Filing ID #10000711

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

Filer Information

Name: Mr. Jared Huffman
Status: Member
State/District: CA02

Filing Information

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/6/2014

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Abby Huffman 529 Plan ⇒ Fidelity NH Portfolio 2018	DC	$15,001 - $50,000	None		☑
Abby Huffman Child Savings Account ⇒ ING Direct CD	DC	$1,001 - $15,000	Interest	$1 - $200	☐
ADCO Limited partnership units ⇒ Adhesive Coatings Co. Ltd. Location: Hillsborough, CA, US Description: Limited partnership units in Adhesive Coatings Co.		$15,001 - $50,000	None		☐
Bank Accounts ⇒ Redwood Credit Union - Checking	JT	$1,001 - $15,000	None		☐
Bank Accounts ⇒ Redwood Credit Union - Money Market	JT	$1 - $1,000	None		☐
Bank Accounts ⇒ Redwood Credit Union - Savings	JT	$1,001 - $15,000	Interest	$1 - $200	☐
Bank Accounts ⇒ Westamerica Checking	JT	$1 - $1,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Joint Brokerage Account ⇒ Fidelity Balanced Fund DESCRIPTION: Sold all shares	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Fidelity Joint Brokerage Account ⇒ Fidelity CA Municipal Money Market	JT	$1 - $1,000	Interest, Tax-Deferred	$1 - $200	☑
Fidelity Joint Brokerage Account ⇒ Fidelity Strategic Dividend and Income Fund DESCRIPTION: Sold all shares	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Fidelity Joint Brokerage Account ⇒ Fidelity Total Bond Fund DESCRIPTION: Sold all shares	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Fidelity Joint Brokerage Account ⇒ ONEQ NASDAQ Tracking DESCRIPTION: sold shares	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
Fidelity Joint Brokerage Account ⇒ Spartan Ext Market Index Fund DESCRIPTION: Sold all shares	JT	$1,001 - $15,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Fidelity Joint Brokerage Account ⇒ SPDR S&P500 ETF DESCRIPTION: Sold shares	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Fidelity Joint Brokerage Account ⇒ Vanguard FTSE Social Index DESCRIPTION: Sold all shares	JT	$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Jared's Fidelity Rollover IRA ⇒ Fidelity Capital Appreciation Fund DESCRIPTION: Purchased additional shares 12/13		$50,001 - $100,000	Dividends, Tax-Deferred	$201 - $1,000	☑
Jared's Fidelity Rollover IRA ⇒ Fidelity Government Money Market DESCRIPTION: Purchased from transfer of Savings Plus 401k to Rollover IRA in 4/1/13. Then used proceeds to purchase shares of Fidelity Capital Appreciation and Neuberger Berman Fund 12/13		$50,001 - $100,000	Dividends, Tax-Deferred	$201 - $1,000	☑
Jared's Fidelity Rollover IRA ⇒ Neuberger Berman Social Responsible Fund DESCRIPTION: Purchased additional shares 12/13		$15,001 - $50,000	None		☑
Jared's Fidelity Traditional IRA ⇒		$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Alger Green Fund					
Jared's Fidelity Traditional IRA ⇒ Fidelity Total Bond Fund		$15,001 - $50,000	Dividends, Tax-Deferred	$201 - $1,000	☐
Jared's Fidelity Traditional IRA ⇒ iSHARES S&P 500 Tracking		$15,001 - $50,000	Dividends, Tax-Deferred	$1,001 - $2,500	☐
Jared's Fidelity Traditional IRA ⇒ ONEQ NASDAQ Tracking		$15,001 - $50,000	Dividends, Tax-Deferred	$201 - $1,000	☐
Jared's Fidelity Traditional IRA ⇒ Vanguard FTSE Social Index		$1,001 - $15,000	Dividends, Tax-Deferred	$201 - $1,000	☐
Jared's NRDC Defined Benefit Pension ⇒ NRDC Defined Benefit Pension Account		$15,001 - $50,000	None		☐
Jared's Roth IRA ⇒ Alger Green Fund		$1,001 - $15,000	None		☐
Jared's Roth IRA ⇒ Fidelity Cash Reserves DESCRIPTION: Withdrawal of principal from Roth IRA - $5,000		$1 - $1,000	Interest, Tax-Deferred	$1 - $200	☑
Jared's Roth IRA ⇒ Fidelity Cash Reserves DESCRIPTION: Withdrawal of principal from ROTH IRA		$1,001 - $15,000	Interest, Tax-Deferred	$1,001 - $2,500	☑
Jared's Roth IRA ⇒ New Alternatives Fund DESCRIPTION: Sale of principal for $2,296		$1,001 - $15,000	Capital Gains, Tax-Deferred	$1,001 - $2,500	☑
Jared's Roth IRA ⇒ Vanguard FTSE Social Index		$1,001 - $15,000	Capital Gains, Dividends, Tax-Deferred	$2,501 - $5,000	☑
Jared's Savings Plus 401k from CA Assembly (Closed) ⇒ Asset Allocation - Moderate DESCRIPTION: Sold and transferred to Fidelity Rollover IRA on 4/1/2013		None	Tax-Deferred	$1 - $200	☑
Nathan Huffman 529 Plan ⇒ Scholarshare Passive Age-Based Portfilio 9-10	DC	$15,001 - $50,000	None		☑
Nathan Huffman child savings account ⇒	DC	$1,001 - $15,000	Interest	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
ING Direct CD					
Susan's CalSRTS Retirement ⇒ CalSTRS Pension Account	JT	$15,001 - $50,000	Interest, Tax-Deferred	$1 - $200	☐
Susan's Fidelity Roth IRA ⇒ Domini Social Equity Fund	JT	$1,001 - $15,000	None		☐
Susan's Fidelity Roth IRA ⇒ Fidelity Blue Chip Growth Fund	JT	$1,001 - $15,000	Dividends, Tax-Deferred	$1 - $200	☐
Susan's Fidelity Roth IRA ⇒ Fidelity Cash Reserves DESCRIPTION: Withdrawal of principal from ROTH IRA	JT	$1,001 - $15,000	Dividends, Tax-Deferred	$1 - $200	☑
Susan's Fidelity Roth IRA ⇒ Fidelity Dividend Growth Fund	JT	$1,001 - $15,000	Dividends, Tax-Deferred	$1 - $200	☐
Susan's Fidelity Roth IRA ⇒ Fidelity Low Priced Stock Fund	JT	$1,001 - $15,000	Dividends, Tax-Deferred	$1 - $200	☐
Susan's Fidelity Roth IRA ⇒ Fidelity Small Cap Stock Fund	JT	$1,001 - $15,000	Dividends, Tax-Deferred	$1 - $200	☐
Susan's Fidelity Roth IRA ⇒ Microsoft Corporation (MSFT)	JT	$1,001 - $15,000	Capital Gains, Dividends, Tax-Deferred	$1 - $200	☐
Susan's IRA CD Account ⇒ Discover CD	SP	$1,001 - $15,000	Interest, Tax-Deferred	$1 - $200	☐
Susan's TIAA-CREF Retirement Account ⇒ CREF Stock Fund	SP	$15,001 - $50,000	None		☐
Washington residence LOCATION: Washington, DC, US DESCRIPTION: Part-time residence in Washington, DC	JT	$500,001 - $1,000,000	None		☑

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Abby Huffman 529 Plan ⇒ Fidelity NH Portfolio 2018 LOCATION: CA DESCRIPTION: Grandparents contribution to child's college savings plan	DC	01/14/2013	P	$1,001 - $15,000	
Fidelity Joint Brokerage Account ⇒ Fidelity Balanced Fund DESCRIPTION: Sold shares	JT	11/4/2013	S	$1,001 - $15,000	☑
Fidelity Joint Brokerage Account ⇒ Fidelity CA Municipal Money Market DESCRIPTION: Deposit check for purchase of DC residence	JT	11/8/2013	S (partial)	$15,001 - $50,000	☐
Fidelity Joint Brokerage Account ⇒ Fidelity CA Municipal Money Market DESCRIPTION: Wire transfer for purchase of DC residence	JT	11/20/2013	S (partial)	$100,001 - $250,000	☐
Fidelity Joint Brokerage Account ⇒ Fidelity Strategic Dividend and Income Fund DESCRIPTION: Sold all shares	JT	11/1/2013	S	$15,001 - $50,000	☑
Fidelity Joint Brokerage Account ⇒ Fidelity Total Bond Fund DESCRIPTION: Sold shares	JT	11/1/2013	S (partial)	$1,001 - $15,000	☐
Fidelity Joint Brokerage Account ⇒ ONEQ NASDAQ Tracking DESCRIPTION: sold shares	JT	11/4/2013	S (partial)	$15,001 - $50,000	☑
Fidelity Joint Brokerage Account ⇒ Spartan Ext Market Index Fund DESCRIPTION: sold shares	JT	11/4/2013	S (partial)	$1,001 - $15,000	☑
Fidelity Joint Brokerage Account ⇒ SPDR S&P500 ETF DESCRIPTION: sold shares	JT	11/4/2013	S (partial)	$15,001 - $50,000	☑
Fidelity Joint Brokerage Account ⇒ Vanguard FTSE Social Index DESCRIPTION: sold shares	JT	11/4/2013	S (partial)	$15,001 - $50,000	☑
Jared's Fidelity Rollover IRA ⇒ Fidelity Capital Appreciation Fund DESCRIPTION: buy shares		12/6/2013	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Jared's Fidelity Rollover IRA ⇒ Fidelity Government Money Market Description: Transfer of Savings Plus IRA into Rollover IRA		04/1/2013	P	$15,001 - $50,000	
Jared's Fidelity Rollover IRA ⇒ Neuberger Berman Social Responsible Fund Description: purchase shares		12/16/2013	P	$1,001 - $15,000	
Jared's Fidelity Rollover IRA ⇒ Vanguard FTSE Social Index Description: sold shares		11/5/2013	S (partial)	$1,001 - $15,000	☑
Jared's Roth IRA ⇒ Fidelity Cash Reserves Description: Withdrawal of principal from Roth IRA		11/7/2013	S	$1,001 - $15,000	☐
Jared's Roth IRA ⇒ New Alternatives Fund Description: sold all shares		11/5/2013	S	$1,001 - $15,000	☐
Jared's Savings Plus 401k from CA Assembly (Closed) ⇒ Diversified Fund Description: Transfer Savings Plus 401k from former employer (State Assembly) to Fidelity Rollover IRA		04/1/2013	S	$15,001 - $50,000	☐
Nathan Huffman 529 Plan ⇒ Scholarshare Passive Age-Based Portfilio 9-10 Description: Grandparents contribution to child's 529 savings plan	DC	01/16/2013	P	$1,001 - $15,000	
Susan's Fidelity Roth IRA ⇒ Fidelity Cash Reserves Description: Withdrawal of principal from Roth IRA	JT	11/7/2013	S	$1,001 - $15,000	☐
Washington residence Location: Washington, DC, US Description: Purchase DC residence	JT	11/21/2013	P	$500,001 - $1,000,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
United States Congress	salary	$152,923.14

Source	Type	Amount
San Rafael Schools	Spouse salary	$4,200
City of San Rafael	Spouse salary for coaching volleyball club	$4,050

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Mortgage, Des Moines, IA	October 2012	Mortgage on personal residence	$250,001 - $500,000
JT	BB&T Mortgage, Whiteville, NC	November 2013	Mortgage on part-time residence in Washington	$500,001 - $1,000,000
JT	Chase Credit Card	November 2013	Credit card balance	$10,000 - $15,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
November 2006	Jared Huffman and former employer Natural Resources Defense Council	Defined benefit pension account, with pension benefit beginning in the year 2029
December 2006	Jared Huffman and former employer California State Assembly	401k retirement account -- this account was closed 4/1/2013

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Abby Huffman 529 Plan (Owner: DC)
  Location: CA
  Description: Daughter's college savings plan
- Abby Huffman Child Savings Account (Owner: DC)
  Location: CA, US

Description: Daughter's child savings account

- ADCO Limited partnership units
  Location: CA, US
  Description: Jared Huffman's limited partnership unites in Adhesive Coatings Co. (ADCO).
- Bank Accounts (Owner: JT)
  Location: San Rafael, CA, US
  Description: Checking and savings accounts
- Fidelity Joint Brokerage Account (Owner: JT)
  Location: US
- Jared's Fidelity Rollover IRA
  Description: Rollover IRA owned by Jared Huffman
- Jared's Fidelity Traditional IRA
  Description: Traditional IRA owned by Jared Huffman
- Jared's NRDC Defined Benefit Pension
  Description: Defined benefit pension account for work at Natural Resources Defense Council 2001-06.
- Jared's Roth IRA
  Description: Roth IRA owned by Jared Huffman
- Jared's Savings Plus 401k from CA Assembly (Closed)
  Description: Transferred to Fidelity Rollover IRA and closed 4/1/2013.
- Nathan Huffman 529 Plan (Owner: DC)
  Location: CA
  Description: Nathan Huffman college savings plan
- Nathan Huffman child savings account (Owner: DC)
  Location: CA, US
  Description: Son's child savings account
- Susan's CalSRTS Retirement (Owner: JT)
  Description: Susan's California Teachers Retirement Account
- Susan's Fidelity Roth IRA (Owner: JT)
  Description: Roth IRA owned by Susan Huffman
- Susan's IRA CD Account (Owner: SP)
  Description: Discover CD account owned by Susan Huffman
- Susan's TIAA-CREF Retirement Account (Owner: SP)
  Description: TIAA-CREF Teachers Retirement

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Jared Huffman , 05/6/2014