



Filing ID #10001062

# FINANCIAL DISCLOSURE REPORT

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Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

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## FILER INFORMATION

**Name:** Mr. Jim Costa  
**Status:** Member  
**State/District:** CA16

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2013  
**Filing Date:** 05/9/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Congressional Federal Credit Union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Costa Farms		\$1,000,001 - \$5,000,000	Farm Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Fresno, CA, US DESCRIPTION: Almonds					
Portuguese Fraternal Society of America		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Premier Valley Bank (PVLV)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Rental Home		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Fresno, CA, US					
Rental Home		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
Wells Fargo Advisors 401(k) ⇒ Aston Funds Montag & Caldwell Growth (MCGIX)		\$25,000,001 - \$50,000,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
(EIF)					
Wells Fargo Advisors 401(k) ⇒ Buffalo Small CAP FD (BUFSX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Dreyfus Appreciation FD INC INVS SHS (DGAGX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Franklin Value INVS TR SMALL CAP VALUE FD ADVISORS CL (FVADX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Gabelli Equity Ser FD SML CAP GROWTH FUND CLASS (GACIX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Goldman Sachs TR FINL SQUARE TREAS INSTRS FD INSTL CL (FTIXX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Harbor FD CAP APPRECIATION FD INSTL CL (HACAX) (EIF)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Harris Assoc INVT TR OAKMARK INTL Fund CL (OAKIX) (EIF)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ ING Equity TR SMALLCAP OPPORTUNITIES FD CL (NSPIX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Janus INVT FD FLEXIBLE BD FD CLASS 1 (JFLEX) (EIF)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Lazard FD INC EMERGING MKTS PORT INSTL (LZEMX) (EIF)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MFS SER TR 1 VALUE FD (MEIIX) (EIF)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Oppenheimer DEV MKTS CL Y (ODVYX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo Advisors 401(k) ⇒ Oppenheimer INTL GRWTH FD CL Y SHS (OIGYX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD (PTTRX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ PIMCO FDS PAC INVT MGMT SER-COMMODITY REAL RETURN STRAT FD (PCRIX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ ROWE T PRICE MID CAP GROWTH FUND (RPMGX) (EIF)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ RS INVT TR VALUE FD (RSVYX) (EIF)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ T ROWE PRICE REAL EST FUND (TRREX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ TCW FDS INC RELATIVE VALUE LRG CAP FD (TGDIX) (EIF)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ THORNBURG INTL VALUE INTERNATIONAL VALUE FUND (TGVIX) (EIF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Wells Fargo Bank		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Westamerica Bancorporation (WABC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
California Public Employees Retirement Systems	Legislative Pension	\$3,828

Source	Type	Amount
California Legislator Retirement System	Legislative Pension	\$7,613

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
	United Security Bank	October 2007	Personal	\$100,001 - \$250,000
COMMENTS: Liability was paid in full on 12/6/2013				
	The National Capital Bank	July 2006	Mortgage on Washington D.C. property	\$250,001 - \$500,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Board Member	The Maddy Institute, CA State University, Fresno
COMMENTS: Not compensated	
Advisory Council Member	The Congressional Hispanic Caucus Institute
COMMENTS: Not compensated	

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B ASSET CLASS DETAILS**

<ul style="list-style-type: none"> <li>Wells Fargo Advisors 401(k) DESCRIPTION: Formerly known as Wachovia Securities 401k</li> </ul>
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## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Jim Costa , 05/9/2014