

**HAND DELIVERED**

**UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT**

**FORM A**  
For use by Members, officers, and employees

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LEGISLATIVE RESOURCE CENTER

2012 MAY 15 PM 4: 06

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

Shelley Moore Capito

(Full Name)

202-225-2711

(Daytime Telephone)

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: WV District: 02	<input type="checkbox"/> Officer Or Employee	Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
<b>Report Type</b>	<input type="checkbox"/> Annual (May 15)	<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:	

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? <i>If yes, complete and attach Schedule I.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? <i>If yes, complete and attach Schedule VI.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? <i>If yes, complete and attach Schedule II.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$50 from one source)? <i>If yes, complete and attach Schedule VII.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? <i>If yes, complete and attach Schedule III.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? <i>If yes, complete and attach Schedule VIII.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? <i>If yes, complete and attach Schedule IV.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? <i>If yes, complete and attach Schedule IX.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? <i>If yes, complete and attach Schedule V.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts-</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions-</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
United Bank	Spouse Salary	N/A
Citigroup Global Mkts	Spouse Salary	N/A
Wells Fargo Advisors	Spouse Salary	N/A

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totalling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>	<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	401(k) Blackrock Fed Funds	Tax-deferred	NONE	
SP	401(k) Blackrock Temp Fund	Tax-deferred	NONE	PS(part)
SP	401(k) Citigroup Common Stock Fund	Tax-deferred	NONE	
SP	401(k) Emerging Market Equity	Tax-deferred	NONE	
SP	401(k) Morgan Stanley	Tax-deferred	NONE	
SP	401(k) MSCI EAFE Index	Tax-deferred	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	401(k) Pimco All Asset	\$15,001 - \$50,000	Tax-deferred	NONE	P
SP	401(k) Russell 3000 Fund	\$100,001 - \$250,000	Tax-deferred	NONE	S(part)
SP	401(k) S&P 500 Index	\$100,001 - \$250,000	Tax-deferred	NONE	S(part)
SP	401(k) United Bankshares	\$1,001 - \$15,000	Tax-deferred	NONE	
SP	401(k) Wellington Large Cap	\$50,001 - \$100,000	Tax-deferred	NONE	
SP	Alliance Petroleum	\$1,001 - \$15,000	ROYALTIES	\$1 - \$200	
	Bank Deposit Program	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	BB&T	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Bristol Meyers Squibb	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	BT Group plc	None	DIVIDENDS	\$1 - \$200	S
SP	Cisco Systems	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Cisco Systems	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Citigroup Capital Partners I	\$1,001 - \$15,000	DIVIDENDS/INTE REST	\$201 - \$1,000	
SP	Citigroup Capital Partners II	\$1,001 - \$15,000	DIVIDENDS/INTE REST	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	Citigroup Employee Fund of Funds	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$5,001 - \$15,000	
	Citigroup Inc	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Citigroup Inc	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	S(part)
SP	Citigroup Venture Capital International Growth Partnership II	\$1,001 - \$15,000	DIVIDENDS/INTE REST	\$201 - \$1,000	
SP	Coca-Cola Co	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Duke Energy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Edgewood Country Club	None	None	NONE	
SP	Exxon Corp	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)
SP	General Electric	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)
SP	Huntington Bancshares	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
JT	Huntington Bancshares	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	IBM Corp	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)
SP	Intel Corp	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	IRA - Amgen Inc	\$1,001 - \$15,000	Tax-deferred	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	IRA - Bank of America	\$1,001 - \$15,000	Tax-deferred	NONE	P
SP	IRA - Chubb	\$15,001 - \$50,000	Tax-deferred	NONE	P
SP	IRA - CME Group	\$1,001 - \$15,000	Tax-deferred	NONE	
SP	IRA - Coca-Cola Co	\$1,001 - \$15,000	Tax-deferred	NONE	
SP	IRA - Duke Energy	\$1,001 - \$15,000	Tax-deferred	NONE	
SP	IRA - Exxon	\$1,001 - \$15,000	Tax-deferred	NONE	P
SP	IRA - Gen-Probe, Inc	\$15,001 - \$50,000	Tax-deferred	NONE	
SP	IRA - Intel	\$1,001 - \$15,000	Tax-deferred	NONE	
SP	IRA - Johnson & Johnson	\$1,001 - \$15,000	Tax-deferred	NONE	
SP	IRA - Kraft	\$15,001 - \$50,000	Tax-deferred	NONE	P
	IRA - Legg Mason Partners Large Cap Value Fund	\$15,001 - \$50,000	Tax-deferred	NONE	
	IRA - Microsoft	\$1,001 - \$15,000	Tax-deferred	NONE	P
	IRA - Middleburg Fin Corp	\$1 - \$1,000	Tax-deferred	NONE	
SP	IRA - Morgan Stanley	\$1,001 - \$15,000	Tax-deferred	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	IRA - Pfizer Inc	\$1,001 - \$15,000	Tax-deferred	NONE	
SP	IRA - Smith Barney Money Fund Retirement Portfolio	\$50,001 - \$100,000	Tax-deferred	NONE	
SP	IRA - United Bank	\$15,001 - \$50,000	Tax-deferred	NONE	
SP	IRA - United Parcel Service Inc	\$1,001 - \$15,000	Tax-deferred	NONE	
SP	Merck	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Microsoft	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Middleburg Fin Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Norfolk Southern Corp	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)
SP	Procter & Gamble	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Royal Dutch Shell	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)
	Spectra Energy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	St Paul Travelers	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	United Bank	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
	Verizon Communications	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP						
	Vista Resources	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
	Walt Disney Co	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
	WV Public Employees Retirement System	\$1,001 - \$15,000	None	NA		

**SCHEDULE IV - TRANSACTIONS**

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	401(k) Blackrock Temp Fund	S(part)	N/A	01-19-11	\$15,001 - \$50,000
SP	401(k) Blackrock Temp Fund	P	N/A	01-12-11	\$15,001 - \$50,000
SP	401(k) Pimco All Asset	P	N/A	01-19-11	\$15,001 - \$50,000
SP	401(k) Russell 3000 Fund	S(part)	N/A	01-12-11	\$15,001 - \$50,000
SP	401(k) S&P 500 Index	S(part)	N/A	01-12-11	\$1,001 - \$15,000
SP	BT Group plc	S	No	02-01-11	\$1,001 - \$15,000
SP	Citigroup Inc	S(part)	No	12-27-11	\$1,001 - \$15,000
SP	Exxon Corp	S(part)	Yes	02-01-11; 06-01-11;	\$15,001 - \$50,000
SP	General Electric	S(part)	Yes	02-01-11; 06-01-11	\$1,001 - \$15,000
SP	IBM Corp	S(part)	Yes	02-01-11; 06-01-11	\$15,001 - \$50,000
SP	IRA - Bank of America	P	N/A	1-11-11	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	IRA - Chubb	P	N/A	3-1-11	\$15,001 - \$50,000
SP	IRA - Exxon	P	N/A	8-8-11	\$1,001 - \$15,000
SP	IRA - Kraft	P	N/A	1-11-11	\$1,001 - \$15,000
SP	IRA - Microsoft	P	N/A	8-1-11	\$1,001 - \$15,000
SP	Norfolk Southern Corp	S(part)	Yes	10-27-11	\$1,001 - \$15,000
SP	Royal Dutch Shell	S(part)	Yes	02-01-11; 06-01-11	\$1,001 - \$15,000
SP	United Bank	S	Yes	06-23-11; 10-10-11	\$1,001 - \$15,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Wells Fargo	2011	Margin Loan	\$100,001 - \$250,000
SP	Citigroup VISA	monthly	Credit card	\$15,001 - \$50,000
JT	United Bank	Oct 2008	Line of credit	\$100,001 - \$250,000
SP	US Airways Mastercard	monthly	credit card	\$15,001 - \$50,000
	Smith Barney	2010	Margin loan	\$10,001 - \$15,000
JT	Wells Fargo	Aug. 2011	Mortgage on 2 Cornstock Place, Charleston, WV	\$250,001 - \$500,000
JT	Wells Fargo	Aug. 2011	Home Equity Line	\$10,001 - \$15,000
JT	Wells Fargo	Sept. 2011	Mortgage on 601 PA. Ave, Washington, DC	\$250,001 - \$500,000
JT	CitiMortgage	May 2005	Mortgage on 601 PA. Ave, Washington, DC	\$250,001 - \$500,000
SP	CitiMortgage	Aug. 2009	Mortgage on Lexington, VA property	\$100,001 - \$250,000
JT	United Bank	Oct 2008	Mortgage on 2 Cornstock Place, Chas., WV	\$250,001 - \$500,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Smith Barney	pre 1980	Margin loan	\$100,001 - \$250,000

**SCHEDULE VIII - POSITIONS**

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

<b>Position</b>	<b>Name of Organization</b>
National Advisory Board Member	University of Charleston

# FOOTNOTES

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	<p>In 2010, partial sales of Time Warner and Time Warner Cable were reported on Schedule IV. This should have been reported as a complete disposition, and the year-end value on Schedule III should have been NONE. Thus, they are not included in the 2011 report.</p>	<p>Time Warner &amp; Time Warner Cable</p>
2	Schedule III	<p>This residence was taken off the rental market in 2010, and no income was received in 2011. Currently treated as a second residence.</p>	<p>Real estate, Lexington, VA</p>
3	Schedule V	<p>In accordance with the Stock Act, mortgages secured by real estate have been added. Mortgages on the Charleston, WV and Washington DC residences appear twice because both were refinanced in 2011. The United Bank mortgage (2 Cornstock) and CitIMortgage (610 PA Ave) loans were paid in full. Also, the spouse margin loan at Smith Barney was paid in full when a similar loan was obtained at Wells Fargo</p>	<p>Liabilities duplicated</p>
4	Schedule III	<p>Report amended on 5/15/12 to correct listing of Norfolk Southern on Schedules III &amp; IV. Rather than a complete sale, it was a partial sale, with the year-end value reflected on this report.</p>	<p>Norfolk Southern</p>