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July 26, 2012

To: Office of the Clerk, Legislative Resource Center

From: Rick Larsen, *RL*

Subject: CY 2011 Financial Disclosure Clarification

To whom it may concern:

LEGISLATIVE RESOURCE CENTER

2012 JUL 26 AM 10:40

U.S. HOUSE OF REPRESENTATIVES

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In response to my CY2011 Financial Disclosure, the House Committee on Ethics sent a CY 2011 Form A Checklist to my office identifying points in the filing that caused some questions. I discussed these issues Committee staff soon after.

This memo is a follow-up to that conversation to put on paper the explanation for each of the issues:

IV-6: An asset reported on Schedule III was not reported as owned in the preceding FD and is not reported as purchased on Schedule IV.

- Self Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity: It is listed correctly in Schedule IV as the result of an exchange in the CY2010 ; it is not listed correctly in Schedule III; it should be listed as Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity in Schedule III of the CY2010.
- SP Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Fund A: This fund was renamed in the middle of 2011 from TSCA/RVS Fundamental Value Fund A and the exchange is reflected in the Schedule IV of CY2011. In other words, it was owned in 2010 (as reported on CY2010) under a TSCA/RVS Fundamental Value Fund A name and was exchanged for the TSCA/Columbia Contrarian Core Fund A fund on April 8, 2011 (as reported on CY2011), an exchange that we did not control.

IV-6 An asset reported as owned in preceding FD is not reported as owned on Schedule III and is not reported as sold on Schedule IV:

- Self Richard R Larsen IRA/Fundamental Value A. Owned for only part of CY2010 and exchanged for Richard R Larsen IRA/Wells Fargo Wealthbuilder Growth Allocation in April 13, 2010. Mistakenly reported as an owned asset at end of CY2010 as I thought I had to report asset if owned at any time in CY2010.
- Self Richard R Larsen IRA/Columbia Diversified Equity Income Fund A: Owned for only part of CY2010 and exchanged for Richard R Larsen IRA/Wells Fargo

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Wealthbuilder Growth Allocation in April 13, 2010. Mistakenly reported as an owned asset at end of CY2010 as I thought I had to report asset if owned at any time in CY2010.

- **Self Richard R Larsen Roth IRA/Columbia Multi-Advisor Small Cap Value Fund A:** Owned for only part of CY2010 and exchanged for Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity in April 13, 2010. Mistakenly reported as an owned asset at end of CY2010 as I thought I had to report asset if owned at any time in CY2010.
- **Self Richard R Larsen Roth IRA/Columbia Multi-Advisor Small Cap Value Fund B:** Owned for only part of CY2010 and exchanged for Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity in April 13, 2010. Mistakenly reported as an owned asset at end of CY2010 as I thought I had to report asset if owned at any time in CY2010.
- **Self Wells Fargo Wealthbuilder Equity:** Should be listed as Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity on CY2010 to maintain consistency with CY2011 report. They are the same fund.
- **SP Tiia Ingrid Karlen IRA/Columbia Large Core Quantitative Fund A:** Owned for only part of CY2010 and exchanged for Tiia Ingrid Karlen IRA/ Wells Fargo Wealthbuilder Growth Allocation in April 6, 2010. Mistakenly reported as an owned asset at end of CY2010 as I thought I had to report asset if owned at any time in CY2010.
- **SP Tiia Ingrid Karlen IRA/Columbia Large Core Quantitative Fund B:** Owned for only part of CY2010 and exchanged Tiia Ingrid Karlen IRA/ Wells Fargo Wealthbuilder Growth Allocation in April 6, 2010. Mistakenly reported as an owned asset at end of CY2010 as I thought I had to report asset if owned at any time in CY2010.
- **JT Columbia Diversified Equity Income Fund A:** Owned for only part of CY2010 and exchanged for Ameriprise One Financial Account/Wells Fargo Wealthbuilder Growth Balanced in March 29, 2010. Mistakenly reported as an owned asset at end of CY2010 as I thought I had to report asset if owned at any time in CY2010.
- **JT Columbia Multi-Advisor Small Cap Value Fund A:** Owned for only part of CY2010 and exchanged for Ameriprise One Financial Account/Wells Fargo Wealthbuilder Growth Balanced in March 29 2010. Mistakenly reported as an owned asset at end of CY2010 as I thought I had to report asset if owned at any time in CY2010.
- **JT Columbia Dividend Opportunity Fund Class A:** Owned for only part of CY2010 and exchanged for Ameriprise One Financial Account/Wells Fargo Wealthbuilder Growth Balanced in March 29, 2010. Mistakenly reported as an owned asset at end of CY2010 as I thought I had to report asset if owned at any time in CY2010.