Yes No 🖸	res" unless you have first consulted with the Committee on Ethics.	Exemptions— Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethic
Yes No S	tee on Ethics and certain other "excepted trusts" need not be st benefiting you, your spouse, or dependent child?	Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain disclosed. Have you excluded from this report details of such a trust benefiting you, your specified by the Committee on Ethics and certain details of such a trust benefiting you, your specified by the Committee on Ethics and certain details of such a trust benefiting you, your specified by the Committee on Ethics and certain details of such a trust benefiting you, your specified by the Committee on Ethics and certain details of such a trust benefiting you, your specified by the Committee on Ethics and certain details of such a trust benefiting you, your specified by the Committee on Ethics and certain details of such a trust benefiting you.
NS	ATION ANSWER EACH OF THESE QUESTIONS	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWE
	schedule attached for each "Yes" response.	If yes, complete and attach Schedule V.
the appropriate	Each question in this part must be answered and the appropriate	Did you, your spouse, or a dependent child have any reportable liability V. (more than \$10,000) during the reporting period? Yes V. No
	If yes, complete and attach Schedule IX.	If yes, complete and attach Schedule IV.
Yes No	Did you have any reportable agreement or arrangement with an outside IX. entity?	Did you, your spouse, or dependent child purchase, sell, or exchange any IV. reportable asset in a transaction exceeding \$1,000 during the reporting Yes V No negrod?
	If yes, complete and attach Schedule VIII.	If yes, complete and attach Schedule III.
Yes No	Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year?	Did you, your spouse, or a dependent child receive "unearmed" income of III. more than \$200 in the reporting period or hold any reportable asset worth Yes V No more than \$1,000 at the end of the period?
	if yes, complete and attach Schedule VII.	If yes, complete and attach Schedule II.
Yes No 📞	Did you, your spouse, or a dependent child receive any reportable travel or VII. relimbursements for travel in the reporting period (worth more than \$350 from one course)?	Did any individual or organization make a donation to charity in lieu of paying II. you for a speech, appearance, or article in the reporting period? Yes No
	If yes, complete and attach Schedule VI.	If yes, complete and attach Schedule I.
Yes No 🔇	Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 I. or more from any source in the reporting period? Yes No
į	QUESTIONS	PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS
more than 30 days late.	Tellination Date:	Type ✓ Annual (May 15) ☐ Amendment ☐ Termination
anyone who liles	Date:	
U.S. HOUSE OF REPRESENTATIVES A \$200 penalty shall be assessed against	Officer Or Employing Office: A \$2 Employee be a	Filer Member of the U.S. State: CA Status House of Representatives District: 22
Office dase Poly)THE CLERK	(Daytime Telephone)	(Full Name)
UN /2012 MAY 14 AM 10: 38	202-225-2915	Kevin McCarthy
LEGISLATIVE RESOURCE CENTER		
DELIVERED	FORM A Page 1 of 11 For use by Members, officers, and employees	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

SCHEDULE
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C	п В	DC A	DC A	ΩA	Α	Asset and/or inc identify (a) each asset held for inves value exceeding \$1,000 at the end of reportable asset or sources of incon "unearned" income during the year. Provide complete names of stocks a For all iRAs and other retirement ple (i.e.,plans in which you have the pow investments), provide the value for a reporting thresholds. For retiremen only the name of the institution hold reporting period. For rental or other real property held For an ownership interest in a privat state the name of the business, the location in Block A. Exclude: Your personal residence, (unless there was rental income dur \$5,000 or less in a personal checkin in, or income derived from, a federa Savings Plan. If you so choose, you may indicate to spouse (SP) or dependent child (DC optional column on the far left.	SCHEDULE
Calamos Growth Fund Class A	Blackrock Global Opportunities Equity Trust	American Funds - Growth Funds	American Funds - Growth Funds	Alliance Growth and Income Class C	Alexander & Baldwin Inc stock	Asset and/or investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearmed" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the only the name of the Institution holding the accounts which are not self-directed, provide only the name of the business, the nature of investment, provide a complete address. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest (in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
None	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	None	None	Year-End Value of Asset At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Name Kevin McCarthy
None	DIVIDENDS	DIVIDENDS	DIVIDENDS	None	None	Type of income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or iRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	Carthy
NONE	\$201 - \$1,000	\$1 - \$200	\$1 - \$200	NONE	NONE	Amount of income For retirement accounts that do not allow you to choose specific investments or that generate tax- deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	вгоск р
S	ס			S	S	Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.	Page 2 of 11

SCHEDULE III - ASSETS AND "UNEARNED" INCOME royalty income from book publishing agreement for approved expenses and charitable donations) CMR LLC (Member's share of Unascertainable Name Kevin McCarthy ROYALTIES \$2,501 - \$5,000 Page 3 of 11

S	NONE	None	None	OCM Gold Fund 67087F101	
	\$201 - \$1,000	DIVIDENDS	\$1,001 - \$15,000	New World Fund Class A	
	\$1 - \$200	DIVIDENDS	\$15,001 - \$50,000	New Perspective Fund Class A	
	\$1 - \$200	DIVIDENDS	\$15,001 - \$50,000	New Economy Fund Class A	
	\$1 - \$200	INTEREST	\$1,001 - \$15,000	Kern Schools Federal Credit Union	
	\$1 - \$200	INTEREST	\$1,001 - \$15,000	Karpe Mortgage	
	\$1 - \$200	DIVIDENDS	None	International Game Technology stock	
	NONE	None	\$15,001 - \$50,000	Growth Fund of America Class C	
İ	\$1 - \$200	INTEREST	\$1 - \$1,000	Golden One Credit Union	
	NONE	None	None	Duke Energy Corp New Com stock	
1	NONE	None	None	Diageo PLC Sponsored Adr New stock	

PowerShares Fundamental High Yield Corp Bonds

\$1,001 -\$15,000

DIVIDENDS

\$201 - \$1,000

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Kevin McCarthy	Carthy		Page 4 of 11
	Schwab Emerging Markets Equity ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PSPSP
	Schwab International Equity ETF	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	PSPSP
	Schwab Money Market Fund	\$1,001 - \$15,000	None	NONE	ס
	Schwab U.S. Large-Cap Growth ETF	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	PSPSPSP
	Schwab U.S. REIT ETF	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	סי
	Schwab U.S. Small-Cap Growth ETF	None	DIVIDENDS	\$1 - \$200	PSPS
	Vanguard REIT Index ETF	None	DIVIDENDS	\$1 - \$200	PS

Name Kevin McCarthy

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transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange

_					
SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Alexander & Baldwin Inc stock	S	No	1-5-11	\$1,001 - \$15,000
	Alliance Growth and Income Class C	S	No	1-5-11	\$15,001 - \$50,000
	Blackrock Global Opportunities Equity Trust	ס	N/A	9-15-11	\$1,001 - \$15,000
	Calamos Growth Fund Class A	S	N _o	1-5-11	\$1,001 - \$15,000
	Diageo PLC New Spn Adr stock	S	No	1-5-11	\$1,001 - \$15,000
	Duke Energy Corp new stock	S	No	1-5-11	\$1,001 - \$15,000
	International Game Technology stock	S	No	1-5-11	\$1,001 - \$15,000
	OCM Gold Fund 67087F101	S	No	1-5-11	\$15,001 - \$50,000
	PowerShares Fundamental High Yield Corp bonds	ס	N/A	2-15-11	\$1,001 - \$15,000
	Schwab Emerging Markets Equity ETF	ָּט	NA	2-15-11	\$1,001 - \$15,000
	Schwab Emerging Markets Equity ETF	S	N _o	3-14-11	\$1,001 - \$15,000

Name Kevin McCarthy

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SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Schwab Emerging Markets Equity ETF	P	N/A	3-24-11	\$1,001 - \$15,000
	Schwab Emerging Markets Equity ETF	S	No	8-22-11	\$1,001 - \$15,000
	Schwab Emerging Markets Equity ETF	ס	NA	9-15-11	\$1,001 - \$15,000
	Schwab International Equity ETF	ס	N/A	2-15-11	\$1,001 - \$15,000
	Schwab International Equity ETF	S	No	3-14-11	\$1,001 - \$15,000
	Schwab International Equity ETF	ס	N/A	3-24-11	\$1,001 - \$15,000
	Schwab International Equity ETF	S	No	8-22-11	\$1,001 - \$15,000
	Schwab International Equity ETF	Р	N/A	9-15-11	\$1,001 - \$15,000
	Schwab Money Market Fund	Р	N/A	2-15-11	\$1,001 - \$15,000
	Schwab U.S. Large-Cap Growth ETF	ס	N/A	2-15-11	\$15,001 - \$50,000
	Schwab U.S. Large-Cap Growth ETF	S	No	3-14-11	\$15,001 - \$50,000

Name Kevin McCarthy

Page 7 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented

Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
Schwab U.S. Large-Cap Growth ETF	P	N/A	3-24-11	\$15,001 - \$50,000
Schwab U.S. Large-Cap Growth ETF	S	No	6-16-11	\$15,001 - \$50,000
Schwab U.S. Large-Cap Growth ETF	ס	NA	7-7-11	\$15,001 - \$50,000
Schwab U.S. Large-Cap Growth ETF	S	No	8-22-11	\$15,001 - \$50,000
Schwab U.S. Large-Cap Growth ETF	P	N/A	9-15-11	\$15,001 - \$50,000
Schwab U.S. REIT ETF	P	N/A	9-15-11	\$1,001 - \$15,000
Schwab U.S. Small-Cap Growth ETF	P	N/A	2-15-11	\$1,001 - \$15,000
Schwab U.S. Small-Cap Growth ETF	S	No	3-15-11	\$1,001 - \$15,000
Schwab U.S. Small-Cap Growth ETF	ס	N/A	3-24-11	\$1,001 - \$15,000
Schwab U.S. Small-Cap Growth ETF	S	No	8-22-11	\$1,001 - \$15,000
Vanguard REIT Index ETF	ס	N/A	2-15-11	\$1,001 - \$15,000
	Asset Large-Cap Growth Large-Cap Growth Large-Cap Growth Large-Cap Growth Small-Cap Growth Small-Cap Growth Small-Cap Growth	Asset Large-Cap Growth ETF Large-Cap Growth ETF Large-Cap Growth ETF Small-Cap Growth ETF P Small-Cap Growth ETF Small-Cap Growth ETF Small-Cap Growth ETF P	Asset Type of Transaction Large-Cap Growth ETF Large-Cap Growth ETF S Large-Cap Growth ETF Carge-Cap Growth ETF Small-Cap Growth ETF S Small-Cap Growth ETF S Small-Cap Growth ETF S Small-Cap Growth ETF P	Asset Type of Excess Transaction Large-Cap Growth ETF Large-Cap Growth ETF Large-Cap Growth ETF Large-Cap Growth ETF Small-Cap

Name Kevin McCarthy

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	SP, DC, JT
Vanguard REIT Index ETF	Asset
O	Type of Transaction
N _o	Capital Gain in Excess of \$200?
8-22-11	Date
\$1,001 - \$15,000	Amount of Transaction

SCHEDULE V - LIABILITIES

Name Kevin McCarthy Page 9 of 11

cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgates on Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit personal residences.

SCHEDULE VIII - POSITIONS

Name Kevin McCarthy Page 10 of 11

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	CMR LLC

SCHEDULE IX - AGREEMENTS

Name Kevin McCarthy

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
3-8-10	CMR LLC and Simon & Schuster, Inc.	Book publishing agreement

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