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#### FILER INFORMATION

| Name:           | Mr. Ed Whitfield |
|-----------------|------------------|
| Status:         | Member           |
| State/District: | KY01             |

### FILING INFORMATION

| Filing Type: | Annual Report |
|--------------|---------------|
| Filing Year: | 2013          |
| Filing Date: | 05/15/2014    |

# Schedule A: Assets and "Unearned" Income

| Asset                                  | Owner | Value of Asset             | Income<br>Type(s) | Income          | Tx. ><br>\$1,000? |
|--|-------|----------------------------|-------------------|-----------------|-------------------|
| 13.231 Acres Weatherford, Parker Co.   |       | \$500,001 -<br>\$1,000,000 | None              |                 | $\checkmark$      |
| LOCATION: Weatherford, TX, US          |       |                            |                   |                 |                   |
| Abbott Laboratories (ABT)              |       | \$1,001 - \$15,000         | Dividends         | \$1 - \$200     |                   |
| Agnico Eagle Mines Limited (AEM)       | SP    | \$1,001 - \$15,000         | None              |                 |                   |
| Akbank Turk Anonim Sirketi ADR (AKBTY) |       | \$50,001 -<br>\$100,000    | Interest          | \$201 - \$1,000 |                   |
| AngloGold Ashanti Limited (AU)         | SP    | \$1,001 - \$15,000         | None              |                 |                   |
| Applied Materials, Inc. (AMAT)         | SP    | \$1,001 - \$15,000         | None              |                 | V                 |
| AT&T Inc. (T)                          |       | \$1,001 - \$15,000         | Dividends         | \$201 - \$1,000 |                   |
| Bank of America Corporation (BAC)      |       | \$1,001 - \$15,000         | Dividends         | \$1 - \$200     |                   |

| Asset  | Owner | Value of Asset           | Income<br>Type(s)      | Income                | Tx. ><br>\$1,000 |
|--|-------|--------------------------|------------------------|-----------------------|------------------|
| California St 4% 16                          |       | \$15,001 - \$50,000      | Interest               | \$201 - \$1,000       |                  |
| California St 4.5% 18                        |       | \$15,001 - \$50,000      | Interest               | \$201 - \$1,000       |                  |
| Campbell and Kenton County Bond              |       | \$1,001 - \$15,000       | Interest               | \$201 - \$1,000       |                  |
| Canadian Natural Resources Limited (CNQ)     | SP    | \$1,001 - \$15,000       | None                   |                       | $\checkmark$     |
| Central Fund of Canada Limited (CEF)         | SP    | \$15,001 - \$50,000      | None                   |                       | $\checkmark$     |
| Chevron Corporation (CVX)                    |       | \$250,001 -<br>\$500,000 | Dividends              | \$2,501 -<br>\$5,000  |                  |
| Coca-Cola Company (KO)                       |       | None                     | Dividends              | \$201 - \$1,000       | $\checkmark$     |
| Congressional Credit Union                   |       | \$1,001 - \$15,000       | Interest               | \$1 - \$200           |                  |
| CSX<br>Description: Pension                  |       | Undetermined             | None                   |                       | Γ                |
| CSX Corporation (CSX)                        |       | \$1,001 - \$15,000       | Interest               | \$1 - \$200           |                  |
| Deere & Company (DE)                         | SP    | \$15,001 - \$50,000      | None                   |                       | <b>V</b>         |
| Dominion Resources Black Warrior Trust (DOM) |       | \$1,001 - \$15,000       | None                   |                       |                  |
| Exxon Mobil Corporation (XOM)                |       | \$250,001 -<br>\$500,000 | Dividends              | \$5,001 -<br>\$15,000 |                  |
| Fidelity Blue Chip Fund                      |       | \$15,001 - \$50,000      | Dividends,<br>Interest | \$1,001 -<br>\$2,500  |                  |
| Hampton Roads Bankshares Inc (HMPR)          |       | \$15,001 - \$50,000      | Interest               | \$1,001 -<br>\$2,500  |                  |
| Houston Tax Revenue Bond                     |       | \$50,001 -<br>\$100,000  | Interest               | \$1,001 -<br>\$2,500  |                  |
| Incyte Corporation (INCY)                    | SP    | None                     | Dividends              | \$201 - \$1,000       | <b>V</b>         |

| Asset   | Owner | Value of Asset           | Income<br>Type(s) | Income               | Tx. ><br>\$1,000? |
|---|-------|--------------------------|-------------------|----------------------|-------------------|
| Incyte Corporation (INCY)                                   |       | None                     | None              |                      | V                 |
| Intel Corporation (INTC)                                    |       | \$15,001 - \$50,000      | Dividends         | \$201 - \$1,000      |                   |
| International Business Machines Corporation (IBM)           | SP    | \$15,001 - \$50,000      | None              |                      | $\checkmark$      |
| JP Morgan Chase & Co. Bank Account                          |       | \$100,001 -<br>\$250,000 | Interest          | \$1,001 -<br>\$2,500 |                   |
| LaserLock Technologies, Inc. (LLTI)                         | SP    | \$50,001 -<br>\$100,000  | None              |                      | <b>V</b>          |
| Louisville and Jefferson County Bond                        |       | \$15,001 - \$50,000      | Interest          | \$201 - \$1,000      |                   |
| M&T Bank Corporation (MTB)                                  |       | \$1,001 - \$15,000       | None              |                      | V                 |
| Mairs and Powers  |       | None                     | Capital Gains     | \$201 - \$1,000      | $\checkmark$      |
| Manulife Financial Corporation (MFC)                        | SP    | None                     | Dividends         | \$201 - \$1,000      | $\checkmark$      |
| McDonalds Company Ltd Tokyo Ordinary Shares<br>(MDNDF)      |       | \$15,001 - \$50,000      | Dividends         | \$201 - \$1,000      |                   |
| Medtronic Inc. (MDT)  |       | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                   |
| Metropolitian Water 4% 12                                   |       | \$50,001 -<br>\$100,000  | Interest          | \$201 - \$1,000      |                   |
| Newmont Mining Corporation (NEM)                            |       | \$1,001 - \$15,000       | Dividends         | None                 |                   |
| Newmont Mining Corporation (NEM)                            | SP    | \$1,001 - \$15,000       | None              |                      |                   |
| Northwestern Annuity  |       | \$1,001 - \$15,000       | Dividends         | \$201 - \$1,000      |                   |
| Old National Bank Property<br>Location: Greencastle, IN, US |       | None                     | None              |                      | V                 |
|   |       |                          |                   |                      |                   |

| Asset  | Owner        | Value of Asset           | Income<br>Type(s) | Income               | Tx. ><br>\$1,000? |
|--|--------------|--------------------------|-------------------|----------------------|-------------------|
| Pepsico, Inc. (PEP)  |              | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                   |
| Procter & Gamble Company (PG)                                  | SP           | \$1,001 - \$15,000       | None              |                      | V                 |
| Royal Dutch Shell PLC Ordinary Shares B (RYDBF)                | SP           | \$1,001 - \$15,000       | None              |                      | $\checkmark$      |
| Sacramento County Municipal Bond                               |              | \$50,001 -<br>\$100,000  | Interest          | \$201 - \$1,000      |                   |
| San Juan Basin Royalty Trust (SJT)                             | SP           | \$15,001 - \$50,000      | None              |                      |                   |
| Schering-Plough  |              | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          | $\checkmark$      |
| Schwab Account Cash  |              | \$250,001 -<br>\$500,000 | Interest          | \$2,501 -<br>\$5,000 |                   |
| SPDR Gold Trust (GLD)  |              | \$15,001 - \$50,000      | Dividends         | \$201 - \$1,000      |                   |
| The Travelers Companies, Inc. (TRV)                            |              | \$1,001 - \$15,000       | Dividends         | \$201 - \$1,000      |                   |
| Tidewater Inc. (TDW)   |              | None                     | Dividends         | \$1 - \$200          | $\checkmark$      |
| Time Warner Cable Inc (TWC)                                    | SP           | \$15,001 - \$50,000      | None              |                      | $\checkmark$      |
| Torchmark Corporation (TMK)                                    | SP           | \$1,001 - \$15,000       | None              |                      | $\checkmark$      |
| Undivided 2.019501% Interest In BJ Wholesale                   | SP           | \$100,001 -<br>\$250,000 | None              |                      | <b>V</b>          |
| DESCRIPTION: Delaware Statutory Trust is one parcel of real e  | estate in Ga | inesville, VA of BJ's W  | /holesale Club    |                      |                   |
| Unilever PLC (UL)  | SP           | \$1,001 - \$15,000       | None              |                      | $\checkmark$      |
| United Bancorp, Inc.   |              | \$50,001 -<br>\$100,000  | Interest          | \$1 - \$200          |                   |
| United Bank DC   |              | \$15,001 - \$50,000      | Interest          | \$1 - \$200          |                   |
| Vacant Lot-Greenbrier-Sporting Club, Travelers Hill,<br>Lot 26 |              | None                     | None              |                      | V                 |

| Asset                                  | Owner | Value of Asset      | Income<br>Type(s)           | Income               | Tx. ><br>\$1,000? |
|--|-------|---------------------|-----------------------------|----------------------|-------------------|
| LOCATION: White Sulfer Springs, WV, US |       |                     |                             |                      |                   |
| Vanguard Prime Money Market Fund       |       | \$15,001 - \$50,000 | Interest                    | \$201 - \$1,000      |                   |
| Vanguard S&P 500 ETF (VOO)             |       | \$1,001 - \$15,000  | Capital Gains,<br>Dividends | \$201 - \$1,000      |                   |
| Verizon Communications Inc. (VZ)       |       | \$15,001 - \$50,000 | Dividends                   | \$201 - \$1,000      |                   |
| Virginia ST 5% Bond                    |       | \$15,001 - \$50,000 | Interest                    | \$1,001 -<br>\$2,500 |                   |
| Virginia ST Res 5.125 Bond             |       | \$15,001 - \$50,000 | Interest                    | \$1,001 -<br>\$2,500 |                   |
| Wal-Mart Stores, Inc. (WMT)            |       | None                | Dividends                   | \$1 - \$200          |                   |
| WashingtonFirst Bankshares Inc (WFBI)  |       | \$15,001 - \$50,000 | None                        |                      |                   |
| WashingtonFirst Bankshares Inc (WFBI)  | SP    | \$15,001 - \$50,000 | None                        |                      |                   |
| Wells Fargo & Company (WFC)            |       | \$1,001 - \$15,000  | Interest                    | \$201 - \$1,000      |                   |
| Yamana Gold Inc. Ordinary Shares (AUY) |       | \$1,001 - \$15,000  | None                        |                      | Π                 |

# SCHEDULE B: TRANSACTIONS

| Asset   | Owner            | Date                               | Тх.<br>Туре   | Amount  | Cap.<br>Gains ><br>\$200? |
|---|------------------|------------------------------------|---------------|---|---------------------------|
| 13.231 Acres Weatherford, Parker Co.  |                  | 05/9/2013                          | Р             | \$500,001 -<br>\$1,000,000                            |                           |
| <b>3M Company (MMM)</b><br>Description: The 3M Company stock was a part of a journal entry fr<br>April of 2013. | SP<br>om Charles | 07/29/2013<br>Schwab from the trus | S<br>st of my | <b>\$15,001 - \$50,000</b><br>spouse's father. It was | ,                         |
| 3M Company (MMM)  | SP               | 07/29/2013                         | S             | \$1,001 - \$15,000                                    |                           |

| TypeGaDESCRIPTION: The 3M Company stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inh<br>April of 2013.Agnico Eagle Mines Limited (AEM)SP07/24/2013P\$1,001 - \$15,000Applied Materials, Inc. (AMAT)SP07/18/2013P\$1,001 - \$15,000Canadian Natural Resources Limited (CNQ)SP12/17/2013P\$1,001 - \$15,000Central Fund of Canada Limited (CEF)SP01/22/2013P\$15,001 - \$50,000CenturyLink, Inc. (CTL)SP07/29/2013S\$1,001 - \$15,000DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered | ap.<br>ains ><br>200?<br>herited in |
|--|-------------------------------------|
| April of 2013.Agnico Eagle Mines Limited (AEM)SP07/24/2013P\$1,001 - \$15,000Applied Materials, Inc. (AMAT)SP07/18/2013P\$1,001 - \$15,000Canadian Natural Resources Limited (CNQ)SP12/17/2013P\$1,001 - \$15,000Central Fund of Canada Limited (CEF)SP01/22/2013P\$15,001 - \$50,000CenturyLink, Inc. (CTL)SP07/29/2013S\$1,001 - \$15,000DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered   | nerited in                          |
| Applied Materials, Inc. (AMAT)SPo7/18/2013P\$1,001 - \$15,000Canadian Natural Resources Limited (CNQ)SP12/17/2013P\$1,001 - \$15,000Central Fund of Canada Limited (CEF)SP01/22/2013P\$15,001 - \$50,000CenturyLink, Inc. (CTL)SP07/29/2013S\$1,001 - \$15,000DESCRIPTION: The CenturyLink stock was a part of a journal entry Fourtier Schwab from the trust of my spuse's father. It was inhered   |                                     |
| Canadian Natural Resources Limited (CNQ) SP 12/17/2013 P \$1,001 - \$15,000   Central Fund of Canada Limited (CEF) SP 01/22/2013 P \$15,001 - \$50,000   CenturyLink, Inc. (CTL) SP 07/29/2013 S \$1,001 - \$15,000   DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered 01/22/2013 S   |                                     |
| Central Fund of Canada Limited (CEF) SP 01/22/2013 P \$15,001 - \$50,000   CenturyLink, Inc. (CTL) SP 07/29/2013 S \$1,001 - \$15,000   DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered 01/22/2013 S   |                                     |
| CenturyLink, Inc. (CTL) SP 07/29/2013 S \$1,001 - \$15,000   DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered SP 07/29/2013 S \$1,001 - \$15,000  |                                     |
| DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhe   |                                     |
|  |                                     |
| April of 2013.   | erited in                           |
| Coca-Cola Company (KO) 12/23/2013 S \$15,001 - \$50,000  |                                     |
| Deere & Company (DE) SP 12/17/2013 P \$15,001 - \$50,000   |                                     |
| HollyFrontier Corporation (HFC)   SP   07/18/2013   P   \$1,001 - \$15,000   |                                     |
| HollyFrontier Corporation (HFC) SP 09/10/2013 S \$1,001 - \$15,000   |                                     |
| Incyte Corporation (INCY) SP 05/14/2013 S \$1,001 - \$15,000   |                                     |
| Incyte Corporation (INCY) SP 08/2/2013 S \$15,001 - \$50,000   |                                     |
| Incyte Corporation (INCY) SP 08/21/2013 S \$1,001 - \$15,000   |                                     |
| Incyte Corporation (INCY) SP 09/3/2013 S \$15,001 - \$50,000   | Γ                                   |
| International Business Machines Corporation (IBM) SP 09/30/2013 P \$1,001 - \$15,000   |                                     |
| International Business Machines Corporation (IBM) SP 10/1/2013 P \$1,001 - \$15,000  |                                     |
| International Business Machines Corporation (IBM) SP 10/3/2013 P \$15,001 - \$50,000   |                                     |
| LaserLock Technologies, Inc. (LLTI) SP 02/11/2013 P \$50,001 -<br>\$100,000  |                                     |

| Asset   | Owner        | Date       | Тх.<br>Туре | Amount                     | Cap.<br>Gains ><br>\$200? |
|---|--------------|------------|-------------|----------------------------|---------------------------|
| Liberty Media Corporation - Series A (LMCA)                           | SP           | 01/22/2013 | Р           | \$1,001 - \$15,000         |                           |
| Liberty Media Corporation - Series A (LMCA)                           | SP           | 07/29/2013 | S           | \$1,001 - \$15,000         |                           |
| M&T Bank Corporation (MTB)  |              | 12/23/2013 | Р           | \$1,001 - \$15,000         |                           |
| Mairs and Powers  |              | 12/23/2013 | S           | \$1,001 - \$15,000         |                           |
| Manulife Financial Corporation (MFC)                                  | SP           | 07/29/2013 | S           | \$1,001 - \$15,000         |                           |
| Old National Bank Property<br>Location: Greencastle, IN, US           |              | 02/8/2013  | S           | \$500,001 -<br>\$1,000,000 | Π                         |
| Procter & Gamble Company (PG)   | SP           | 10/3/2013  | Р           | \$1,001 - \$15,000         |                           |
| Royal Dutch Shell PLC Ordinary Shares B (RYDBF)                       | SP           | 12/17/2013 | Р           | \$1,001 - \$15,000         |                           |
| Tidewater Inc. (TDW)  |              | 12/23/2013 | S           | \$1,001 - \$15,000         |                           |
| Time Warner Cable Inc (TWC)   | SP           | 09/3/2013  | Р           | \$15,001 - \$50,000        |                           |
| Torchmark Corporation (TMK)   | SP           | 06/3/2013  | Р           | \$1,001 - \$15,000         |                           |
| Undivided 2.019501% Interest In BJ Wholesale                          | SP           | 04/8/2013  | Р           | \$100,001 -<br>\$250,000   |                           |
| DESCRIPTION: One parcel of real estate in Gainesville, VA of BJ's Who | olesale Club | )          |             |                            |                           |
| Unilever PLC (UL)   | SP           | 12/17/2013 | Р           | \$1,001 - \$15,000         |                           |
| Vacant Lot-Greenbrier-Sporting Club, Travelers Hill, Lot 26           |              | 12/13/2013 | S           | \$100,001 -<br>\$250,000   |                           |
| Wal-Mart Stores, Inc. (WMT)   | SP           | 07/29/2013 | S           | \$1,001 - \$15,000         |                           |
| WashingtonFirst Bankshares Inc (WFBI)                                 | SP           | 02/13/2013 | Р           | \$15,001 - \$50,000        |                           |

### SCHEDULE C: EARNED INCOME

| Source                                  | Туре            | Amount   |
|---|-----------------|----------|
| CSX Corporation                         | Pension Benefit | \$43,000 |
| The Humane Society of the United States | Spouse Salary   | N/A      |

### SCHEDULE D: LIABILITIES

| Own | er Creditor                        | Date Incurred | Туре  | Amount of<br>Liability   |
|-----|------------------------------------|---------------|---|--------------------------|
|     | Columbo Bank                       | February 2011 | Mortgage - Real Estate in Washington,<br>DC | \$100,001 -<br>\$250,000 |
|     | Inland Private Capitol Corporation | April 2013    | Mortgage - Real Estate in Gainesville, VA   | \$100,001 -<br>\$250,000 |

## SCHEDULE E: POSITIONS

| Position           | Name of Organization        |  |
|--------------------|-----------------------------|--|
| Board of Governors | Wesley Theological Seminary |  |

## SCHEDULE F: AGREEMENTS

| Date         | Parties To     | Terms of Agreement                                |  |
|--------------|----------------|---|--|
| January 1992 | Myself and CSX | Continued participation in the retirement program |  |

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details       |               |           | Inclusions  |              |              |         |
|--------------------|---------------|-----------|---|--------------|--------------|---------|
| Source             | Start<br>Date | End Date  | Itinerary   | Lodging?     | Food?        | Family? |
| Turkish Government | 04/27/2013    | 05/4/2013 | Washington, DC - Istanbul,<br>Turkey - Washington, DC | $\checkmark$ | $\checkmark$ |         |

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

• Yes • No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes C No

#### **COMMENTS**

#### **CERTIFICATION AND SIGNATURE**

**I** CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Ed Whitfield, 05/15/2014