

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

#### FILER INFORMATION

Name:	Mr. Ed Whitfield
Status:	Member
State/District:	KY01

### FILING INFORMATION

Filing Type:	Annual Report
Filing Year:	2013
Filing Date:	05/15/2014

# Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
13.231 Acres Weatherford, Parker Co.		\$500,001 - \$1,000,000	None		$\checkmark$
LOCATION: Weatherford, TX, US					
Abbott Laboratories (ABT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Agnico Eagle Mines Limited (AEM)	SP	\$1,001 - \$15,000	None		
Akbank Turk Anonim Sirketi ADR (AKBTY)		\$50,001 - \$100,000	Interest	\$201 - \$1,000	
AngloGold Ashanti Limited (AU)	SP	\$1,001 - \$15,000	None		
Applied Materials, Inc. (AMAT)	SP	\$1,001 - \$15,000	None		V
AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Bank of America Corporation (BAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000
California St 4% 16		\$15,001 - \$50,000	Interest	\$201 - \$1,000	
California St 4.5% 18		\$15,001 - \$50,000	Interest	\$201 - \$1,000	
Campbell and Kenton County Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Canadian Natural Resources Limited (CNQ)	SP	\$1,001 - \$15,000	None		$\checkmark$
Central Fund of Canada Limited (CEF)	SP	\$15,001 - \$50,000	None		$\checkmark$
Chevron Corporation (CVX)		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	
Coca-Cola Company (KO)		None	Dividends	\$201 - \$1,000	$\checkmark$
Congressional Credit Union		\$1,001 - \$15,000	Interest	\$1 - \$200	
CSX Description: Pension		Undetermined	None		Γ
CSX Corporation (CSX)		\$1,001 - \$15,000	Interest	\$1 - \$200	
Deere & Company (DE)	SP	\$15,001 - \$50,000	None		<b>V</b>
Dominion Resources Black Warrior Trust (DOM)		\$1,001 - \$15,000	None		
Exxon Mobil Corporation (XOM)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	
Fidelity Blue Chip Fund		\$15,001 - \$50,000	Dividends, Interest	\$1,001 - \$2,500	
Hampton Roads Bankshares Inc (HMPR)		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
Houston Tax Revenue Bond		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
Incyte Corporation (INCY)	SP	None	Dividends	\$201 - \$1,000	<b>V</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Incyte Corporation (INCY)		None	None		V
Intel Corporation (INTC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
International Business Machines Corporation (IBM)	SP	\$15,001 - \$50,000	None		$\checkmark$
JP Morgan Chase & Co. Bank Account		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	
LaserLock Technologies, Inc. (LLTI)	SP	\$50,001 - \$100,000	None		<b>V</b>
Louisville and Jefferson County Bond		\$15,001 - \$50,000	Interest	\$201 - \$1,000	
M&T Bank Corporation (MTB)		\$1,001 - \$15,000	None		V
Mairs and Powers		None	Capital Gains	\$201 - \$1,000	$\checkmark$
Manulife Financial Corporation (MFC)	SP	None	Dividends	\$201 - \$1,000	$\checkmark$
McDonalds Company Ltd Tokyo Ordinary Shares (MDNDF)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Medtronic Inc. (MDT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Metropolitian Water 4% 12		\$50,001 - \$100,000	Interest	\$201 - \$1,000	
Newmont Mining Corporation (NEM)		\$1,001 - \$15,000	Dividends	None	
Newmont Mining Corporation (NEM)	SP	\$1,001 - \$15,000	None		
Northwestern Annuity		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Old National Bank Property Location: Greencastle, IN, US		None	None		V

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Procter & Gamble Company (PG)	SP	\$1,001 - \$15,000	None		V
Royal Dutch Shell PLC Ordinary Shares B (RYDBF)	SP	\$1,001 - \$15,000	None		$\checkmark$
Sacramento County Municipal Bond		\$50,001 - \$100,000	Interest	\$201 - \$1,000	
San Juan Basin Royalty Trust (SJT)	SP	\$15,001 - \$50,000	None		
Schering-Plough		\$1,001 - \$15,000	Dividends	\$1 - \$200	$\checkmark$
Schwab Account Cash		\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	
SPDR Gold Trust (GLD)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
The Travelers Companies, Inc. (TRV)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Tidewater Inc. (TDW)		None	Dividends	\$1 - \$200	$\checkmark$
Time Warner Cable Inc (TWC)	SP	\$15,001 - \$50,000	None		$\checkmark$
Torchmark Corporation (TMK)	SP	\$1,001 - \$15,000	None		$\checkmark$
Undivided 2.019501% Interest In BJ Wholesale	SP	\$100,001 - \$250,000	None		<b>V</b>
DESCRIPTION: Delaware Statutory Trust is one parcel of real e	estate in Ga	inesville, VA of BJ's W	/holesale Club		
Unilever PLC (UL)	SP	\$1,001 - \$15,000	None		$\checkmark$
United Bancorp, Inc.		\$50,001 - \$100,000	Interest	\$1 - \$200	
United Bank DC		\$15,001 - \$50,000	Interest	\$1 - \$200	
Vacant Lot-Greenbrier-Sporting Club, Travelers Hill, Lot 26		None	None		V

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: White Sulfer Springs, WV, US					
Vanguard Prime Money Market Fund		\$15,001 - \$50,000	Interest	\$201 - \$1,000	
Vanguard S&P 500 ETF (VOO)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Verizon Communications Inc. (VZ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Virginia ST 5% Bond		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
Virginia ST Res 5.125 Bond		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
Wal-Mart Stores, Inc. (WMT)		None	Dividends	\$1 - \$200	
WashingtonFirst Bankshares Inc (WFBI)		\$15,001 - \$50,000	None		
WashingtonFirst Bankshares Inc (WFBI)	SP	\$15,001 - \$50,000	None		
Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Yamana Gold Inc. Ordinary Shares (AUY)		\$1,001 - \$15,000	None		Π

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Тх. Туре	Amount	Cap. Gains > \$200?
13.231 Acres Weatherford, Parker Co.		05/9/2013	Р	\$500,001 - \$1,000,000	
<b>3M Company (MMM)</b> Description: The 3M Company stock was a part of a journal entry fr April of 2013.	SP om Charles	07/29/2013 Schwab from the trus	S st of my	<b>\$15,001 - \$50,000</b> spouse's father. It was	,
3M Company (MMM)	SP	07/29/2013	S	\$1,001 - \$15,000	

TypeGaDESCRIPTION: The 3M Company stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inh April of 2013.Agnico Eagle Mines Limited (AEM)SP07/24/2013P\$1,001 - \$15,000Applied Materials, Inc. (AMAT)SP07/18/2013P\$1,001 - \$15,000Canadian Natural Resources Limited (CNQ)SP12/17/2013P\$1,001 - \$15,000Central Fund of Canada Limited (CEF)SP01/22/2013P\$15,001 - \$50,000CenturyLink, Inc. (CTL)SP07/29/2013S\$1,001 - \$15,000DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered	ap. ains > 200? herited in
April of 2013.Agnico Eagle Mines Limited (AEM)SP07/24/2013P\$1,001 - \$15,000Applied Materials, Inc. (AMAT)SP07/18/2013P\$1,001 - \$15,000Canadian Natural Resources Limited (CNQ)SP12/17/2013P\$1,001 - \$15,000Central Fund of Canada Limited (CEF)SP01/22/2013P\$15,001 - \$50,000CenturyLink, Inc. (CTL)SP07/29/2013S\$1,001 - \$15,000DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered	nerited in
Applied Materials, Inc. (AMAT)SPo7/18/2013P\$1,001 - \$15,000Canadian Natural Resources Limited (CNQ)SP12/17/2013P\$1,001 - \$15,000Central Fund of Canada Limited (CEF)SP01/22/2013P\$15,001 - \$50,000CenturyLink, Inc. (CTL)SP07/29/2013S\$1,001 - \$15,000DESCRIPTION: The CenturyLink stock was a part of a journal entry Fourtier Schwab from the trust of my spuse's father. It was inhered	
Canadian Natural Resources Limited (CNQ) SP 12/17/2013 P \$1,001 - \$15,000   Central Fund of Canada Limited (CEF) SP 01/22/2013 P \$15,001 - \$50,000   CenturyLink, Inc. (CTL) SP 07/29/2013 S \$1,001 - \$15,000   DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered 01/22/2013 S	
Central Fund of Canada Limited (CEF) SP 01/22/2013 P \$15,001 - \$50,000   CenturyLink, Inc. (CTL) SP 07/29/2013 S \$1,001 - \$15,000   DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered 01/22/2013 S	
CenturyLink, Inc. (CTL) SP 07/29/2013 S \$1,001 - \$15,000   DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhered SP 07/29/2013 S \$1,001 - \$15,000	
DESCRIPTION: The CenturyLink stock was a part of a journal entry from Charles Schwab from the trust of my spouse's father. It was inhe	
April of 2013.	erited in
Coca-Cola Company (KO) 12/23/2013 S \$15,001 - \$50,000	
Deere & Company (DE) SP 12/17/2013 P \$15,001 - \$50,000	
HollyFrontier Corporation (HFC)   SP   07/18/2013   P   \$1,001 - \$15,000	
HollyFrontier Corporation (HFC) SP 09/10/2013 S \$1,001 - \$15,000	
Incyte Corporation (INCY) SP 05/14/2013 S \$1,001 - \$15,000	
Incyte Corporation (INCY) SP 08/2/2013 S \$15,001 - \$50,000	
Incyte Corporation (INCY) SP 08/21/2013 S \$1,001 - \$15,000	
Incyte Corporation (INCY) SP 09/3/2013 S \$15,001 - \$50,000	Γ
International Business Machines Corporation (IBM) SP 09/30/2013 P \$1,001 - \$15,000	
International Business Machines Corporation (IBM) SP 10/1/2013 P \$1,001 - \$15,000	
International Business Machines Corporation (IBM) SP 10/3/2013 P \$15,001 - \$50,000	
LaserLock Technologies, Inc. (LLTI) SP 02/11/2013 P \$50,001 - \$100,000	

Asset	Owner	Date	Тх. Туре	Amount	Cap. Gains > \$200?
Liberty Media Corporation - Series A (LMCA)	SP	01/22/2013	Р	\$1,001 - \$15,000	
Liberty Media Corporation - Series A (LMCA)	SP	07/29/2013	S	\$1,001 - \$15,000	
M&T Bank Corporation (MTB)		12/23/2013	Р	\$1,001 - \$15,000	
Mairs and Powers		12/23/2013	S	\$1,001 - \$15,000	
Manulife Financial Corporation (MFC)	SP	07/29/2013	S	\$1,001 - \$15,000	
Old National Bank Property Location: Greencastle, IN, US		02/8/2013	S	\$500,001 - \$1,000,000	Π
Procter & Gamble Company (PG)	SP	10/3/2013	Р	\$1,001 - \$15,000	
Royal Dutch Shell PLC Ordinary Shares B (RYDBF)	SP	12/17/2013	Р	\$1,001 - \$15,000	
Tidewater Inc. (TDW)		12/23/2013	S	\$1,001 - \$15,000	
Time Warner Cable Inc (TWC)	SP	09/3/2013	Р	\$15,001 - \$50,000	
Torchmark Corporation (TMK)	SP	06/3/2013	Р	\$1,001 - \$15,000	
Undivided 2.019501% Interest In BJ Wholesale	SP	04/8/2013	Р	\$100,001 - \$250,000	
DESCRIPTION: One parcel of real estate in Gainesville, VA of BJ's Who	olesale Club	)			
Unilever PLC (UL)	SP	12/17/2013	Р	\$1,001 - \$15,000	
Vacant Lot-Greenbrier-Sporting Club, Travelers Hill, Lot 26		12/13/2013	S	\$100,001 - \$250,000	
Wal-Mart Stores, Inc. (WMT)	SP	07/29/2013	S	\$1,001 - \$15,000	
WashingtonFirst Bankshares Inc (WFBI)	SP	02/13/2013	Р	\$15,001 - \$50,000	

### SCHEDULE C: EARNED INCOME

Source	Туре	Amount
CSX Corporation	Pension Benefit	\$43,000
The Humane Society of the United States	Spouse Salary	N/A

### SCHEDULE D: LIABILITIES

Own	er Creditor	Date Incurred	Туре	Amount of Liability
	Columbo Bank	February 2011	Mortgage - Real Estate in Washington, DC	\$100,001 - \$250,000
	Inland Private Capitol Corporation	April 2013	Mortgage - Real Estate in Gainesville, VA	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

Position	Name of Organization	
Board of Governors	Wesley Theological Seminary	

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement	
January 1992	Myself and CSX	Continued participation in the retirement program	

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details			Inclusions			
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Turkish Government	04/27/2013	05/4/2013	Washington, DC - Istanbul, Turkey - Washington, DC	$\checkmark$	$\checkmark$	

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

• Yes • No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes C No

#### **COMMENTS**

#### **CERTIFICATION AND SIGNATURE**

**I** CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Ed Whitfield, 05/15/2014