

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
 For use by Members, officers, and employees

HAND DELIVERED
 MC

Rosa L. Delauro

(Full Name)

(Daytime Telephone)

2013 MAY 15 PM 12: 28

(Office Use Only)

Filer Status
 Member of the U.S. House of Representatives
 State: CT District: 3rd

Officer Or Employee
 Employing Office:

Report Type
 Annual (May 15) Amendment Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p>	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<p>IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

SCHEDULE 1 - EARNED INCOME

Name Rosa L. Delauro

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Greenberg Quinlan Rosner Research Inc. Washington, DC	Spouse Salary	N/A
Greenberg Research Inc. Washington, DC	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. Delauro

BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totalling \$5,000 or less in a personal checking or saving account; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>	<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	\$1 - \$1,000	None	NONE	
	\$1,001 - \$15,000	None	NONE	
SP	\$5,000,001 - \$25,000,000	See Statement I	NONE	
Polling/Consulting				
SP	\$1 - \$1,000	See Statement II	NONE	
Greenberg Research Inc. 100% Owner; 10 G Street, NE Washington, DC 20002				
Strategic Consulting				
SP	\$100,001 - \$250,000	TAX-DEFERRED	NONE	P
JH Rtrmnt-Lifecycle 2015				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. DeLauro

JT	Liberty Bank Checking	\$1,001 - \$15,000	None	NONE	
JT	Liberty Bank Escrow	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Mass Mutual Whole Life Insurance Policy	\$250,001 - \$500,000	None	NONE	
JT	ML-Blackrock Global	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
JT	ML-Columbia Marsico 21st	None	None	NONE	S
JT	ML-Ivy Asset Strategy	None	CAPITAL GAINS	\$5,001 - \$15,000	S
JT	ML-MMFunds (ISA Discover Bank)	\$1 - \$1,000	None	NONE	
JT	ML-PIMCO Total Return	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)
SP	Pacific Life Universal Life Insurance Policy	\$50,001 - \$100,000	None	NONE	
SP	Sun Surveys LLC 60% Owner; Miami, FL Phone Surveys	\$1 - \$1,000	Other: Ptrsrhp Income	NONE	
	TIAA CREF: TIAA Traditional Retirement	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
SP	TIAA CREF: TIAA Traditional Retirement	\$50,001 - \$100,000	TAX-DEFERRED	NONE	S(part)
SP	TIAA CREF: CREF MMF	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
	TIAA CREF: CREF Stock Retirement	\$100,001 - \$250,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	United Bank Checking	\$15,001 - \$50,000	INTEREST	\$1 - \$200
	United Bank Checking	\$1 - \$1,000	None	NONE

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
 Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
 * This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	ML-Blackrock Global	S	Yes	12-04-12	\$1,001 - \$15,000
JT	ML-Columbia Marsico 21st	S	No	09-24-12	\$15,001 - \$50,000
JT	ML-Ivy Asset Strategy	S	Yes	09-24-12	\$15,001 - \$50,000
JT	ML-PIMCO Total Return	S(part)	Yes	12/04/12 12/27/12	\$15,001 - \$50,000
SP	JH Rtrmnt-Lifecycle 2015	P	N/A	VARIOUS	\$15,001 - \$50,000
SP	TIAA CREF: CREF MMF	P	N/A	VARIOUS	\$1,001 - \$15,000
SP	TIAA CREF: TIAA Traditional Retirement	S(part)	N/A	VARIOUS	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	TIAA CREF Carol Stream, IL 60197	12-15-2009 8-4-2010	Loans	\$15,001 - \$50,000
SP	Bank of America Wilmington, DE 29886	2009	Loan	\$50,001 - \$100,000
SP	John Hancock	09-24-2010	Loan	\$15,001 - \$50,000
JT	Diners Club Des Moines, IA 50368	12-31-2012	Revolving Charge Account	\$15,001 - \$50,000
JT	Liberty Bank Middletown, CT	01-08-2011	Mortgage on Personal Residence New Haven, CT	\$500,001 - \$1,000,000
JT	Bank of America Wilmington, DE	07-29-1999	Mortgage on Personal Residence Washington, DC	\$500,001 - \$1,000,000

SCHEDULE VIII - POSITIONS

Name Rosa L. Delauro

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
SEE ATTACHED SCHEDULE	SEE ATTACHED SCHEDULE

SCHEDULE VIII - POSITIONS:

<u>Position</u>	<u>Name of Organization</u>
Honorary Board Member	Women's Campaign School at Yale University
Honorary Board Member	Special Olympics
Honorary Board Member	Sisters Journey
National Advisory Board	Roosevelt Institution
National Advisory Board	National Research Center for Women & Families
Member	CT International Women's Forum
Honorary Board Member	Women's Health Research at Yale
Distinguished Board Member	National Organization of Italian American Women
Board of Trustees	Kennedy Center
Board of Directors (ex-officio)	The Governor's Prevention Partnership
Honorary Board of Directors	Cancer Schmaner Movement
Congressional Advisory Council	The Faith and Politics Institute
Advisory Board	The Perry House: Doorway to Stratford
Member	Order of the Sons of Italy in America
Member	Italian American Historical Society of CT
Member	NAACP
Member	The Arts Council of Greater New Haven
Member	Delta Kappa Gamma Society (Honor Society of Women Educators)
Life Member	Hadassah, Wapawag, CT Valley-West Rock Chapter
Honorary Board Member	The Center for WorkLife Law (WLL)
Honorary Member, Advisory Committee	Diapers for Older Children with Special Healthcare Needs
Honorary Council Member	THE WAY

SCHEDULE VIII - POSITIONS (continued)

<u>Position</u>	<u>Name of Organization</u>
Honorary Board	Diaper Bank
Honorary Board	National Student Leadership Conference
Honorary Board	National Women's History Museum
Advisory Board	GrowJobSCT
Honorary Member, Advisory Committee	

Greenberg Research, Inc. – Ownership value based on an independent business valuation.

Schedule III, Statement II, Page 3:

Greenberg Quinlan Rosner Research Inc. – Ownership value based on an independent business valuation.

Schedule III, Statement I, Page 3:

ATTACHMENTS: BASIS OF VALUATION

House of Representatives, CT, 3rd District

Rosa L. DeLauro
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New Haven, CT 06511