



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. Darrell E. Issa
Status: Member
State/District: CA49

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 06/20/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1200 Harbor Dr LP		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
LOCATION: Oceanside, CA, US DESCRIPTION: Holds property located at 1200 Harbor Dr COMMENTS: Valuation for all LPs listed, Greene Properties, DEI LLC and Directed Insurance is Net Book Value.					
12400 Stowe Drive LP		\$5,000,001 - \$25,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Poway, CA, US DESCRIPTION: Holds property located at 12400 Stowe Drive					
1800 Carrillon LP		\$1,000,001 - \$5,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Cincinnati, OH, US DESCRIPTION: Holds property located at 1800 Carrillon					
212 Main Street LP		\$500,001 - \$1,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Fallbrook, CA, US DESCRIPTION: Holds property located at 212 Main Street					
2585 Business Park LP		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Carlsbad, CA, US DESCRIPTION: Holds property located at 2585 Business Park Drive					
3186 Vista Way LP		\$5,000,001 - \$25,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Vista, CA, US DESCRIPTION: Holds property at 3186 Vista Way					
5931 Priestly Dr LP		\$5,000,001 - \$25,000,000	None		<input type="checkbox"/>
LOCATION: Carlsbad, CA, US DESCRIPTION: Holds property located at 5931 Priestly Drive					
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	\$5,000,001 - \$25,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	\$25,000,001 - \$50,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Allianz AGIC High Yield Cl A - AYBAX	JT	None	Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
COMMENTS: 12/9/2013 Mutual Fund Share Class conversion from Class A (AYBAX) into Class P (AYBPX)					
Account 1 ⇒ AllianzGI High Yield Cl P - AYBPX	JT	Over \$50,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	Over \$50,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Columbia High Yield Opportunity Cl A - COLHX	JT	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Account 1 ⇒ First Eagle High Yield Cl A - FEHAX	JT	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ First Eagle High Yield Cl I - FEHIX	JT	Over \$50,000,000	Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	\$5,000,001 - \$25,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	Over \$50,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Lord Abbett Bond Debenture Cl A - LBNDX	JT	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	\$5,000,001 - \$25,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	\$5,000,001 - \$25,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay Epoch Global Equity Fund Cl A - EPSPX	JT	\$1,000,001 - \$5,000,000	None		<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	None	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
COMMENTS: 9/27/2013 Mutual Fund Share Class conversion from Class A (MHCAX) into Class I (MHYIX)					
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl I - MHYIX	JT	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay ICAP Select Equity Fund Cl A - ICSRX	JT	\$1,000,001 - \$5,000,000	None		<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay Marketfield Cl I - MFLDX	JT	\$1,000,001 - \$5,000,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Account 1 ⇒ Merrill Lynch Cash/Money Accounts	JT	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Account 1 ⇒ Oppenheimer Global Strategic Inc Fd Cl A - OPSIX	JT	None	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Account 1 ⇒ Pimco All Asset All Authority Fund Cl A - PAUAX	JT	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Account 1 ⇒ Pimco Government Money Mkt Cl A - AMAXX	JT	\$5,000,001 - \$25,000,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	None	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
COMMENTS: 9/27/2013 Mutual Fund Share Class conversion from Class A (CPHYX) into Class P (PYHPX)					
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	Over \$50,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Putnam Capital Spectrum Cl A - PVSAX	JT	\$5,000,001 - \$25,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Putnam Capital Spectrum Cl Y - PVSYX	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
COMMENTS: 12/31/2013 Mutual Fund Share Class conversion from Class A (PVSAX) into Class Y (PVSYX)					
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	None	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
COMMENTS: 12/16/2013 Mutual Fund Share Class conversion from Class A (PHYIX) into Class Y (PHAYX)					
Account 1 ⇒ Putnam High Yield Adv Cl Y - PHAYX	JT	Over \$50,000,000	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Putnam High Yield Trust Fd Cl A - PHIGX	JT	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
COMMENTS: 8/2/13 transferred from Account 2 into Account 1					
Account 2 ⇒ Columbia CA Interm Muni Cl A - NACMX	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Account 2 ⇒ Columbia High Yield Opportunity Cl A - COLHX	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Account 2 ⇒ First Eagle High Yield Cl A - FEHAX	JT	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
COMMENTS: 3/6/13 transferred out of Account 2 into Account 1					
Account 2 ⇒ Ivy High Income Cl A - WHIAX	JT	None	Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
COMMENTS: 7/30/13 transfer out of Account 2 into Account 1					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Account 2 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	None	Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
COMMENTS: 9/17/13 transfer out of Account 2 into Account 1					
Account 2 ⇒ Merrill Lynch Cash/Money Accounts	JT	None	Interest	\$201 - \$1,000	<input type="checkbox"/>
Account 2 ⇒ Oppenheimer Global Strategic Inc Fd Cl A - OPSIX	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Account 2 ⇒ Oppenheimer Limited Term CA Muni Cl A - OLCAX	JT	None	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Account 2 ⇒ Pimco All Asset All Authority Fund Cl A - PAUAX	JT	None	Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
COMMENTS: 12/9/13 Transferred out of Account 2 into Account 1					
Account 2 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	None	Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
COMMENTS: 7/29/13 transferred out of Account 2 into Account 1					
Account 3 ⇒ AllianceBernstein High Inc Cl A - AGDAX		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Account 3 ⇒ Columbia High Yield Cl A - INEAX		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Account 3 ⇒ Ivy High Income CL A - WHIAX		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Account 3 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Account 3 ⇒ Principal High Yield Cl A - CPHYX		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Congressional Federal Credit Union Accounts		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
DEI LLC		None	Interest, Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
<p>LOCATION: Vista, CA, US DESCRIPTION: DEI LLC is a general partner in the limited partnerships listed. Holds no property.</p>					
Directed Insurance		\$1,000,001 - \$5,000,000	Capital Gains, Dividends, Interest, Insurance Premiums	\$100,001 - \$1,000,000	<input type="checkbox"/>
<p>LOCATION: Park City, UT, US DESCRIPTION: Directed Insurance is a captive insurance company.</p>					
Fermi Court LP		\$5,000,001 - \$25,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
<p>LOCATION: Carlsbad, CA, US DESCRIPTION: Holds property located at 5540 and 5541 Fermi Court</p>					
Greene Properties		\$100,001 - \$250,000	None		<input type="checkbox"/>
<p>LOCATION: Vista, CA, US DESCRIPTION: Greene Properties is a commercial real estate management company.</p>					
La Pacifica LP		None	Capital Gains, Rent	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
<p>LOCATION: Oceanside, CA, US DESCRIPTION: Held property located at 3801, 3817 and 3819 Ocean Ranch Blvd (Properties sold in 2013)</p>					
Ocean Collection LP		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
<p>LOCATION: Carlsbad, CA, US DESCRIPTION: Holds property located at 2510, 2520, 2540, 2542, 2544, 2546, 2550, 2552, 2554, and 2556 Gateway Road</p>					
Slater Pl LP		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
<p>LOCATION: Carlsbad, CA, US DESCRIPTION: Holds Lots 19, 20, 21 and 22 in Bressi Ranch</p>					
Tahoe Beach LP		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
<p>LOCATION: South Lake Tahoe, CA, US DESCRIPTION: Holds property located at 314 Beach Dr.</p>					
Tri-Cities Corporate Towers		\$5,000,001 - \$25,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
<p>LOCATION: Vista, CA, US DESCRIPTION: Holds property located at 3142 and 3156 West Vista Way, Vista, CA</p>					

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	12/31/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	12/12/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	12/12/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	12/2/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	11/1/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	10/4/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	09/3/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	08/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	07/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	06/3/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	05/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	04/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	03/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	02/1/2013	P	\$250,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AllianceBernstein High Inc Cl A - AGDAX				\$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	10/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	12/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	12/23/2013	S (partial)	\$25,000,001 - \$50,000,000	■
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	12/12/2013	P	\$500,001 - \$1,000,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	12/12/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	12/2/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	11/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	10/4/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	10/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	09/3/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	08/1/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ AllianceBernstein High Inc Cl Adv - AGDYX	JT	12/23/2013	S (partial)	\$5,000,001 - \$25,000,000	■
Account 1 ⇒ AllianzGI High Yield Cl A - AYBAX	JT	09/20/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ AllianzGI High Yield Cl A - AYBAX	JT	08/23/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ AllianzGI High Yield Cl A - AYBAX	JT	07/19/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl A - AYBAX	JT	06/21/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl A - AYBAX	JT	05/17/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl A - AYBAX	JT	04/19/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl A - AYBAX	JT	03/22/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl A - AYBAX	JT	02/22/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl A - AYBAX	JT	01/18/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl P - AYBPX	JT	12/13/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ AllianzGI High Yield Cl P - AYBPX	JT	12/13/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl P - AYBPX	JT	10/18/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl P - AYBPX	JT	09/20/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl P - AYBPX	JT	08/23/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl P - AYBPX	JT	11/22/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ AllianzGI High Yield Cl P - AYPBX	JT	12/20/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	12/31/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	12/24/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	12/24/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	12/2/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	11/1/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	10/7/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	10/3/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	10/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	09/3/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	08/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	07/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	06/3/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	05/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	04/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	03/1/2013	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ BlackRock High Yield Cl A - BHYAX	JT	02/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	12/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	12/26/2013	P	\$25,000,001 - \$50,000,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	12/24/2013	P	\$500,001 - \$1,000,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	12/24/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	12/23/2013	P	\$25,000,001 - \$50,000,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	12/2/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	11/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	10/7/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	10/3/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	10/1/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	09/3/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ BlackRock High Yield Cl I - BHYIX	JT	08/1/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Columbia High Yield Opportunity Cl A - COLHX	JT	01/4/2013	S	\$1,001 - \$15,000	■
Account 1 ⇒ First Eagle High Yield Cl I - FEHIX	JT	12/31/2013	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ First Eagle High Yield Cl I - FEHIX	JT	12/20/2013	P	Over \$50,000,000	
Account 1 ⇒ First Eagle High Yield Cl I - FEHIX	JT	12/2/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ First Eagle High Yield Cl I - FEHIX	JT	11/1/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ First Eagle High Yield Cl I - FEHIX	JT	10/1/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ First Eagle High Yield Cl I - FEHIX	JT	09/3/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ First Eagle High Yield Cl I - FEHIX	JT	08/1/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	12/31/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	12/13/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	12/13/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	11/29/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	10/28/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	10/3/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	09/30/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	08/28/2013	P	\$250,001 - \$500,000	
Account 1 ⇒	JT	07/29/2013	P	\$250,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ivy High Income Cl A - WHIAX				\$500,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	06/28/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	05/28/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	04/29/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	03/28/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	02/28/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl A - WHIAX	JT	01/28/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	12/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	12/23/2013	P	\$5,000,001 - \$25,000,000	
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	12/23/2013	P	\$25,000,001 - \$50,000,000	
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	12/13/2013	P	\$500,001 - \$1,000,000	
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	12/13/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	11/29/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	10/28/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	10/3/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	09/30/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ Ivy High Income Cl I - IVHIX	JT	08/28/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ Lord Abbett Bond Debenture Cl A - LBNDX	JT	02/25/2013	S	Over \$50,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Lord Abbett Bond Debenture Cl A - LBNDX	JT	02/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	12/31/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	12/19/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	12/19/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	12/2/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	11/1/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	10/1/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	09/3/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	08/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	07/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	06/3/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	05/1/2013	P	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	04/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	03/1/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	02/25/2013	P	Over \$50,000,000	
Account 1 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	02/1/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	12/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	12/26/2013	S (partial)	\$25,000,001 - \$50,000,000	<input type="checkbox"/>
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	12/23/2013	S (partial)	\$25,000,001 - \$50,000,000	<input type="checkbox"/>
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	12/19/2013	P	\$500,001 - \$1,000,000	
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	12/19/2013	P	\$1,000,001 - \$5,000,000	
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	12/2/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	11/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	10/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	09/3/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Lord Abbett High Yield Cl F - LHYFX	JT	08/1/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ Mainstay Epoch Global Equity Yield Cl A - EPSPX	JT	12/26/2013	P	\$1,000,001 - \$5,000,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	09/3/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	08/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	06/28/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	06/3/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/31/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/31/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/31/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/31/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/31/2013	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/31/2013	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/31/2013	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/31/2013	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/31/2013	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	05/1/2013	P	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	04/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	03/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX	JT	02/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl I - MHYIX	JT	12/20/2013	S	Over \$50,000,000	<input type="checkbox"/>
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl I - MHYIX	JT	12/17/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl I - MHYIX	JT	12/2/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl I - MHYIX	JT	11/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl I - MHYIX	JT	10/3/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl I - MHYIX	JT	09/30/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl I - MHYIX	JT	09/3/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ Mainstay High Yield Corporate Bd Cl I - MHYIX	JT	08/1/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ Mainstay Icap Select Equity Fund Cl A - ICSRX	JT	12/26/2013	P	\$1,000,001 - \$5,000,000	
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	12/26/2013	S (partial)	\$5,000,001 - \$25,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	12/5/2013	P	\$1,001 - \$15,000	
Account 1 ⇒	JT	05/31/2013	P	\$250,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mainstay Marketfield Cl A - MFADX				\$500,000	
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	05/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	05/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	05/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	05/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	05/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	05/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	05/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Mainstay Marketfield Cl A - MFADX	JT	05/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Oppenheimer Global Strategic Inc Fd Cl A - OPSIX	JT	01/4/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Account 1 ⇒ Pimco All Asset All Authority Fund Cl A - PAUAX	JT	12/10/2013	S	\$5,000,001 - \$25,000,000	<input type="checkbox"/>
Account 1 ⇒ Pimco Government Money Mkt Cl A - AMAXX	JT	12/10/2013	P	\$5,000,001 - \$25,000,000	
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	10/4/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	09/3/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	08/1/2013	P	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	07/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	06/3/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	05/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	04/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	03/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Principal High Yield Cl A - CPHYX	JT	02/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	12/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	12/19/2013	P	\$500,001 - \$1,000,000	
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	12/19/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	12/2/2013	P	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	11/1/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	10/4/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	10/1/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	09/3/2013	P	\$100,001 - \$250,000	
Account 1 ⇒ Principal High Yield Cl P - PYHPX	JT	08/1/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Putnam Capital Spectrum Cl A - PVSAX	JT	12/11/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Putnam Capital Spectrum Cl A - PVSAX	JT	12/11/2013	P	\$15,001 - \$50,000	
Account 1 ⇒ Putnam Capital Spectrum Cl A - PVSAX	JT	12/11/2013	P	\$50,001 - \$100,000	
Account 1 ⇒ Putnam Capital Spectrum Cl A - PVSAX	JT	05/7/2013	P	\$1,000,001 - \$5,000,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	11/26/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	10/28/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	09/26/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	08/27/2013	P	\$1,001 - \$15,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	07/26/2013	P	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	06/26/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	06/12/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	05/29/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	04/26/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	04/10/2013	S (partial)	\$5,000,001 - \$25,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	03/26/2013	P	\$500,001 - \$1,000,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	02/26/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	01/28/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Putnam High Yield Adv Cl Y - PHAYX	JT	12/31/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Putnam High Yield Adv Cl Y - PHAYX	JT	11/26/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Putnam High Yield Adv Cl Y - PHAYX	JT	10/28/2013	P	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 1 ⇒ Putnam High Yield Adv Cl Y - PHAYX	JT	10/17/2013	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Account 1 ⇒ Putnam High Yield Adv Cl Y - PHAYX	JT	09/26/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Putnam High Yield Adv Cl Y - PHAYX	JT	08/27/2013	P	\$250,001 - \$500,000	
Account 1 ⇒ Putnam High Yield Trust Fd Cl A - PHIGX	JT	04/10/2013	S	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Putnam High Yield Trust Fd Cl A - PHIGX	JT	03/26/2013	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Putnam High Yield Trust Fd Cl A - PHIGX	JT	02/27/2013	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Account 1 ⇒ Putnam High Yield Trust Fd Cl A - PHIGX	JT	01/25/2013	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	08/1/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	07/1/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	06/3/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	05/1/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	04/1/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	03/1/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	02/21/2013	P	\$1,000,001 - \$5,000,000	
Account 2 ⇒	JT	02/5/2013	P	\$1,000,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AllianceBernstein High Inc Cl A - AGDAX				\$5,000,000	
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	02/1/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ AllianceBernstein High Inc Cl A - AGDAX	JT	01/4/2013	P	\$1,000,001 - \$5,000,000	
Account 2 ⇒ Columbia CA Interm Muni Cl A - NACMX	JT	02/4/2013	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Account 2 ⇒ Columbia High Yield Opportunity Cl A - COLHX	JT	01/4/2013	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Account 2 ⇒ Ivy High Income Cl A - WHIAX	JT	07/29/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Ivy High Income Cl A - WHIAX	JT	06/28/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Ivy High Income Cl A - WHIAX	JT	05/28/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Ivy High Income Cl A - WHIAX	JT	04/29/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Ivy High Income Cl A - WHIAX	JT	03/28/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ Ivy High Income Cl A - WHIAX	JT	03/6/2013	P	\$5,000,001 - \$25,000,000	
Account 2 ⇒ Ivy High Income Cl A - WHIAX	JT	03/11/2013	P	\$250,001 - \$500,000	
Account 2 ⇒ Ivy High Income Cl A - WHIAX	JT	03/1/2013	P	\$1,000,001 - \$5,000,000	
Account 2 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	08/1/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	07/1/2013	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 2 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	06/3/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	05/1/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	04/1/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	03/6/2013	P	\$5,000,001 - \$25,000,000	
Account 2 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	03/8/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Lord Abbett High Yield Cl A - LHYAX	JT	02/28/2013	P	\$1,000,001 - \$5,000,000	
Account 2 ⇒ Mainstay Marketfield Cl A - MFADX	JT	05/7/2013	P	\$5,000,001 - \$25,000,000	
Account 2 ⇒ Oppenheimer Global Strategic Inc Fd Cl A - OPSIX	JT	01/4/2013	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Account 2 ⇒ Oppenheimer Limited Term CA Muni Cl A - OLCAX	JT	01/4/2013	S	\$5,000,001 - \$25,000,000	<input type="checkbox"/>
Account 2 ⇒ Oppenheimer Limited Term CA Muni Cl A - OLCAX	JT	01/3/2013	P	\$15,001 - \$50,000	
Account 2 ⇒ Oppenheimer Limited Term CA Muni Cl A - OLCAX	JT	01/3/2013	P	\$1,001 - \$15,000	
Account 2 ⇒ Pimco All Asset All Authority Fund Cl A - PAUAX	JT	09/20/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Pimco All Asset All Authority Fund Cl A - PAUAX	JT	06/21/2013	P	\$50,001 - \$100,000	
Account 2 ⇒ Pimco All Asset All Authority Fund Cl A - PAUAX	JT	05/7/2013	P	\$5,000,001 - \$25,000,000	
Account 2 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	07/26/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Account 2 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	06/26/2013	P	\$1,001 - \$15,000	
Account 2 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	05/29/2013	P	\$1,001 - \$15,000	
Account 2 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	04/26/2013	P	\$1,001 - \$15,000	
Account 2 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	03/26/2013	P	\$1,001 - \$15,000	
Account 2 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	02/26/2013	P	\$1,001 - \$15,000	
Account 2 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	01/28/2013	P	\$1,001 - \$15,000	
Account 2 ⇒ Putnam High Yield Adv Cl A - PHYIX	JT	01/4/2013	P	\$1,000,001 - \$5,000,000	
Account 3 ⇒ AllianceBernstein High Inc Cl A - AGDAX		10/21/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Account 3 ⇒ Columbia High Yield Bond Cl A - INEAX		10/21/2013	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Account 3 ⇒ Ivy High Income Cl A - WHIAX		10/21/2013	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Account 3 ⇒ Mainstay High Yield Corporate Bd Cl A - MHCAX		10/21/2013	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Account 3 ⇒ Principal High Yield Cl A - CPHYX		10/21/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Property located at 3801, 3817 and 3819 Ocean Ranch Blvd. LOCATION: Oceanside, CA, US		04/12/2013	S	\$5,000,001 - \$25,000,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Greene Properties	spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Union Bank	10/14/11	Personal Loan	\$25,000,001 - \$50,000,000
	Merrill Lynch	1/7/11	Personal Loan	Over \$50,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Greene Properties
Member	DEI LLC
Foundation Member	Issa Family Foundation
Director	American Task Force for Lebanon
Partner	Fermi Court LP
Partner	212 S Main Street LP
Partner	5931 Priestly LP
Partner	3186 Vista Way LP
Partner	1800 Carillon LP
Partner	12400 Stowe Drive LP
Partner	Ocean Collection LP
Partner	La Pacifica LP
Partner	1200 Harbor LP
Partner	Slater Place LP
Partner	Tahoe Beach LP
Partner	Tri-City Corporate Towers LP
President	Directed Insurance

Position	Name of Organization
President	OpenGov Foundation
Partner	2067 W Vista Way LP
COMMENTS: (Closed in 2013)	
Partner	2280 Faraday Ave LP
COMMENTS: Closed in 2013	
Partner	1 Viper Way LP
COMMENTS: Closed in 2013	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
OpenGov Foundation	06/24/2014	06/24/2014	Washington, DC - Boston, MA - Washington, DC	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> o Account 1 (Owner: JT) LOCATION: CA, US o Account 2 (Owner: JT) LOCATION: US o Account 3 (100% Interest) LOCATION: US
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Darrell E. Issa , 06/20/2014