



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Ms. Zoe Lofgren
Status: Member
State/District: CA19

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/14/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
California Public Employee Retirement System		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ California State General Obligation Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Catholic Health Care West Municipal Bond	JT	None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Chevron Corporation (CVX)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Industry Urban Development Agency Tax Allocation Municipal Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ La Quinta Financing Authority Municipal Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Roseville Electric System Municipal Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Collins/Lofgren Family Trust ⇒ Southern Company (SO)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Stockton Unified School District Municipal Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Tesla Motors, Inc. (TSLA)	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Wells Fargo & Company (WFC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Yosemite Community College District Municipal Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
ICMARC ⇒ Am Funds Inv Co of America		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
ICMARC ⇒ ASTON/Fairpointe Mid Cap Fund I		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ICMARC ⇒ Fidelity Contrafund		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
ICMARC ⇒ Fidelity Diversified Int'l		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
ICMARC ⇒ Perkins Mid Cap Value I		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
ICMARC ⇒ T Rowe Price Small-Cp Stk		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ICMARC ⇒ T Rowe Price Small-Cp Value		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
ICMARC ⇒ Vanguard Institutional Index		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
ICMARC ⇒ VantageTrust PLUS Fund		\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
ICMARC ⇒ Victory Established Value I		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Marshall Collins Retirement Account ⇒ Alger Spectra I Fund	SP	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
COMMENTS: Asset transferred from John Marshall Collins PC Retirement Plan (now closed) to John Marshall Collins Retirement Account.					
John Marshall Collins Retirement Account ⇒ Artisan Fund International Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Cash / Bank Deposit Sweep	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
COMMENTS: Cash consolidated from John Marshall Collins PC Retirement Plan (now closed) with John Marshall Collins Retirement Account; name changed from Dreyfus Basic Money Market to Cash / Bank Deposit Sweep.					
John Marshall Collins Retirement Account ⇒ Delaware Pooled Trust Diversified Income Fund Class A	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
COMMENTS: Asset transferred from John Marshall Collins PC Retirement Plan (now closed) to John Marshall Collins Retirement Account.					
John Marshall Collins Retirement Account ⇒ Dodge & Cox Funds International Stock Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Dodge & Cox Income Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Fleming Cap Mutual Fund Group JP Morgan Mid Cap Value Fund Class I	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Gateway Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Goldman Sachs Trust Strategic Growth Opportunities Fund Institutional	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Harbor Fund Cap Appreciation Fund Institutional Class	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Hartford Floating Rate Fund	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
COMMENTS: Asset transferred from John Marshall Collins PC Retirement Plan (now closed) to John Marshall Collins Retirement Account.					
John Marshall Collins Retirement Account ⇒ Hartford Mutual Funds Cap Appreciation Fund Class A	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Hussman Investment Trust Strategic Growth Fund	SP	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Marshall Collins Retirement Account ⇒ Oppenheimer Developing Markets Fund Class A	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
COMMENTS: Asset consolidated from John Marshall Collins PC Retirement Plan (now closed) with John Marshall Collins Retirement Account.					
John Marshall Collins Retirement Account ⇒ PIMCO Funds Total Return Fund Class P	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ PIMCO Funds Total Return Fund Institutional Class	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ PowerShares DB Commodity Index Tracking Fund ETF	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
COMMENTS: Asset consolidated from John Marshall Collins PC Retirement Plan (now closed) with John Marshall Collins Retirement Account.					
John Marshall Collins Retirement Account ⇒ Principal Funds High Yield Class P	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Asset consolidated from John Marshall Collins PC Retirement Plan (now closed) with John Marshall Collins Retirement Account. All assets formerly Principal Funds High Yield Class A now Principal Funds High Yield Class P.					
John Marshall Collins Retirement Account ⇒ Royce Fund Pennsylvania Mutual Fund Investment Class	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ RS Select Growth Y	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
John Marshall Collins Retirement Account ⇒ SPDR Index Shares Fund Dow Jones International Real Estate ETF	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Templeton Global Investment Trust Global Bond Fund Advisor Class	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Asset transferred from John Marshall Collins PC Retirement Plan (now closed) to John Marshall Collins Retirement Account.					
John Marshall Collins Retirement Account ⇒ Vanguard REIT ETF	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Vanguard Short-Term Bond Index Fund	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
COMMENTS: Asset consolidated from John Marshall Collins PC Retirement Plan (now closed) with John Marshall Collins Retirement Account. All assets formerly Vanguard Short-Term Bond Index Fund Investor Shares now Vanguard Short-Term Bond Index Fund.					
John Marshall Collins Retirement Account ⇒ Yacktman Fund Service Class A	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
COMMENTS: Asset transferred from John Marshall Collins PC Retirement Plan (now closed) to John Marshall Collins Retirement Account.					
Wells Fargo Accounts	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Collins/Lofgren Family Trust ⇒ Catholic Health Care West Municipal Bond	JT	07/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Tesla Motors, Inc. (TSLA)	JT	11/20/2013	P	\$1,001 - \$15,000	
ICMARC ⇒ Am Funds Inv Co of America		03/22/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
ICMARC ⇒ Perkins Mid Cap Value I		03/22/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
ICMARC ⇒ Vanguard Institutional Index		03/22/2013	P	\$15,001 - \$50,000	
ICMARC ⇒ Victory Established Value I		03/22/2013	P	\$1,001 - \$15,000	
John Marshall Collins Retirement Account ⇒ Alger Spectra I Fund	SP	03/14/2013	P	\$15,001 - \$50,000	
COMMENTS: Asset purchased in John Marshall Collins PC Retirement Plan (now closed) before transfer to John Marshall Collins Retirement Account.					
John Marshall Collins Retirement Account ⇒ Alger Spectra I Fund	SP	11/20/2013	P	\$1,001 - \$15,000	
John Marshall Collins Retirement Account ⇒ Gateway Fund	SP	03/13/2013	P	\$1,001 - \$15,000	
John Marshall Collins Retirement Account ⇒ Goldman Sachs Trust Strategic Growth Opportunities Fund Institutional	SP	11/20/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Marshall Collins Retirement Account ⇒ Hussman Investment Trust Strategic Growth Fund	SP	03/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ RS Select Growth Y	SP	11/20/2013	P	\$1,001 - \$15,000	
John Marshall Collins Retirement Account ⇒ Yacktman Fund Service Class	SP	03/14/2013	P	\$15,001 - \$50,000	
COMMENTS: Asset purchased in John Marshall Collins PC Retirement Plan (now closed) before transfer to John Marshall Collins Retirement Account.					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
John Marshall Collins PC	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	UBS AG	January 2012	Current Mortgage on Primary Residence, San Jose, CA (Not Rented)	\$250,001 - \$500,000
JT	PHH Mortgage Corporation	January 2012	Mortgage on Residence, Washington, DC (Not Rented)	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Santa Clara University School of Law Board of Visitors
Board Member	Santa Clara University School of Law Dean's High Tech Advisory Council
Advisory Board Member	Santa Clara Computer & High Technology Law Journal; Santa Clara University School of Law
Advisory Board Member	Pacific Community Ventures
Advisory Board Member	Silicon Valley Education Foundation (Formerly Known as San Jose Education Foundation)
Advisory Board Member	Santa Clara County Superior Court - Project Advisory Committee

Position	Name of Organization
Advisory Board Member	SJ2020 Executive Committee

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1981	California Public Employee Retirement System (through County of Santa Clara)	Continuing Membership (Vested); No Continuing Contribution by Employer or Employee
1981	ICMARC (through County of Santa Clara)	Savings Plan; No Contribution by Employer or Employee

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Collins/Lofgren Family Trust (Owner: JT)
- ICMARC
- John Marshall Collins Retirement Account (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the

best of my knowledge and belief.

Digitally Signed: Ms. Zoe Lofgren , 05/14/2014