,001-\$100,000	F. \$100,001-\$250,000	G. \$250,001-\$500,000	H. \$500,001-\$1,000,000 I. \$1,000,001-\$5,000,000	. \$5,000,001-\$5,000,000 J. \$5,000,001-\$25,000,000	K. \$25,000,001-\$50,000,000	L. Over \$50,000,000	None	Dividends	Rent	nterest	Capital Gains	Expected Trust	Qualified Blind Trust	Other Type of Income	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	P, S, E, R (security redemption as it matured in 2012)
					4		┺	4	-	$\blacksquare$							₽-	L													
1200 Harbor Dr LP				_	┡	_	┡	×	┝	$\vdash$		×					<u> </u>	L		×		Г				L	Ĺ				
2585 Business Park LP									×	Н				Х											×						
2067 W Vista Way LP	×													X		X										L		×			S
2280 Faraday Ave LP	×			Щ	$\vdash$									X		X	<u> </u>	L								L			×		S
Tahoe Beach LP									X			X								Х											
1 Viper Way LP	×						<u> </u>	<u> </u>	┝	$\vdash$				×		×										Ĺ				×	s
Tri Cities Corporate Towers LP									×	Ĥ				×			<u> </u>											×			
Directed Insurance								×	_	┢			×		×	×	_		×									L	×		

<sup>\*</sup>In 2011, this investment was reported as GE Stars Issuer SEK. The correct issuer is BAC.

Valuation for Greene Properties, DEI LLC, Directed Insurance, and all LPs is Net Book Value

Greene Properties is a property management company located in Vista, CA

DEI LLC is a commercial property management company located in Vista, CA

Fermi Court LP owns property located 5540 and 5541 Fermi Court in Carlsbad, CA

212 Main Street LP owns property located at 212 S. Main Street in Fallbrook, CA

5931 Priestly Dr LP owns property located at 5931 Priestly Dr. in Carlsbad, CA

3186 Vista Way LP owns property located at 3186 Vista Way in Vista, CA

<sup>\*\*3142</sup> and 3156 Vista Way were transferred into Tri Cities Corporate Towers LP on December 20, 2012. 1 Viper Way was transferred into 1 Viper Way LP on December 20, 2012.

1800 Carillon LP owns property located at 1800 Carillon in Cincinnati, OH

12400 Stowe Drive LP owns property located at 12400 Stowe Dr in Poway, CA

Slater PI LP owns property located at Lots 19, 20, 21 and 22 Bressi Ranch, Carlsbad, CA

Ocean Collection LP owns property located at 2510, 2520, 2540, 2542, 2544, 2546, 2550, 2552, 2554, and 2556 Gateway Road, Carlsbad, CA

La Pacifica LP owns property located at 3801, 3819 and 3817 Ocean Ranch Blvd, Oceanside, CA

1200 Harbor Dr LP owns property located at 1200 Harbor Dr, Oceanside, CA

2585 Business Park LP owns property located at 2585 Business Park, Carlsbad, CA

2067 W Vista Way LP owned property located at 2067 Vista Way, Vista, CA (sold in 2012), see Schedule IV

2280 Faraday Ave LP owned property located at 2280 Faraday Ave, Carlsbad, CA (sold in 2012), see Schedule IV

Tahoe Beach LP owns property located at 314 Beach Dr, South Lake Tahoe, CA

1 Viper Way LP owned property located at 1 Viper Way, Vista, CA (sold in 2012), see Schedule IV

TriCities Corporate Towers LP owns property located at 3142 and 3156 Vista Way in Vista, CA

Directed Insurance is a captive insurance company located in Park City, UT

Schedule IV - Transactions Total Year: 2012 Honorable Darrell Issa

Investment	Ţ	Туре			Date			Ą	noun	nount of Transaction	ansa	ction				
	urchase	ale	xchange	heck box if capital gain exceeded \$200		\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$ \$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	COver \$50,000,000
Alliance Bernstein High income Fund Cl A	×				12/31/2012					×					_	
Alliance Bernstein High income Fund Cl A		×		×	12/31/2012				×							
Alliance Bernstein High income Fund Cl A	×		L.		12/28/2012									×		
Alliance Bernstein High income Fund Cl A		×		×	12/28/2012									×		
Alliance Bernstein High income Fund Cl A	×				12/24/2012		L								×	
Alliance Bernstein High income Fund Cl A		×		×	12/24/2012				,						×	
Alliance Bernstein High income Fund Cl A	×				12/21/2012						×			L		
Alliance Bernstein High income Fund Cl A	×	L	L	L	12/13/2012	L	L			×					$\perp$	L
Alliance Bernstein High income Fund Cl A	×	_			11/21/2012		igspace				×					L
Alliance Bernstein High income Fund Cl A	×	$oldsymbol{ol}}}}}}}}}}}}}}$	╙		10/22/2012		_				×				_	
Alliance Bernstein High income Fund Cl A	×			L	9/21/2012				į		×					
Alliance Bernstein High income Fund Cl A  Alliance Bernstein High income Fund Cl A	××				8/27/2012 7/23/2012		_				××			$\perp$		
Alliance Bernstein High income Fund Cl A	×				7/11/2012									×		
Alliance Bernstein High income Fund Cl A	×				5/21/2012						×					
Alliance Bernstein High income Fund Cl A	×				4/23/2012						×					
Alliance Bernstein High income Fund Cl A	×		L		3/21/2012						×					
Alliance Bernstein High income Fund Cl A	×		L		3/5/2012								×			
Alliance Bernstein High income Fund Cl A	×				2/21/2012						×					

Investment	<u>.</u>	Type			Date			≥	mour	mount of Transaction	ransa	ction	_			
	Purchase	Sale	Exchange	Check box if capital gain exceeded \$200		<b>4 \$1-\$1,000</b>	3 \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	= \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001 <b>-\$</b> 5,000,000	\$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
Alliance Bernstein High income Fund CI A	×				1/23/2012					×						
Alliance Bernstein High income Fund CI A			×		12/24/2012			×								
Alliance Bernstein High income Fund CI A		×			12/26/2012	×										
Alliance Bernstein High income Fund Cl A	×		_		11/21/2012	×										
Alliance Bernstein High income Fund Cl A	×				10/22/2012	×										
Alliance Bernstein High income Fund Cl A	×				9/21/2012	×										
Alliance Bernstein High income Fund CI A	×				8/27/2012	×										
Alliance Bernstein High income Fund Cl A	×				7/23/2012	×										
Alliance Bernstein High income Fund CI A	×		L		6/21/2012	×										
Alliance Bernstein High income Fund Cl A	×				5/21/2012	×										
Alliance Bernstein High income Fund Cl A	×		Ļ		4/23/2012	×										
Alliance Bernstein High income Fund Cl A	×		_		3/21/2012	×										
Alliance Bernstein High income Fund Cl A	×		<u> </u>	┖	2/21/2012	×										]
Alliance Remstein High income Fund Cl A	×	×	$\perp$	× L	2/7/2012			××								
Alliance Bernstein High income Fund Cl A	×				11/21/2012	×										
Alliance Bernstein High income Fund Cl A	×				10/22/2012	×										
Alliance Bernstein High income Fund Cl A	×			L	9/21/2012	×										
Alliance Bernstein High income Fund Cl A	×		ļ		8/27/2012	×										
Alliance Bernstein High income Fund Cl A	×		┷	_	7/23/2012	×										
Alliance Bernstein High income Fund CI A	× :		ightharpoonup	$\bot$	5/21/2012	×  :							$\perp$		$\perp$	
Alliance Bernstein High income Fund Cl A	×	L		L	4/23/2012	×										

Exchange  Check box if capital gain exceeded \$200  \$730,020,123,123,123,123,123,123,123,123,123,123	Investment	-4	Туре			Date			₽	mour	mount of Transaction	ansa	action				
X   X   X   X   X   X   X   X   X   X					apital gain exceeded \$200			000	0,000	00,000	250,000	500,000	1,000,000	\$5,000,000	\$25,000,000	-\$50,000,000	000,00
nd CI A         X         2271/2012         X         2171/2012         X         X         2171/2012         X         X         X         2171/2012         X		Purchase	Sale	Exchange	Check box if capit		A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,00	D \$50,001-\$100,0	E \$100,001-\$250	F \$250,001-\$500,	G \$500,001-\$1,00	H \$1,000,001-\$5,	1 \$5,000,001-\$25,	J \$25,000,001-\$5	K Over \$50,000,0
Ind CIA         X         2/1/2012         X           CIA         X         12/28/2012         X           DIA         X         X         12/28/2012         X           DIA         X         X         12/24/2012         X         X           DIA         X         X         12/24/2012         X         X           DIA         X         X         11/16/2012         X         X           DIA         X         X         14/20/2012         X         X           DIA         X         X         14/20/2012         X         X           DIA         X         X         11/20/2012         X	Alliance Bernstein High income Fund Cl A	×				2/21/2012	×										l
DIA         X         12/28/2012         X           DIA         X         X         12/28/2012         X           DIA         X         X         12/28/2012         X         X           DIA         X         X         12/24/2012         X         X           DIA         X         11/16/2012         X         X         X           DIA         X         11/16/2012	Alliance Bernstein High income Fund Cl A	×				2/7/2012			×								
DIA         X         12/28/2012         X         X         X         12/28/2012         X																	
DA         X         X         12/28/2012         X         X           DIA         X         X         12/24/2012         X         X           DIA         X         X         12/24/2012         X         X           DIA         X         11/16/2012         X         X         X           DIA         X         9/21/2012         X         X         X           DIA         X         9/21/2012         X         X         X           DIA         X         4/20/2012         X         X         X           DIA         X         9/21/2012         X         X         X           DIA         X         4/20/2012         X         X         X           DIA         X         9/10/2012         X         X         X           DIA         X         9/10/2012         X         X         X           DIA         X         9/10/2012         X         X         X           DIA         X         X         9/10/2012         X         X         X           DIA         X         X         9/10/2012         X         X         X		×				12/28/2012									×	_	
DIA         X         12/24/2012           DIA         X         X         12/24/2012           DIA         X         X         12/24/2012         X           DIA         X         11/16/2012         X         X           DIA         X         9/21/2012         X         X           DIA         X         9/21/2012         X         X           DIA         X         9/21/2012         X         X           DIA         X         9/10/2012         X         X           DIA         X         X         9/10/2012         X         X           X         X         9/10/2012         X         X           X         X         9/10/2012         X         X           X         X         9/10/2012         X	Allianz AGIC High Yield Bond Fd Cl A		×		×	12/28/2012									×	_	
DIA         X         X         12/24/2012         X         X         12/24/2012         X         X         DIA         X         X         12/21/2012         X         <	Allianz AGIC High Yield Bond Fd CI A	×				12/24/2012									_	×	
DIA         X         12/21/2012         X         X           DIA         X         11/16/2012         X         X           DIA         X         9/21/2012         X         X           DIA         X         8/17/2012         X         X           DIA         X         5/18/2012         X         X           DIA         X         3/23/2012         X         X           DIA         X         X         X         X         X           DIA         X         X         X         X	Allianz AGIC High Yield Bond Fd CI A		×		×	12/24/2012									<u> </u>	×	
GD A         X         11/16/2012         X         X           GD A         X         10/19/2012         X         X           GD A         X         9/21/2012         X         X           GD A         X         8/17/2012         X         X           GD A         X         5/18/2012         X         X           GD A         X         4/20/2012         X         X           GD A         X         3/23/2012         X         X           GD A         X         2/17/2012         X         X           GD A         X         2/17/2012         X         X           GD A         X         2/17/2012         X         X           GD A         X         3/23/2012         X         X           GD A         X         3/23/2012         X         X           GD A         X         X         3/23/2012         X<	Allianz AGIC High Yield Bond Fd Cl A	×				12/21/2012						×					
DIA         X         10/19/2012         X         X           DIA         X         9/21/2012         X         X           DIA         X         7/20/2012         X         X           DIA         X         4/20/2012         X         X           DIA         X         2/17/2012         X         X           DIA         X         1/20/2012         X         X           DIA         X         1/20/2012         X         X           DIA         X         1/20/2012         X         X           DIA         X         2/17/2012         X         X           DIA         X         X         2/17/2012         X           DIA         X         X         X         X           DIA         X         X         X         X           DIA         X         X	Allianz AGIC High Yield Bond Fd Ci A	×				11/16/2012						×				_	
DIA         X         9/21/2012         X         X           DIA         X         8/17/2012         X         X           DIA         X         5/18/2012         X         X           DIA         X         4/20/2012         X         X           DIA         X         2/17/2012         X         X           DIA         X         1/20/2012         X         X           DIA         X         1/20/2012         X         X           DIA         X         1/20/2012         X         X           X         X         9/10/2012         X         X           X         X         8/29/2012         X         X           X         7/30/2012         X         X         X		×				10/19/2012						×					
DIA         X         8/17/2012         X         X           DIA         X         5/18/2012         X         X           DIA         X         4/20/2012         X         X           DIA         X         2/17/2012         X         X           DIA         X         2/17/2012         X         X           DIA         X         1/20/2012         X         X           DIA         X         1/20/2012         X         X           DIA         X         2/17/2012         X         X           DIA         X         X         1/20/2012         X         X           DIA         X	Allianz AGIC High Yield Bond Fd Cl A	×				9/21/2012						×			_		
DIA         X         7/20/2012         X         X           DIA         X         4/20/2012         X         X           DIA         X         3/23/2012         X         X           DIA         X         2/17/2012         X         X           DIA         X         1/20/2012         X         X           DIA         X	Allianz AGIC High Yield Bond Fd Cl A	×				8/17/2012						×			<u> </u>	_	
DIA         X         5/18/2012         X         X           DIA         X         4/20/2012         X         X           DIA         X         2/17/2012         X         X           DIA         X         1/20/2012         X         X           DIA         X	Allianz AGIC High Yield Bond Fd CI A	×				7/20/2012						×				_	
DIA     X     4/20/2012     X       DIA     X     3/23/2012     X       DIA     X     1/20/2012     X       DIA     X     X     1/20/2012       DIA     X     X     X       DIA     X	Allianz AGIC High Yield Bond Fd Cl A	×				5/18/2012						×			_		
SIA     X     3/23/2012     X       SIA     X     2/17/2012     X       SIA     X     1/20/2012     X       SIA     X     9/10/2012     X       X     X     9/10/2012     X       X     8/29/2012     X     X       X     7/30/2012     X     X       X     5/25/2012     X     X	Allianz AGIC High Yield Bond Fd CI A	×				4/20/2012						×					_
DIA     X     2/17/2012     X       DIA     X     1/20/2012     X       X     1/20/2012     X     X       X     X     9/10/2012     X     X       X     8/29/2012     X     X     X       X     7/30/2012     X     X     X       X     5/25/2012     X     X		×				3/23/2012						×				_	
SIA       X       1/20/2012       X         X       X       9/10/2012       X         X       8/29/2012       X       X         X       7/30/2012       X       X         X       5/25/2012       X       X		×				2/17/2012						×					
X       X       9/10/2012       X         X       8/29/2012       X         X       7/30/2012       X         X       5/25/2012       X		×				1/20/2012						×					
X       X       9/10/2012         X       X       8/29/2012         X       7/30/2012       X         X       5/25/2012       X		3 12 pt					il so	- 29									24.00
X       8/29/2012         X       7/30/2012         X       5/25/2012	American High Income Tr SBI CI A		×		×	9/10/2012									×		
X 7/30/2012 X 5/25/2012 X	American High Income Tr SBI CI A	×				8/29/2012					×						
X 5/25/2012	American High Income Tr SBI CI A	×				7/30/2012					×						
	American High Income Tr SBI CI A	×				5/25/2012				×						L	<u></u>

American High Income 17 581 Cl A  Americ	Investment	1	Type			Date			≥	mour	mount of Transaction	ansa	action	ر ا			
X   3/29/2012   X   X   X   3/29/2012   X   X   X   X   X   X   X   X   X		1	Sale	Exchange	Check box if capital gain exceeded \$200		A \$1-\$1,000	B \$1,001-\$15,000		D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000		\$5,000,001-\$25,000,000		K Over \$50,000,000
X   3/39/2012   X   X   2/29/2012   X   X   X   X   X   X   X   X   X	American High Income Tr CBI OI A	<b>\</b>	ķ	F	K	1/20/2012	1	E	ķ		< E	<u> </u>	K	ŀ	1	-	
X   2/29/2012   X   X   1/30/2012   X   X   X   1/30/2012   X   X   X   X   1/30/2012   X   X   X   X   X   X   X   X   X	American High Income Tr SBI CI A	×				3/29/2012					×					$\dashv$	
X	American High Income Tr SBI CI A	×				2/29/2012					Х						
D69 CUSIP 06531B201         X         X         3/12/2012         X         X           D69 CUSIP 06531B201         X         X         3/12/2012         X         X           D69 CUSIP 06531B201         X         X         2/3/2012         X         X           D69 CUSIP 06531B201         X         X         2/1/2012         X         X         X           D69 CUSIP 06531B201         X         X         12/3/2012         X         X         X           D69 CUSIP 06531B201         X         X         12/3/2012         X         X         X           D69 CUSIP 06531B201         X         X         12/3/2012         X         X         X           X         X         X         12/3/2012         X         X         X         X           X         X         X         12/3/2012         X         X         X         X         X           X         X         12/18/2012         X	American High Income Tr SBI CI A	×				1/30/2012					×						
069 CUSIP 05531B201         X         X         3/12/2012         X         X         069 CUSIP 05531B201         X         X         3/12/2012         X         X         069 CUSIP 05531B201         X         X         3/12/2012         X         X         069 CUSIP 05531B201         X         X         2/1/2012         X         X         069 CUSIP 05531B201         X         X         12/31/2012         X         X         X         069 CUSIP 05531B201         X         X         12/31/2012         X         X         X         069 CUSIP 05531B201         X         X         X         12/31/2012         X         X         X         X         069 CUSIP 05531B201         X         X         X         12/31/2012         X         X         X         X         X         X         12/31/2012         X         X         X         X         X         12/31/2012         X         X         X         X         X         X         12/31/2012         X <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>Company of the</td><td></td><td></td><td>es S</td><td></td><td>6 S</td></td<>												Company of the			es S		6 S
D66 CUSIP 05531B201         X         X         3/12/2012         X         X           D69 CUSIP 05531B201         X         X         2/3/2012         X         X           D69 CUSIP 05531B201         X         2/1/2012         X         X           D69 CUSIP 05531B201         X         12/3/2012         X         X           D69 CUSIP 05531B201         X         12/3/12012         X         X           D69 CUSIP 05531B201         X         X         12/3/12012         X         X           D69 CUSIP 05531B201         X         X         12/3/12012         X         X         X           D69 CUSIP 05531B201         X         X         12/3/12012         X         X         X         X           D69 CUSIP 05531B201         X         X         12/3/12012         X	BB&T Capital Tr VI Ptd 9.60% Aug 1 2069 CUSIP 05531B201		×		×	3/12/2012			×								
069 CUSIP 05531B201         X         X         3/12/2012         X         X           069 CUSIP 05531B201         X         2/1/2012         X         0	BB&T Capital Tr VI Pfd 9.60% Aug 1 2069 CUSIP 05531B201		×		×	3/12/2012						×					
D69 CUSIP 05531B201         X         2/3/2012         X         X         2/1/2012         X	BB&T Capital Tr VI Pfd 9.60% Aug 1 2069 CUSIP 05531B201		×		×	3/12/2012							×				
Note Cluster 05531B201   X   2/1/2012   X   X   X   X   X   X   X   X   X	BB&T Capital Tr VI Ptd 9.60% Aug 1 2069 CUSIP 05531B201	×				2/3/2012	×									_	
X   12/31/2012 X   X   X   X   X   X   X   X   X   X	BB&T Capital Tr VI Pfd 9.60% Aug 1 2069 CUSIP 05531B201	×				2/1/2012			×								
X       12/31/2012       X         X       X       12/31/2012       X         X       X       12/31/2012       X         X       12/31/2012       X       X         X       12/18/2012       X       X         X       12/18/2012       X       X         X       12/18/2012       X       X         X       12/19/2012       X       X         X       11/1/2012       X       X         X       10/1/2012       X       X         X       9/4/2012       X       X			1,8		OP S												
X         X         12/31/2012         X         X           X         X         12/31/2012         X         X           X         12/26/2012         X         X         X           X         12/18/2012         X         X         X           X         12/18/2012         X         X         X           X         12/12/2012         X         X         X           X         12/13/2012         X         X         X           X         11/1/2012         X         X         X           X         10/1/2012         X         X         X	BlackRock High Yield Bd Portfolio CI A		×			12/31/2012	×										
X       X       12/31/2012       X         X       12/26/2012       X       X         X       12/18/2012       X       X         X       12/18/2012       X       X         X       12/12/2012       X       X         X       12/12/2012       X       X         X       11/1/2012       X       X         X       10/1/2012       X       X         X       9/4/2012       X       X	BlackRock High Yield Bd Portfolio CI A		×		×	12/31/2012					×						
X       12/31/2012       X         X       12/26/2012       X         X       12/18/2012       X         X       12/18/2012       X         X       12/12/2012       X         X       12/12/2012       X         X       12/12/2012       X         X       11/1/2012       X         X       10/1/2012       X         X       9/4/2012       X	BlackRock High Yield Bd Portfolio CI A		×		×	12/31/2012					×						
X       12/26/2012       X         X       12/18/2012       X         X       X       12/18/2012       X         X       12/12/2012       X       X         X       12/3/2012       X       X         X       11/1/2012       X       X         X       10/1/2012       X       X         X       9/4/2012       X       X	BlackRock High Yield Bd Portfolio Cl A	×				12/31/2012					×						
X       12/18/2012         X       X       12/18/2012         X       12/12/2012       X         X       12/3/2012       X         X       11/1/2012       X         X       10/1/2012       X         X       9/4/2012       X	BlackRock High Yield Bd Portfolio CI A	×				12/26/2012					×						
X       X       12/18/2012       X         X       12/12/2012       X         X       12/3/2012       X         X       11/1/2012       X         X       10/1/2012       X         X       9/4/2012       X	BlackRock High Yield Bd Portfolio Cl A	×				12/18/2012										×	
X       12/12/2012         X       12/3/2012       X         X       11/1/2012       X         X       10/1/2012       X         X       9/4/2012       X	BlackRock High Yield Bd Portfolio Cl A		×		×	12/18/2012										×	
X 12/3/2012  X 11/1/2012  X 10/1/2012  X 9/4/2012	BlackRock High Yield Bd Portfolio Cl A	×				12/12/2012									×		
X 11/1/2012 X 10/1/2012 X 9/4/2012	BlackRock High Yield Bd Portfolio Cl A	×				12/3/2012					×						
X 10/1/2012	BlackRock High Yield Bd Portfolio Cl A	×				11/1/2012					×						
X 9/4/2012	BlackRock High Yield Bd Portfolio CI A	×	<u> </u>			10/1/2012					×				lacksquare		
	BlackRock High Yield Bd Portfolio CI A	×			L	9/4/2012					×						

Willies Fd Cl A   X   X   X   X   X   X   X   X   X	Investment		Туре			Date			<b>&gt;</b>	mour	mount of Transaction	ransi	actior				
X			Sale	Exchange	Check box if capital gain exceeded \$200		A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
X	BlackRock High Yield Bd Portfolio Cl A	×				8/1/2012					X						
X	BlackRock High Yield Bd Portfolio CI A	×				6/1/2012					×						
X	BlackRock High Yield Bd Portfolio Cl A	×				5/1/2012					×						
X	BlackRock High Yield Bd Portfolio Cl A	×				4/2/2012					×						
X   3/1/2012   X   2/1/2012   X   2/1/2012   X   2/1/2012   X   2/1/2012   X   X   X   2/1/2012   X   X   X   X   2/1/2012   X   X   X   X   2/1/2012   X   X   X   X   3/28/2012   X   X   12/31/2012   X   12/31/2012   X   X   X   12/31/2012   BlackRock High Yield Bd Portfolio CI A		×		×	3/7/2012							×					
X   2/1/2012	BlackRock High Yield Bd Portfolio CI A	×				3/1/2012					×						
itities Fd CI A		×				2/1/2012					×						
vities Fd CI A         X         X         12/12/2012           vities Fd CI A         X         3/28/2012         3/7/2012           vities Fd CI A         X         3/7/2012         3/7/2012           X         12/31/2012         X           X         12/31/2012         X           X         X         12/31/2012         X           X         X         12/31/2012         X           X         X         12/31/2012         X           X         X         12/31/2012         X           X         X         12/31/2012         X           X         11/1/2012         X           X         11/1/2012         X				2						;							
lities Fd Cl A       X       4/4/2012         lities Fd Cl A       X       3/28/2012         X       3/7/2012       X         Inities Fd Cl A       X       12/31/2012         X       12/31/2012       X         X       11/1/2012       X         10/1/2012       X       10/1/2012	BlackRock Science & Technology Opportunities Fd Cl A		×		×	12/12/2012									×		
itities Fd CI A       X       3/28/2012         x       3/7/2012       x         x       12/31/2012       x         x       11/1/2012       x         x       10/1/2012       x	BlackRock Science & Technology Opportunities Fd Cl A	×				4/4/2012						×					
Itities Fd CI A	BlackRock Science & Technology Opportunities Fd Cl A	×				3/28/2012						×					
X       12/31/2012       X         X       12/14/2012       X         X       12/31/2012       X         X       X       12/31/2012       X         X       X       12/31/2012       X         X       12/31/2012       X         X       12/3/2012       X         X       11/1/2012       X         X       11/1/2012       X         10/1/2012       X       10/1/2012	BlackRock Science & Technology Opportunities Fd Cl A	×				3/7/2012							×				
X       12/31/2012       X         X       12/14/2012       X         X       X       12/31/2012       X         X       X       12/31/2012       X         X       X       12/31/2012       X         X       12/31/2012       X         X       12/3/2012       X         X       11/1/2012       X         X       10/1/2012       X				. 34	1.7										25	,	
X     12/14/2012       X     X       X     X       12/31/2012       X     X       12/31/2012     X       12/31/2012     X       12/31/2012     X       12/3/2012     X       11/1/2012     X       10/1/2012     X	Columbia CA Intermediate Muni Bd Cl A	×				12/31/2012		×									
X X 12/31/2012  X X 12/13/2012  X X 12/13/2012  X 12/13/2012  X 12/13/2012  X 11/1/2012  X 10/1/2012	Columbia CA Intermediate Muni Bd Cl A	×				12/14/2012								×			
X X 12/31/2012  X X 12/13/2012  X X 12/13/2012  X 12/31/2012  X 11/1/2012  X 10/1/2012				e Onecido.					. 17						17		
X X 12/13/2012  X 12/31/2012 X  12/31/2012 X  12/3/2012 X  11/1/2012 X  11/1/2012 X	Columbia High Yield Opportunity Fund CI A		×		×	12/31/2012			×								
X 12/31/2012 X  X 12/13/2012 X  X 12/3/2012 X  11/1/2012 X	Columbia High Yield Opportunity Fund Cl A		×		×	12/13/2012								×			
X 12/13/2012 X 12/3/2012 X 11/1/2012 X 10/1/2012	Columbia High Yield Opportunity Fund Cl A	×				12/31/2012		×									
X 12/3/2012 X 11/1/2012 X 10/1/2012	Columbia High Yield Opportunity Fund CI A			×		12/13/2012								×			
X 11/1/2012 X 10/1/2012	Columbia High Yield Opportunity Fund Cl A	×				12/3/2012			×								
X 10/1/2012	Columbia High Yield Opportunity Fund Ci A	×				11/1/2012			×								
	Columbia High Yield Opportunity Fund Cl A	×				10/1/2012			×								

Investment	_	Type			Date			₽	mour	mount of Transaction	ransa	action	_			
	Purchase	Sale	Exchange	Check box if capital gain exceeded \$200		A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
Columbia High Yield Opportunity Fund Cl A	×				9/4/2012			×	,							
Columbia High Yield Opportunity Fund Cl A	X				8/1/2012			×								
Columbia High Yield Opportunity Fund Cl A	×				6/1/2012			×								
Columbia High Yield Opportunity Fund Cl A	×				5/1/2012			×								
Columbia High Yield Opportunity Fund Cl A	×				4/2/2012			×								
Columbia High Yield Opportunity Fund Cl A	×				3/1/2012			×								
Columbia High Yield Opportunity Fund Cl A	×				2/1/2012			×								
Columbia High Yield Opportunity Fund Cl A			×		12/3/2012	×									_	_
Columbia High Yield Opportunity Fund Cl A			×		12/24/2012				×						_	<u>L</u>
Columbia High Yield Opportunity Fund Ci A		×			12/26/2012	×										
Columbia High Yield Opportunity Fund Cl A	×				12/3/2012	×										
Columbia High Yield Opportunity Fund Cl A	×				11/1/2012	×										
Columbia High Yield Opportunity Fund Cl A	×				10/1/2012	×										
Columbia High Yield Opportunity Fund Cl A	×				9/4/2012	×							<u> </u>			
Columbia High Yield Opportunity Fund Cl A	×				8/1/2012	×										
Columbia High Yield Opportunity Fund Cl A	×				7/2/2012	×										
Columbia High Yield Opportunity Fund Cl A	×				6/1/2012	×							_		1_	_
Columbia High Yield Opportunity Fund Cl A	×				5/1/2012				Ī		T				_	
Columbia High Yield Opportunity Fund Cl A	< ×				4/2/2012	< ×									$\perp$	
Columbia High Yield Opportunity Fund CI A	>	×		×	12/7/2012	,			×							
Columbia High Yield Opportunity Fund Cl A	×				12/3/2012	×										
Columbia High Yield Opportunity Fund Cl A	×				11/1/2012	×										L

Investment		Туре		d \$200	Date			≽	nour	Amount of Transaction	ransa	n ction			
	Purchase	Sale	Exchange	Check box if capital gain exceeded		A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000		H \$1,000,001-\$5,000,000	H \$1,000,001-\$5,000,000 I \$5,000,001-\$25,000,000
Columbia High Yield Opportunity Fund Cl A	×				10/1/2012	×									
Columbia High Yield Opportunity Fund Cl A	×				9/4/2012	×									
Columbia High Yield Opportunity Fund Cl A	×				8/1/2012	×								ļ	
Columbia High Yield Opportunity Fund Cl A	×				7/2/2012	×									
Columbia High Yield Opportunity Fund Cl A	×				6/1/2012	×	<u> </u>								
Columbia High Yield Opportunity Fund Cl A	×				5/1/2012	×									
Columbia High Yield Opportunity Fund Cl A	×				4/2/2012	×								<u></u>	
Columbia High Yield Opportunity Fund CI A	×				3/1/2012	×									
										, , , , , , , , , , , , , , , , , , ,					
First Eagle Global Fd Cl A		×		×	12/19/2012						×				
First Eagle Global Fd Cl A	×				12/17/2012					×					
First Eagle Global Fd Cl A	×				12/17/2012						×				
First Eagle Global Fd Cl A	×				12/17/2012				×						
First Eagle Global Fd Cl A			×		12/13/2012								_		×
First Eagle Global Fd Cl A		X		×	12/13/2012										×
										,			1000		
First Eagle High Yield Opportunities Fd Cl A	×				12/31/2012			X							
First Eagle High Yield Opportunities Fd Cl A	×				12/31/2012	×									
First Eagle High Yield Opportunities Fd Cl A			×		12/21/2012						×				
First Eagle High Yield Opportunities Fd Cl A	×				12/19/2012						×				
First Eagle High Yield Opportunities Fd Cl A	×				12/14/2012										×
									54						
Ford Steps Issuer SEK		×		L	3/23/2012	L						×			

Investment	-	Туре			Date			≥	nour	mount of Transaction	ransa	ction				
		:		pital gain exceeded \$200			00	000	0,000	50,000	00,000	,000,000	5,000,000	25,000,000	\$50,000,000	0,000
	Purchase	Sale	Exchange	Check box if capital		A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,00	F \$250,001-\$500,00	G \$500,001-\$1,000,	H \$1,000,001-\$5,00	\$5,000,001-\$25,00	J \$25,000,001-\$50,0	K Over \$50,000,000
			7 /												h	
GE Stars Issuer BAC		×			3/20/2012							×				
				ůù v			a ,						;			
GT Steps Issuer Barc		×			4/4/2012								×			
Goldman Sachs High Yield Fd Cl A		×		×	7/11/2012									×		
Goldman Sachs High Yield Fd Cl A	×				6/1/2012			×								
Goldman Sachs High Yield Fd Cl A	×				5/1/2012			×								
Goldman Sachs High Yield Fd Cl A	×				4/2/2012		L	×								L
Goldman Sachs High Yield Fd Cl A	×			L	3/1/2012			×								
Goldman Sachs High Yield Fd Cl A	×				2/1/2012		AS	×		1	Mary Co.					
lvy High Income Fd Cl A		×		×	12/31/2012		Ĺ	20 00 00	573A		×	í				
lvy High Income Fd Cl A	×				12/28/2012								×			
lvy High Income Fd Cl A		×		×	12/28/2012								×			
lvy High Income Fd Cl A	×				12/31/2012						×					
lvy High Income Fd Cl A	×				12/19/2012											×
lvy High Income Fd CI A		×		×	12/19/2012											×
lvy High Income Fd Cl A	×				12/14/2012					×						
lvy High Income Fd Cl A	×				12/14/2012							×				
Ivy High Income Fd Cl A	×				11/28/2012						×					
tvy High Income Fd Cl A	×				10/29/2012						×					
lvy High Income Fd Cl A	×				9/28/2012		L				×					L

Investment	_	Туре			Date			≱	nour	mount of Transaction	ransa	ction				
	Purchase	Sale	xchange	Check box if capital gain exceeded \$200		<b>4 \$1-\$1,000</b>	3 <b>\$</b> 1,001- <b>\$</b> 15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	3 \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
ivy High Income Fd Cl A	×				8/28/2012						×					
lvy High Income Fd Cl A	×				7/30/2012						×					
lvy High Income Fd Cl A	×				5/29/2012						×					
lvy High Income Fd Cl A	×				4/30/2012						×					
lvy High Income Fd Cl A	×				3/28/2012						×					
lvy High Income Fd Cl A	×				2/28/2012						×					
lvy High Income Fd Cl A	×				1/30/2012						×					
lvy High Income Fd Cl A			×		12/24/2012				×							
lvy High Income Fd Cl A		×			12/26/2012	×										
lvy High Income Fd Cl A	×				11/28/2012	×										
Ivy High Income Fd Cl A	×				10/29/2012	×									_	
lvy High Income Fd Cl A	×				9/28/2012	×										
ivy High Income Fd Cl A	×				8/28/2012	×										
Ivy High Income Fd Cl A	×				7/30/2012	×										
lvy High Income Fd Cl A	×				6/28/2012	×										
lvy High Income Fd Cl A	×				5/29/2012	×										
lvy High Income Fd Cl A	×				4/30/2012	×										
lvy High Income Fd Cl A	×	_			3/28/2012	×									_	L.
lvy High Income Fd Cl A	×				2/28/2012	×										
lvy High Income Fd Cl A		×		×	12/7/2012				×						_	
lvy High Income Fd Cl A	×				11/28/2012	×										
lvy High Income Fd Cl A	×				10/29/2012	×										
Ivy High Income Fd CI A	×				9/28/2012	×								L		

Investment	]_	Туре	1	0	Date	7	1			Amount of Transaction	ransa		_ ՟		1
	Purchase	Sale	Exchange	Check box if capital gain exceeded \$200		A \$1-\$1,000	3 \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000
lvy High Income Fd Cl A	×				8/28/2012	×									ı
lvy High Income Fd Cl A	×				7/30/2012	×									Ī
lvy High Income Fd Cl A	×				6/28/2012	×							_		1
lvy High Income Fd Cl A	×				5/29/2012	×									Í
lvy High Income Fd Cl A	×				4/30/2012	×									1
lvy High Income Fd Cl A	×				3/28/2012	×									1
lvy High Income Fd Cl A	X				2/28/2012	×									1
			in in the												1
JP Morgan High Yield Cl A		×		×	9/10/2012									×	1
JP Morgan High Yield Cl A	×				9/5/2012				×					L	1
JP Morgan High Yield Cl A	×				8/2/2012				×						1
JP Morgan High Yield Cl A	×				6/4/2012				×					ļ	1
JP Morgan High Yield Cl A	×				5/2/2012				×					L	1
JP Morgan High Yield CI A	×				4/3/2012				×					_	1
JP Morgan High Yield Cl A	×				3/2/2012				×					_	1
JP Morgan High Yield CI A	×				2/2/2012				×					_	1
JP Morgan High Yield Cl A	×				1/4/2012				×						1
		24						,							ı
JPM Stars Issuer BAC		×		×	3/15/2012								×		1
				er A								:			1
Lord Abbett Bond Debenture Ci A	×				12/28/2012								×		1
Lord Abbett Bond Debenture Cl A	×				12/31/2012					×			L		1
Lord Abbett Bond Debenture Cl A	×				12/19/2012										×

Investment	l,	Туре			Date			≥	mour	mount of Transaction	ransa	Ction	]			
	Purchase	Sale	Exchange	Check box if capital gain exceeded \$200	_	A \$1-\$1,000	3 \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
Lord Abbett Bond Debenture Cl A	×				12/13/2012									×		
								4 . 1 2 . 2 . 2 . 2		, ,						
Lord Abbett High Yield Fd Cl A		×		X	12/31/2012						×					
Lord Abbett High Yield Fd Cl A		×		×	12/28/2012								×			
Lord Abbett High Yield Fd Cl A	×				12/31/2012					×						
Lord Abbett High Yield Fd CI A		×		×	12/19/2012										×	<u>L</u>
Lord Abbett High Yield Fd CI A	×				12/19/2012	l					×					L
Lord Abbett High Yield Fd CI A	×				12/19/2012							×				
Lord Abbett High Yield Fd Cl A	×				12/3/2012						×					
Lord Abbett High Yield Fd CI A	×				11/1/2012						×					
Lord Abbett High Yield Fd CI A	×				10/1/2012						×					
Lord Abbett High Yield Fd CI A	×				9/4/2012						×					
Lord Abbett High Yield Fd CI A	×				8/1/2012						×					
Lord Abbett High Yield Fd Cl A	×				6/1/2012						×					
Lord Abbett High Yield Fd Cl A	×				5/1/2012						×					
Lord Abbett High Yield Fd CI A	×				4/2/2012						×					
Lord Abbett High Yield Fd Cl A	×				3/15/2012							×		L	Ĺ	L.
Lord Abbett High Yield Fd Cl A	×				3/1/2012						×					
	×				2/1/2012						×					
						75 - 46										
Lord Abbett Value Opportunities Fd Cl A		×		×	12/13/2012									×		
						, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		700 A	200							. '
Mainstay High Yield Corporate Bond Cl A	×				12/28/2012								L	×		L

Investment	1	Туре			Date			₽	moui	mount of Transaction	ansa	action				
	Purchase	Sale	Exchange	Check box if capital gain exceeded \$200		A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	I \$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
Mainstay High Yield Corporate Bond Cl A		×		×	12/28/2012									×		
Mainstay High Yield Corporate Bond Cl A	×				12/24/2012										×	
Mainstay High Yield Corporate Bond Cl A		×		×	12/24/2012										×	
Mainstay High Yield Corporate Bond Cl A	×				12/5/2012						×					
Mainstay High Yield Corporate Bond Cl A	×				12/3/2012						×					
Mainstay High Yield Corporate Bond Cl A	×				11/1/2012						×				_	
Mainstay High Yield Corporate Bond Cl A	×				9/28/2012						×					
Mainstay High Yield Corporate Bond Cl A	×				9/4/2012						×					
Mainstay High Yield Corporate Bond Cl A	×				8/1/2012						×				L	上
Mainstay High Yield Corporate Bond Cl A	×				6/1/2012						×				<u> </u>	
Mainstay High Yield Corporate Bond Cl A	×				5/1/2012						×					
Mainstay High Yield Corporate Bond Cl A	×				4/2/2012						×				<u> </u>	
Mainstay High Yield Corporate Bond Cl A	×				3/1/2012						×				<u> </u>	
Mainstay High Yield Corporate Bond Cl A	×				2/1/2012						×					
Mainstay High Yield Corporate Bond Cl A			×		12/24/2012				×						L	
Mainstay High Yield Corporate Bond Cl A		×			12/26/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				12/5/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				12/3/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				11/1/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				9/28/2012	×										
Mainstay High Yield Corporate Bond CI A	×				9/4/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				8/1/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				6/29/2012	×										L

Investment	-4	Type			Date			₽	nour	mount of Transaction	ransa	ction				
	Purchase	Sale	Exchange	Check box if capital gain exceeded \$200		A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
Mainstay High Yield Corporate Bond Cl A	×				6/1/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				5/1/2012	×							<u> </u>		_	
Mainstay High Yield Corporate Bond Cl A	×				4/2/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				3/1/2012	×										
Mainstay High Yield Corporate Bond Cl A		×		×	12/7/2012				×				L.			
Mainstay High Yield Corporate Bond Cl A	×				12/5/2012	×				•						
Mainstay High Yield Corporate Bond Cl A	×				12/3/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				11/1/2012	×									_	
Mainstay High Yield Corporate Bond Cl A	×	١.			9/28/2012	×									_	
Mainstay High Yield Corporate Bond Cl A	×				9/4/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				8/1/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				6/29/2012	×										
Mainstay High Yield Corporate Bond Cl A	×				6/1/2012	×							L		L	<u> </u>
Mainstay High Yield Corporate Bond Cl A	×				5/1/2012	×							L	_	_	
Mainstay High Yield Corporate Bond Cl A	×				4/2/2012	×								_		
Mainstay High Yield Corporate Bond Cl A	×				3/1/2012	×										
		e de la companya de l	i i							200	,					
MFS High Income CI A		×		×	7/12/2012									×		L
MFS High Income CI A	×				7/3/2012									×		
														. 1		
MFS High Yield Opportunities CI A		×		×	9/5/2012									×		
MFS High Yield Opportunities CI A	×				9/4/2012				×						_	
MFS High Yield Opportunities CI A	×				8/1/2012				×					L	_	L

Investment	J	Туре			Date	ĺ		A	mour	mount of Transaction	ans:	action	_			
	Purchase	Sale	Exchange	Check box if capital gain exceeded \$200		A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
	<b>\</b>	Ė	1	_k	7400040	ľ		K						۲	]	
MES High Yield Opportunities C/A	×				7/3/2012									×		
									7	49			ناد. د خو			
MFS Utilities Fund CI A		×		×	7/3/2012									×		
MFS Utilities Fund CI A		×		×	7/3/2012									×		
MFS Utilities Fund CI A	×				6/4/2012			×								
MFS Utilities Fund CI A	×				5/2/2012			×								
MFS Utilities Fund CI A	×			L	4/3/2012			×								
MFS Utilities Fund CI A	×				3/2/2012			×								
MFS Utilities Fund CI A	×				2/2/2012			×								
			in in						1300							
Oppenheimer Global Strategic Income Fd Cl A	×				12/31/2012	×										
Oppenheimer Global Strategic Income Fd Cl A	×			╙	12/26/2012			×								
Oppenheimer Global Strategic Income Fd Cl A		×		×	12/13/2012									×		
Oppenheimer Global Strategic Income Fd Cl A			×	L	12/13/2012									×		
Oppenheimer Global Strategic Income Fd Cl A	×				11/26/2012			×								
Oppenheimer Global Strategic Income Fd Cl A	×				10/26/2012			×								
Oppenheimer Global Strategic Income Fd Cl A	×			L	9/26/2012			×								
Oppenheimer Global Strategic Income Fd Cl A	×				8/27/2012			×								<u> </u>
Oppenheimer Global Strategic Income Fd Cl A	×			Ļ	7/26/2012			×								<u> </u>
Oppenheimer Global Strategic Income Fd Cl A	×				5/29/2012			×								
Oppenheimer Global Strategic Income Fd Cl A	×				4/26/2012			×								
Oppenheimer Global Strategic Income Fd Cl A	×		L	L	3/26/2012			×						ı		

Investment	.=	Туре			Date			≱	mour	mount of Transaction	ransa	action				
	Purchase	Sale	Exchange	Check box if capital gain exceeded \$200		A \$1-\$1,000	3 \$1,001-\$15,000		D \$50,001-\$100,000	E \$100,001-\$250,000	= \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
Oppenheimer Global Strategic Income Fd Cl A	×				2/27/2012			×								
Oppenheimer Global Strategic Income Fd Cl A	×	:			1/26/2012			X								
										2	93	3	2		^ ئ	
Oppenheimer Limited Term CA Muni Fd Cl A	×				12/31/2012			×								
Oppenheimer Limited Term CA Muni Fd Cl A	×				12/31/2012		×									
Oppenheimer Limited Term CA Muni Fd Cl A	×		L	L	12/14/2012								L	×		L
			920													
Principal High Yield Fund Cl A		×	<u> </u>	×	12/31/2012					×						
Principal High Yield Fund CI A	×				12/31/2012						×					
Principal High Yield Fund CI A	×				12/19/2012									×		
Principal High Yield Fund Cl A		×	L	×	12/19/2012									×		
Principal High Yield Fund Cl A	×			Ļ,	12/20/2012				×							
Principal High Yield Fund Cl A	×	L		L	12/20/2012						×					
Principal High Yield Fund Cl A	×		_		12/3/2012						×					
Principal High Yield Fund Cl A	×				11/2/2012						×		L_			
Principal High Yield Fund CI A	×	L			10/1/2012						×					
Principal High Yield Fund CI A	×				9/4/2012						×					
Principal High Yield Fund Cl A	×				8/1/2012						×					
Principal High Yield Fund Cl A	×				6/1/2012						×					
Principal High Yield Fund Cl A	×				5/11/2012									×		
Principal High Yield Fund Cl A	×		<u> </u>		5/11/2012								×			
Principal High Yield Fund Cl A	×		_		5/1/2012					×						
Principal High Yield Fund CI A	×				4/9/2012								×			

Investment	_	Туре			Date			A	mour	mount of Transaction	rans	action	_			
	nase		ange	k box if capital gain exceeded \$200		\$1,000	001-\$15,000	5,001-\$50,000	0,001-\$100,000	00,001-\$250,000	60,001-\$500,000	00,001-\$1,000,000	000,001-\$5,000,000	00,001-\$25,000,000	,000,001-\$50,000,000	er \$50,000,000
Principal High Yield Fund CLA	×	į			4/2/2012	ľ				×					╽	
Principal High Yield Fund Cl A	×				3/30/2012									×		
Principal High Yield Fund Cl A	×				3/30/2012								×			
Principal High Yield Fund CI A	×				3/28/2012							×				
Principal High Yield Fund Cl A	×				3/15/2012							×				
Principal High Yield Fund Cl A	×				3/12/2012								×			
Principal High Yield Fund Cl A	×				3/1/2012				×							
Principal High Yield Fund Cl A	×				2/1/2012				×							
Principal High Yield Fund Cl A (****)			×		1/27/2012								×			
Principal High Yield Fund Cl A			×		12/24/2012			×								
Principat High Yield Fund Cl A		×			12/26/2012	×										
Principal High Yield Fund Cl A	×				12/3/2012	×										
Principal High Yield Fund Cl A	×				11/2/2012	×										
Principal High Yield Fund Cl A	×				10/1/2012	×										
Principal High Yield Fund Cl A	×				9/4/2012	×										
Principal High Yield Fund Cl A	×				8/1/2012	×	L									
Principal High Yield Fund Cl A	×	_			7/2/2012	×	L						Ľ.	L	L.	L.
Principal High Yield Fund Cl A	×				6/1/2012	×										
Principal High Yield Fund Cl A	×				5/1/2012	×										
Principal High Yield Fund Cl A	×				4/2/2012	×										
Principal High Yield Fund Cl A	×				3/1/2012	×										
Principal High Yield Fund Cl A		×		×	12/7/2012				×							
Principal High Yield Fund Cl A	×				12/3/2012	×	L							L		L

Investment	4	Туре			Date			₽	moun	mount of Transaction	ransa	action				
	Purchase	Sale	Exchange	Check box if capital gain exceeded \$200		A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	<b>I</b> \$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
Principal High Yield Fund CI A	×				11/2/2012	×										
Principal High Yield Fund Cl A	×				10/1/2012	×										
Principal High Yield Fund Cl A	×				9/4/2012	×										
Principal High Yield Fund Cl A	×				8/1/2012	×										
Principal High Yield Fund Cl A	×	_			7/2/2012	×										
Principal High Yield Fund Cl A	×				6/1/2012	×										
Principal High Yield Fund Cl A	×				5/1/2012	×										
Principal High Yield Fund CI A	×				4/2/2012	×										<u>L</u>
Principal High Yield Fund Cl A	×				3/1/2012	×										
			i nji				3 A							\$ 500 K		
Putnam High Yield Advantage Fd Cl A	×				12/28/2012						×					
Putnam High Yield Advantage Fd Cl A	×	L	L		12/27/2012		L					×			igspace	<u> </u>
Putnam High Yield Advantage Fd Cl A	×				12/18/2012											×
Putnam High Yield Advantage Fd Cl A	×				11/27/2012					×						
Putnam High Yield Advantage Fd Cl A	×				10/26/2012					×						
Putnam High Yield Advantage Fd Cl A	×				9/26/2012					×						
Putnam High Yield Advantage Fd Cl A	×				9/10/2012										×	
Putnam High Yield Advantage Fd Cl A	×				9/5/2012									×		
		. /						1		10 m (c) 1/m						
Putnam High Yield Trust Fd Cl A		×		×	12/28/2012						×					
Putnam High Yield Trust Fd Cl A	×				12/27/2012			×								
Putnam High Yield Trust Fd Cl A		×		×	12/18/2012											×
Putnam High Yield Trust Fd Cl A	×		L	L	11/27/2012						×					L

Investment	J	Type			Date		l	≥	noun	Amount of Transaction	ansa	ction	[			
				f capital gain exceeded \$200		)	5,000	550,000	3100,000	\$250,000	\$500,000	\$1,000,000	91-\$5,000,000	-\$25,000,000	01-\$50,000,000	000,000
	Purcha	Sale	Exchar	Check		A \$1-\$	B \$1,0	C \$15,	D \$50,	E \$100	F \$250	G \$500	H \$1,0	1	1	K Ove
Putnam High Yield Trust Fd Cl A	×				10/26/2012						×			_	╁	
Putnam High Yield Trust Fd Cl A	×				9/26/2012		$oxed{oxed}$				×					
Putnam High Yield Trust Fd Cl A	×				8/28/2012		L				×					_
Putnam High Yield Trust Fd Cl A	×				7/26/2012		L				×					
Putnam High Yield Trust Fd Cl A	×				5/29/2012		L.				×					
Putnam High Yield Trust Fd Cl A	×				4/26/2012						×				_	
Putnam High Yield Trust Fd Cl A	×				3/27/2012						×					
Putnam High Yield Trust Fd Cl A	×				2/28/2012						×					
Putnam High Yield Trust Fd Cl A	×				1/26/2012						×			_	_	<u>.</u>
				4					2		1 2 3					
S&P US Pfd Stk index Fd I-Shares		×		×	3/9/2012								×		_	
S&P US Pfd Stk Index Fd I-Shares	×				3/7/2012		×							<u> </u>	<u> </u>	<u> </u>
S&P US Pfd Stk Index Fd I-Shares	×				2/7/2012		×									
S&P US Pfd Stk Index Fd I-Shares	×				1/17/2012	×	_									
S&P US Pfd Stk Index Fd I-Shares	×				1/4/2012		×								_	L,
											2					
Property located at 2280 Faraday Ave, Carlsbad, CA	ļ	×		×	9/24/2012		_						×	_	<u> </u>	<u> </u>
Property located at 1 Viper Way, Vista, CA		×		×	12/27/2012		┖						L	×	_	
Property located at 2067 W. Vista Way, Vista, CA		×		×	1/19/2012					L			L	×		

#### **SCHEDULE V - LIABILITIES**

Name

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; for liabilities held solely by your spouse or dependent child. liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is

		SP, DC, JT
Merrill Lynch	Union Bank	Creditor
1/7/11	10/14/11	Date Liability Incurred
Personal Loan	Personal Loan	Type of Liability
Over \$50,000,000	\$25,000,001 - \$50,000,000	Amount of Liability

# SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name The Honorable Darrell Issa

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the spouse or dependent child that is totally independent of his or her relationship to you. the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the

Source	Date(s)	Point of Departure DestinationPoint of Return	Lodgir (Y/N	Food? (Y/N)	Was a Family 19? Food? Member Included? (Y/N) (Y/N)	Days not at sponsor's expense
DEI Holdings, Inc.	January 10-12	San Diego-Las Vegas-San Diego (Travel to and from destination was at Member expense.)	<b>≺</b>	<b>~</b>	<b>Y</b>	None

### **SCHEDULE VIII - POSITIONS**

Name

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fratemal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Greene Properties
Member	DEI LLC
Foundation Member	Issa Family Foundation
Director	American Task Force for Lebanon
Partner	Fermi Court LP
Partner	212 S Main Street LP
Partner	5931 Priestly LP
Partner	1709 La Costa Meadows LP (closed in 2012)
Partner	3186 Vista Way LP
Partner	1800 Carillon Blvd LP
Partner	12400 Stowe Drive LP
Partner	Ocean Collection LP

### SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Name of Organization
La Pacifica LP
1200 Harbor Dr LP
Slater PL LP
Faraday LP
Directed Insurance
Tahoe Beach LP
OpenGov Foundation
Tri Cities Corporate Towers LP
1 Viper Way LP