



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Ms. Judy Chu  
**Status:** Member  
**State/District:** CA27

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2013  
**Filing Date:** 05/15/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Aviva Life Insurance Fixed Annuity		\$15,001 - \$50,000	N/A	None	<input type="checkbox"/>
California Public Employees Retirement System		\$1,001 - \$15,000	None		<input type="checkbox"/>
California State Teachers Retirement System		\$100,001 - \$250,000	None		<input type="checkbox"/>
Capital One	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Citibank Savings Acct		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citibank IRA--Day to Day Savings Acct	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
City National Bank	SP	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
East West Bank	SP	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
First Republic Bank	SP	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judy M. Chu Trust-"excepted trust"-Chu Lim Investments LLC- believed to be rental income		Undetermined	Excepted/Blind Trust	\$15,001 - \$50,000	<input type="checkbox"/>
Law Offices of Eng & Nishimura	SP	\$250,001 - \$500,000	Partnership	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Partnership Income					
Lincoln Financial Group 403B- Delaware VIP REIT		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Lincoln Financial Group 403B--Delaware VIP Diversified Income		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Lincoln Financial Group Annuity Amer Legacy US--Fixed		\$1,001 - \$15,000	N/A	None	<input type="checkbox"/>
Lincoln Financial Group Annuity Amer Legacy US--Large Blend-Growth Income Fund		\$50,001 - \$100,000	Dividends	None	<input checked="" type="checkbox"/>
LSW-Life Insurance Co of the Southwest Fixed Annuity		\$1,001 - \$15,000	N/A	None	<input type="checkbox"/>
Merrill Lynch Money Market Act	SP	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
New Vision Federal Credit Union	SP	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Residential Rental Property		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Sacramento, CA, US					
Savings Plus 401K International Fund		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Savings Plus 401K Large Cap Fund		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Savings Plus 401K Large Cap Index Fund		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Savings Plus 401K Large Cap Socially Responsible Fund		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Savings Plus 401K Mid Cap Fund		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Savings Plus 401K Small Cap Fund		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Savings Plus 401K Short Term Investment Fund		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Savings Plus 401K Short Term Investment Fund- Cash		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Savings Plus 457 International Fund		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Savings Plus 457 Large Cap Socially Responsible Fund		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Savings Plus 457 Large Cap Fund		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Savings Plus 457 Large Cap Index Fund		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Savings Plus 457 Mid Cap Fund		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Savings Plus 457 Short Term Investment Fund		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Savings Plus 457 Short Term Investment Fund- Cash		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Savings Plus 457 Small Cap Fund		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
United Pacific Bank	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard CA Intermediate Term Bond Tax-Exempt Mutual Fund		\$100,001 - \$250,000	Dividends	None	<input type="checkbox"/>
Vanguard Mid-Cap Index Fund		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Windsor II Mutual Fund		\$100,001 - \$250,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Wells Fargo Bank	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zurich Advantage III 403B--Large Cap U.S. Stock-DWS		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cap Growth VA					
Zurich Advantage III 403B-- Misc. Fixed-DWS High Income VIP		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Zurich Advantage III 403B--Balanced- DWS Global Income Builder VIP		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Lincoln Financial Group Annuity Amer Legacy US--Large Blend-Growth Income Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 401K International Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 401K Large Cap Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 401K Large Cap Index Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 401K Large Cap Socially Responsible Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 401K Mid Cap Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 401K Small Cap Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 457 Large Cap Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 457 Large Cap Index Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 457 Large Cap Socially Responsible Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 457 Mid Cap Fund		Monthly	P	\$1,001 - \$15,000	
Savings Plus 457 Small Cap Fund		Monthly	P	\$1,001 - \$15,000	
Vanguard Mid-Cap Index Fund		05/13/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Windsor II Mutual Fund		12/17/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Windsor II Mutual Fund		Monthly	P	\$15,001 - \$50,000	

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
Los Angeles Community College District Board of Trustees	Spouse Salary	N/A
Law Office of Eng & Nishimura	Spouse Salary	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Bank of America	March 2002	Mortgage on rental property, Sacramento, CA	\$100,001 - \$250,000
	BB&T	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$100,001 - \$250,000
	Capitol Hill Tower Housing Cooperative	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$100,001 - \$250,000

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2001	East Los Angeles College	Leave of Absence granted from faculty position at East Los Angeles College after my election to state legislative office; terminated agreement in June 2013
May 2001	California State Teachers Retirement System	Defined pension benefit plan for teaching position in the LA Community College District
May 2001	California Public Employees Retirement System	Defined pension benefit plan for service on Monterey Park City Council

### SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Government of Taiwan (MECEA)	08/19/2013	08/24/2013	Los Angeles - Taiwan - Los Angeles	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## COMMENTS

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Ms. Judy Chu , 05/15/2014