



Filing ID #10003382

# FINANCIAL DISCLOSURE REPORT

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Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

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## FILER INFORMATION

**Name:** Mr. Xavier Becerra  
**Status:** Member  
**State/District:** CA34

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2013  
**Filing Date:** 06/16/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset          | Income Type(s) | Income              | Tx. > \$1,000?           |
|--|-------|-------------------------|----------------|---------------------|--------------------------|
| 1005 Mooney Drive, Monterey Park, CA                 | JT    | \$250,001 - \$500,000   | Rent           | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: Monterey Park, CA, US                      |       |                         |                |                     |                          |
| 101 North Carolina Place, SE, Apt. H, Washington, DC | JT    | \$100,001 - \$250,000   | Rent           | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: Washington, DC, US                         |       |                         |                |                     |                          |
| 1633 Hill Drive, Los Angeles, CA                     | JT    | \$500,001 - \$1,000,000 | Rent           | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: Los Angeles, CA, US                        |       |                         |                |                     |                          |
| Aegon NV - Bond                                      | DC    | \$1,001 - \$15,000      | Interest       | \$1 - \$200         | <input type="checkbox"/> |
| DESCRIPTION: Bond                                    |       |                         |                |                     |                          |
| American Funds Money Fund - 529                      | DC    | \$1,001 - \$15,000      | Dividends      | \$1 - \$200         | <input type="checkbox"/> |
| DESCRIPTION: 529 College Savings Plan                |       |                         |                |                     |                          |
| American Funds New Perspective (NPFFX)               | JT    | \$1,001 - \$15,000      | Dividends      | \$1 - \$200         | <input type="checkbox"/> |
| American Funds New Perspective (NPFFX)               | DC    | \$1,001 - \$15,000      | Dividends      | \$1 - \$200         | <input type="checkbox"/> |

| Asset   | Owner | Value of Asset     | Income Type(s) | Income      | Tx. > \$1,000?           |
|---|-------|--------------------|----------------|-------------|--------------------------|
| Barclays Bank - Bond                                    | DC    | \$1,001 - \$15,000 | Interest       | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Bond                                       |       |                    |                |             |                          |
| Capital One Bank - CD                                   | SP    | \$1,001 - \$15,000 | Interest       | \$1 - \$200 | <input type="checkbox"/> |
| Capital World Bond Fund                                 | DC    | \$1,001 - \$15,000 | Dividends      | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: 529 College Savings Plan                   |       |                    |                |             |                          |
| Capital World Growth & Income                           | DC    | \$1,001 - \$15,000 | Dividends      | \$1 - \$200 | <input type="checkbox"/> |
| Capital World Growth & Income                           | JT    | \$1,001 - \$15,000 | Dividends      | \$1 - \$200 | <input type="checkbox"/> |
| Chase - Checking  | JT    | \$1 - \$1,000      | None           |             | <input type="checkbox"/> |
| Citi Bank - CD  | SP    | \$1,001 - \$15,000 | Interest       | \$1 - \$200 | <input type="checkbox"/> |
| Congressional Federal Credit Union - Checking & Savings | JT    | \$1,001 - \$15,000 | Interest       | None        | <input type="checkbox"/> |
| Credit Swiss USA - Bond                                 | SP    | \$1,001 - \$15,000 | Interest       | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Bond                                       |       |                    |                |             |                          |
| Credit Suisse NY - Bond                                 | DC    | \$1,001 - \$15,000 | Interest       | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Bond                                       |       |                    |                |             |                          |
| Credit Suisse NY - Bond                                 | SP    | \$1,001 - \$15,000 | Interest       | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Bond                                       |       |                    |                |             |                          |
| Credit Suisse USA - Bond                                | DC    | \$1,001 - \$15,000 | Interest       | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Bond                                       |       |                    |                |             |                          |
| Discover Bank - CD                                      | SP    | \$1,001 - \$15,000 | Interest       | \$1 - \$200 | <input type="checkbox"/> |
| Franklin Mutual Discovery A                             | DC    | \$1,001 - \$15,000 | Dividends      | \$1 - \$200 | <input type="checkbox"/> |
| Franklin Mutual Discovery A                             | JT    | \$1,001 - \$15,000 | Dividends      | \$1 - \$200 | <input type="checkbox"/> |

| Asset                                 | Owner | Value of Asset      | Income Type(s) | Income          | Tx. > \$1,000?           |
|---------------------------------------|-------|---------------------|----------------|-----------------|--------------------------|
| Fundamental Investors - 529           | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: 529 College Savings Plan |       |                     |                |                 |                          |
| GE Capital - Bond                     |       | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |
| Goldman Sachs Bank - CD               | DC    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| Growth Fund of America Class F        | DC    | \$15,001 - \$50,000 | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Growth Fund of America Class F        | JT    | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| Health Care Reit Inc.                 | DC    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| Health Care Reit Inc.                 | JT    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| Healthcare Trust of America           | JT    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Healthcare Trust of America B2        | JT    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Healthcare Trust of America B3        | JT    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Howard County - Municipal Bond        | JT    | \$15,001 - \$50,000 | Interest       | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Municipal Bond           |       |                     |                |                 |                          |
| HSBC Finance - Bond                   | DC    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |
| Huntington National Bank - Bond       | SP    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |
| Income Fund of America - 529          | DC    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: 529 College Savings Plan |       |                     |                |                 |                          |
| ING Corporate Leaders                 | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| ING Corporate Leaders                 | JT    | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset                                 | Owner | Value of Asset      | Income Type(s) | Income          | Tx. > \$1,000?           |
|---------------------------------------|-------|---------------------|----------------|-----------------|--------------------------|
| Invesco Van Kampen Amer (MSAVX)       | JT    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Invesco Van Kampen Amer (MSAVX)       | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Investment Company of America - 529   | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: 529 College Savings Plan |       |                     |                |                 |                          |
| JP Morgan Chase - Bond                | DC    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |
| JP Morgan Chase - Bond                |       | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |
| Landmark's Apartment Trust            | JT    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| Marsico 21st Century Fund             | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Marsico 21st Century Fund             | JT    | \$15,001 - \$50,000 | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Merrill Lynch - Bond                  | DC    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |
| Midfirst Bank - CD                    | DC    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| Morgan Stanley - Bond                 | SP    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |
| New Perspective Fund - 529            | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: 529 College Savings Plan |       |                     |                |                 |                          |
| Protective Life - Bond                | DC    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |
| Rainier Small / Mid Cap               | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Rainier Small / Mid Cap               | JT    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |

| Asset                                 | Owner | Value of Asset      | Income Type(s) | Income          | Tx. > \$1,000?           |
|---------------------------------------|-------|---------------------|----------------|-----------------|--------------------------|
| Schwab Money Fund                     | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Schwab Money Market Fund              | JT    | \$15,001 - \$50,000 | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| Small Cap World Fund - 529            | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: 529 College Savings Plan |       |                     |                |                 |                          |
| The Bond Fund of America - 529        | DC    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: 529 College Savings Plan |       |                     |                |                 |                          |
| Washington Mutual Investor Fund       | DC    | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| Washington Mutual Investor Fund       | JT    | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| Wells Fargo Bank - Bond               | SP    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |
| Wells Fargo Bank - Bond               | DC    | \$1,001 - \$15,000  | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| DESCRIPTION: Bond                     |       |                     |                |                 |                          |

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

| Source                   | Type          | Amount |
|--------------------------|---------------|--------|
| Virginia Hospital Center | Spouse Salary | N/A    |

SCHEDULE D: LIABILITIES

| Owner | Creditor           | Date Incurred | Type  | Amount of Liability   |
|-------|--------------------|---------------|---|-----------------------|
| JT    | OCWAN              | June 2005     | Mortgage on 101 North Carolina Place, SE Apt. H, Washington, DC | \$50,001 - \$100,000  |
| JT    | Green Tree         | July 2003     | Mortgage on 1633 Hill Drive, Los Angeles, CA                    | \$100,001 - \$250,000 |
| JT    | Sun Trust Mortgage | August 2009   | Mortgage on 5406 Center Street, Chevy                           | \$500,001 -           |

| Owner | Creditor | Date Incurred | Type      | Amount of Liability |
|-------|----------|---------------|-----------|---------------------|
|       |          |               | Chase, MD | \$1,000,000         |

SCHEDULE E: POSITIONS

| Position                | Name of Organization   |
|-------------------------|--|
| Board of Advisors       | Close Up Foundation  |
| Advisory Council Member | Congressional Hispanic Caucus Institute                                      |
| Regent                  | Smithsonian Institution  |
| Board Member            | Smithsonian Institution National Latino Board                                |
| Board Member            | Center for the Advancement of Hispanics in Science and Engineering Education |
| Council Member          | Smithsonian National Museum of African American History and Culture          |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Xavier Becerra , 06/16/2014