

**UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT**

**FORM A**  
For use by Members, officers, and employees

Earl Blumenauer  
(Full Name)

202-225-4811  
(Daytime Telephone)

**Filer Status**  Member of the U.S. House of Representative  
State: OR District: 3

Officer Or Employee  
Employing Office:

**Report Type**  Annual (May 15)

Amendment

Termination

Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

LEGISLATIVE RESOURCE CENTER

MAY 23 PM 1:25

OFFICE USE ONLY  
U.S. HOUSE OF REPRESENTATIVES

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.</p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.</p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.</p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.</p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.</p>	<p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.</p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.</p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.</p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.</p>	<p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>Trusts-</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p><b>Exemptions-</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
---	--

**SCHEDULE I - EARNED INCOME**

Name Earl Blumenauer

Page 2 of 13

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Public Employees Retirement System, Oregon	Pension	\$37,142
Northwest Natural Gas	Spouse Salary	N/A

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Earl Blumenauer

Page 3 of 13

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child(ren) (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	Ariel Growth Fund - retirement	\$15,001 - \$50,000	None	NONE	
	Campbell-Nob Hill NW 23rd and Hoyt Portland, OR	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
SP	Cohen & Steers Realty Share - retirement	\$1,001 - \$15,000	None	NONE	
	Congressional Credit Union	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	
SP	DFA US Large Cap Value - retirement	\$15,001 - \$50,000	None	NONE	
	Domini Social Bond Fund - retirement	None	None	NONE	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Earl Blumenauer

	Duplex 510 6th St. SE Washington, DC	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
--	--	----------------------------	------	---------------------	--

SP	Europacific Growth Fund (American) - retirement	\$1,001 - \$15,000	None	NONE	
----	--	-----------------------	------	------	--

	Federal Thrift Savings Plan	\$1,000,001 - \$2,000,000	None	NONE	
--	-----------------------------	------------------------------	------	------	--

	Glisan Street Apts 1701 NW Glisan Portland, OR	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	
--	--	------------------------	------	-------------------	--

SP	Ishares KLD Select Social Fund - retirement	\$50,001 - \$100,000	None	NONE	
----	--	-------------------------	------	------	--

	Ishares TR S&P Latin America	None	DIVIDENDS	\$1,001 - \$2,500	S
--	------------------------------	------	-----------	-------------------	---

	Ishares TR S&P Latin America- retirement	None	None	NONE	S
--	---	------	------	------	---

	Nordel TIC 2166 NW Irving Portland, OR	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
--	--	--------------------------	------	--------------------	--

SP	Northwest Natural Gas - retirement	\$100,001 - \$250,000	None	NONE	
----	---------------------------------------	--------------------------	------	------	--

SP	Northwest Natural Gas Company - Common Stock (part of retirement and compensation package)	\$250,001 - \$500,000	None	NA	
----	---	--------------------------	------	----	--

	OnPoint Community Credit Union	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
--	-----------------------------------	------------------------	----------	-----------------	--

SP	Oregon College Savings Plan for DC2 1-3 Years to College Portfolio	\$100,001 - \$250,000	NA	NA	
----	--	--------------------------	----	----	--

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Earl Blumenauer

Page 5 of 13

	Parnessus Equity Income	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Parnessus Equity Income-retirement	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	Pax World High Yield Fund - retirement	\$15,001 - \$50,000	None	NONE	
	Pax World High Yield Fund-retirement	None	CAPITAL GAINS	\$201 - \$1,000	S
	Pettygrove House NW 23rd and Pettygrove Portland, OR	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
SP	PIMCO Foreign Bond Fund - retirement	\$100,001 - \$250,000	None	NONE	
	PIMCO Foreign Bond Fund-retirement	None	CAPITAL GAINS	\$5,001 - \$15,000	S
	PIMCO Total Return Fund-retirement	\$15,001 - \$50,000	CAPITAL GAINS	\$1,001 - \$2,500	S(part)
SP	PIMCO Total Return III Fund - retirement	\$250,001 - \$500,000	None	NONE	
	Portfolio 21	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Portfolio 21 - retirement	\$100,001 - \$250,000	None	NONE	
	Portfolio 21 - retirement	None	CAPITAL GAINS	\$201 - \$1,000	S
	Raleigh Fireside 5557 SW Scholls Ferry Portland, OR	None	None	NONE	S
SP	Rydex SGI Mgd Futures - retirement	\$15,001 - \$50,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Earl Blumenauer

Page 6 of 13

	Rydex SGI Mgd Futures- retirement	None	None	NONE	S
SP	Schwab Money Market - retirement	\$1,001 - \$15,000	None	NONE	
	Schwab Money Market- retirement	\$1,001 - \$15,000	None	NONE	
	Scout Intl Fund	None	DIVIDENDS	\$1 - \$200	S
	Scout Intl Fund- retirement	None	None	NONE	S
SP	SEI Emerging Markets Debt - retirement	\$50,001 - \$100,000	None	NONE	
	SEI Emerging Markets Debt- retirement	None	CAPITAL GAINS	\$2,501 - \$5,000	S
SP	SEI Emerging Markets Equity - retirement	\$50,001 - \$100,000	None	NONE	
	T. Rowe Price Retirement 2020 - retirement	\$100,001 - \$250,000	None	NONE	P
	Townhouse (1/2 interest) 2441 NE Weidler	\$100,001 - \$250,000	None	NONE	
SP	Vacation House (1/2 interest) Deer Isle, Maine	\$100,001 - \$250,000	None	NONE	
	Vanguard Short Term Inv Grade- retirement	\$15,001 - \$50,000	CAPITAL GAINS	\$201 - \$1,000	S(part)
SP	Vanguard Short Term Inv. Grade - retirement	\$50,001 - \$100,000	None	NONE	
	Westcott LLC 1701 NW Glisan Portland, OR	\$50,001 - \$100,000	RENT	\$1,001 - \$2,500	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Earl Blumenauer

Page 7 of 13

SP	Winslow Green Growth Investor - retirement	\$1,001 - \$15,000	None	NONE	
----	--	--------------------	------	------	--

**SCHEDULE IV - TRANSACTIONS**

Name Earl Blumenauer

Page 8 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Domini Social Bond Fund- retirement	S	No	4/8/11 4/15/11	\$100,001 - \$250,000
	Ishares TR S&P Latin America	S	No	12/21/11	\$1,001 - \$15,000
	Ishares TR S&P Latin America- retirement	S	No	10/20/11	\$50,001 - \$100,000
	Parnessus Equity Income- retirement	S	Yes	4/15/11 10/20/11	\$50,001 - \$100,000
	Pax World High Yield Fund- retirement	S	Yes	4/15/11	\$50,001 - \$100,000
	PIMCO Foreign Bond Fund- retirement	S	Yes	4/15/11	\$100,001 - \$250,000
	PIMCO Total Return Fund- retirement	S(part)	Yes	4/15/11 10/20/11	\$250,001 - \$500,000
	Portfolio 21- retirement	S	Yes	4/15/11	\$1,001 - \$15,000
	Raleigh Fireside 5557 SW Scholls Ferry Portland, OR	S	No	1/10/11	\$15,001 - \$50,000
	Rydex SGI Mgd Futures- retirement	S	No	4/13/11	\$15,001 - \$50,000
	Scout Intl Fund	S	No	12/22/11	\$1,001 - \$15,000

**SCHEDULE IV - TRANSACTIONS**

Name Earl Blumenauer

Page 9 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Scout Intl Fund- retirement	S	No	10/20/11	\$15,001 - \$50,000
	SEI Emerging Markets Debt- retirement	S	Yes	4/15/11	\$50,001 - \$100,000
SP	T. Rowe Price Retirement 2020 - retirement	P	N/A	semi-monthly	\$15,001 - \$50,000
	Vanguard Short Term Inv Grade- retirement	S(part)	Yes	10/20/11	\$50,001 - \$100,000
	Westcott LLC - additional share purchased 1701 NW Glisan Portland, OR	P	N/A	1/27/11	\$1,001 - \$15,000

**SCHEDULE V - LIABILITIES**

Name Earl Blumenauer

Page 10 of 13

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	M & T Realty Buffalo, NY	February, 2004	Mortgage Glisan Townhouses 1701 NW Glisan Portland, OR	\$15,001 - \$50,000
	M & T Realty Buffalo, NY	January, 2010	Mortgage/Westcott LLC Glisan Townhouses	\$15,001 - \$50,000
	Key Bank Portland, OR	March, 2004	Mortgage Campbell-Nob Hill NW 23rd and Hoyt Portland, OR	\$100,001 - \$250,000
	First Independent Bank Vancouver, WA	September, 2007	Mortgage Pettygrove House NW 23rd and Pettygrove Portland, OR	\$100,001 - \$250,000
	Chase- loan of July, 07 refinanced	December, 2011	Mortgage Nordel TIC 2166 NW Irving Portland, OR	\$50,001 - \$100,000
	Ruth Sprinkling	July, 2004	Mortgage 2441 NE Weidler Portland, OR	\$50,001 - \$100,000
	Bank of America	November, 2009	Mortgage Personal Residence	\$250,001 - \$500,000

# SCHEDULE V - LIABILITIES

Name Earl Blumenauer

Page 11 of 13

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Chase - property was sold January, 2011	April, 2008	Mortgage Raleigh Fireside 5557 SW Scholls Ferry Portland, OR	\$50,001 - \$100,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Earl Blumenauer

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Alliance for Health Reform	January 15- January 17	Portland, OR - Ft. Lauderdale, FL - Washington, DC	Y	Y	N	None
American Wind Energy Association	May 22- May 23	Portland, OR - Anaheim, CA- Washington, DC	Y	N	N	None
Congress for New Urbanism	June 3- June 4	Washington, DC- Madison, WI- Portland, OR	Y	Y	N	None
Aspen Institute	September 24- September 30	Washington, DC- Barcelona, Spain- Washington, DC	Y	Y	Y	None
Technet Foundation/ConvergeUS	October 6	Washington, DC- San Francisco, CA- Portland, OR	N	Y	N	None

**SCHEDULE IX - AGREEMENTS**

Name Earl Blumenauer

Page 13 of 13

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
5/30/96	Earl Blumenauer and the State of Oregon	Participant in defined benefit plan