

BRAD MILLER

13TH DISTRICT, NORTH CAROLINA

WASHINGTON, DC

1127 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3032

www.bradmiller.house.gov



Congress of the United States
House of Representatives

COMMITTEE ON FINANCIAL SERVICES

CAPITAL MARKETS AND
GOVERNMENT SPONSORED ENTERPRISES

FINANCIAL INSTITUTIONS AND
CONSUMER CREDIT

OVERSIGHT AND INVESTIGATIONS

COMMITTEE ON SCIENCE, SPACE,
AND TECHNOLOGY

RANKING MEMBER,
ENERGY AND ENVIRONMENT

INVESTIGATIONS AND OVERSIGHT

November 8, 2011

The Honorable Jo Bonner
Chairman of the Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515

MC
HAND DELIVERED

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

2011 NOV - 8 AM 10:46

LEGISLATIVE RESOURCE CENTER

Dear Mr. Chairman:

Please accept this letter to serve as an amendment to Schedule IV of my 2010 Financial Disclosure. In the event the Committee on Standards needs any further information for compliance, do not hesitate to contact me or my Chief of Staff, Ryan K. Hedgepeth, at 202-225-3032.

Sincerely,

Brad Miller
Member of Congress

RALEIGH, NC

1300 ST. MARY'S STREET, SUITE 504
RALEIGH, NC 27605
(919) 836-1313

GREENSBORO, NC

125 SOUTH ELM STREET, SUITE 504
GREENSBORO, NC 27401
(336) 574-2909

Confirmation

**MorganStanley
SmithBarney**

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

~~XXXXXXXXXXXX~~
KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

004ND009 027814

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

#4,443

Summary For Settlement Date	01/07/2010
Total Sales	\$ 1,322.90
Net Amount	\$ 1,322.90 Credit

You Sold 16 at a price of 82.68350

ISHARES NASDAQ BIOTECHNOLOGY
INDEX FD
MATES TRADE 1914 100104014413
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,322.94
Other Fee	.04
Amount	\$ 1,322.90
Settlement Date	01/07/2010

Trade Date: 01/04/2010
Market: Over-The-Counter

CUSIP#: 464287-55-6
Security#: H741442
Symbol: IBB C00070000

Solicited Order
Cash Acct. HOLD PROCEEDS
Ref #: 1702719

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
As a reminder, payment for securities purchased, or delivery of securities sold, must be deposited by Settlement Date.
See reverse for further details. Keep this document for your records. Thank you for your business.

Confirmation

MorganStanley SmithBarney

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

~~XXXXXXXXXXXX~~
KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

048ND009 028863

*** RALPH BRADLEY MILLER #3,667
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

Summary For Settlement Date	02/22/2010
Total Sales	\$ 1,777.62
Net Amount	\$ 1,777.62 Credit

You Sold 34 at a price of 27.08100

HCC INSURANCE HOLDINGS INC
MATES TRADE 1914 100217018127
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 920.75
Other Fee	.02
Amount	\$ 920.73
Settlement Date	02/22/2010

Trade Date: 02/17/2010
Market: Over-The-Counter

CUSIP#: 404132-10-2
Security#: H001820
Symbol: HCC P00035000

Solicited Order
Cash Acct.
Ref #: 1543520

PREFERENTIAL RATE
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 11 at a price of 77.90050

MONSANTO CO NEW
MATES TRADE 1914 100217018140
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 856.91
Other Fee	.02
Amount	\$ 856.89
Settlement Date	02/22/2010

Trade Date: 02/17/2010
Market: Over-The-Counter

CUSIP#: 61166W-10-1
Security#: M593619
Symbol: MON P00035000

Solicited Order
Cash Acct.
Ref #: 1543570

PREFERENTIAL RATE
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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Confirmation

**MorganStanley
SmithBarney**

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

090ND008 016841

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

#1,288

Summary For Settlement Date	04/06/2010
Total Sales	\$ 1,486.70
Net Amount	\$ 1,486.70 Credit

You Sold 57 at a price of 26.08290

CISCO SYS INC
MATES TRADE 1914 100331019859
AVG PRICE SHOWN-DETAILS ON REQ
CGMI AND/OR ITS AFFILIATES
IS A MARKET MAKER

Gross Amount	\$ 1,486.73
Other Fee	.03
Amount	\$ 1,486.70
Settlement Date	04/06/2010

Trade Date: 03/31/2010
Market: Over-The-Counter

CUSIP#: 17275R-10-2
Security#: C420075
Symbol: CSCO C00033000

Solicited Order
Cash Acct. HOLD PROCEEDS
Ref #: 1389780

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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MorganStanley
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MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 2

134ND009 027181

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1278

#3,913

Summary For Settlement Date	05/19/2010
Total Purchases	\$ 6,228.72
Total Sales	\$ 3,557.69
Net Amount	\$ 2,671.03 Debit

You Bought 34 at a price of 46.99620

HEWLETT PACKARD CO
MATES TRADE 1914 100514020946
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,597.87
Amount	\$ 1,597.87
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: 428236-10-3
Market: Over-The-Counter Security#: H218374
Symbol: HPQ C00033000

Solicited Order Cash Acct. Ref #: 1941596
PREFERENTIAL RATE
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Bought 18 at a price of 84.73400

PROSPECTUS PREVIOUSLY SENT TO THIS CONSOLIDATION ADDRESS

ISHARES NASDAQ BIOTECHNOLOGY
INDEX FD
MATES TRADE 1914 100514020904
AVG PRICE SHOWN-DETAILS ON REQ
CGMI AND/OR ITS AFFILIATES
IS A MARKET MAKER

Gross Amount	\$ 1,525.21
Amount	\$ 1,525.21
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: 464287-55-6
Market: Over-The-Counter Security#: H741442
Symbol: IBB C00033000

Solicited Order Cash Acct. Ref #: 1941596
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Bought 27 at a price of 57.42850

NEWMONT MINING CORP
MATES TRADE 1914 100514021031
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,550.57
Amount	\$ 1,550.57
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: 651639-10-6
Market: Over-The-Counter Security#: N439528
Symbol: NEM C00033000

Solicited Order Cash Acct. Ref #: 1941822
PREFERENTIAL RATE
HOLD SECURITIES

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**Morgan Stanley
Smith Barney**

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN

Account Number: ██████████
Financial Advisor: KEVIN BROWN/GORDON SMITH

You Bought 34 at a price of 46.73740

NUCOR CORP
MATES TRADE 1914 100514020857
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,555.07
Amount	\$ 1,555.07
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: 670346-10-5
Market: Over-The-Counter Security#: N695607
Symbol: NUE C00033000

Solicited Order PREFERENTIAL RATE
Cash Acct. HOLD SECURITIES
Ref #: 1941569

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 31 at a price of 38.30300

*** TYCO INTL LTD CHF
MATES TRADE 1914 100514020247
AVG PRICE SHOWN-DETAILS ON REQ
SEE INTERNATIONAL NOTE BELOW

Gross Amount	\$ 1,187.39
Other Fee	.03
Amount	\$ 1,187.36
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: H89128-10-4
Market: Over-The-Counter Security#: T092072
Symbol: TYC C00033000

Solicited Order PREFERENTIAL RATE
Cash Acct. HOLD PROCEEDS
Ref #: 1937599

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 16 at a price of 43.27000

BAXTER INTL INC
MATES TRADE 1914 100514020383
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 692.32
Other Fee	.02
Amount	\$ 692.30
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: 071813-10-9
Market: Over-The-Counter Security#: B127197
Symbol: BAX C00033000

Solicited Order PREFERENTIAL RATE
Cash Acct. HOLD PROCEEDS
Ref #: 1938758

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 16 at a price of 104.87900

EOG RESOURCES INC
MATES TRADE 1914 100514020147
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,678.06
Other Fee	.03
Amount	\$ 1,678.03
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: 26875P-10-1
Market: Over-The-Counter Security#: E010507
Symbol: EOG C00033000

Solicited Order PREFERENTIAL RATE
Cash Acct. HOLD PROCEEDS
Ref #: 1936478

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

International Equity

This security is subject to special risks resulting from currency convertibility and fluctuations, uncertain liquidity, political factors, market volatility, tax considerations, and other special situations affecting the ultimate value and yield.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
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Confirmation

**MorganStanley
SmithBarney**

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

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141ND008 024410

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

#917

Summary For Settlement Date	05/26/2010
Total Sales	\$ 843.38
Net Amount	\$ 843.38 Credit

You Sold 14 at a price of 60.24290

EXXON MOBIL CORP
MATES TRADE 1914 100521026893
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 843.40
Other Fee	.02
Amount	\$ 843.38
Settlement Date	05/26/2010

Trade Date: 05/21/2010
Market: Over-The-Counter

CUSIP#: 30231G-10-2
Security#: E011007
Symbol: XOM

Solicited Order
Cash Acct.
Ref #: 2395293

PREFERENTIAL RATE
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
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Confirmation

Morgan Stanley
Smith Barney

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

████████████████████
KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

181ND008 090164

*** RALPH BRADLEY MILLER #3,824
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

Summary For Settlement Date 06/14/2010
Net Amount \$.00 Credit

Due to a Stock Split, we have credited your account 18 share(s) of:

GENERAL MILLS INC

Based on your long position of 18 share(s).

Date: 06/14/2010

CUSIP#: 370334-10-4
Security#: G165065
Symbol: GIS

Cash Acct.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
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Confirmation

MorganStanley
SmithBarney

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

162ND009 020296

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27805-1276

#1,748

Summary For Settlement Date	06/16/2010
Total Purchases	\$ 1,293.69
Total Sales	\$ 1,027.66
Net Amount	\$ 266.03 Debit

You Bought 17 at a price of 76.09920

VISA INC COM CL A
MATES TRADE 1914 100611022030
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,293.69
Amount	\$ 1,293.69
Settlement Date	06/16/2010

Trade Date: 06/11/2010 CUSIP#: 92826C-83-9
Market: Over-The-Counter Security#: V558088
Symbol: V

Solicited Order Cash Acct. Ref #: 1463376
PREFERENTIAL RATE
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 63 at a price of 16.31240

SCHWAB CHARLES CORP
MATES TRADE 1914 100611021966
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,027.68
Other Fee	.02
Amount	\$ 1,027.66
Settlement Date	06/16/2010

Trade Date: 06/11/2010 CUSIP#: 808513-10-5
Market: Over-The-Counter Security#: S097742
Symbol: SCHW

Solicited Order Cash Acct. Ref #: 1463098
PREFERENTIAL RATE
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
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Confirmation

**MorganStanley
SmithBarney**

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

166ND006 030576

*** RALPH BRADLEY MILLER #725
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARYS ST., STE 504
RALEIGH NC 27605-1276

Summary For Settlement Date	06/18/2010
Total Sales	\$ 1,148.24
Net Amount	\$ 1,148.24 Credit

You Sold 2 at a price of 257.38000

APPLE INC
MATES TRADE 1914 100615007330
AVG PRICE SHOWN-DETAILS ON REQ
CGMI AND/OR ITS AFFILIATES
IS A MARKET MAKER

Gross Amount	\$ 514.76
Other Fee	.01
Amount	\$ 514.75
Settlement Date	06/18/2010

Trade Date: 06/15/2010
Market: Over-The-Counter

CUSIP#: 037833-10-0
Security#: A603109
Symbol: AAPL

Solicited Order
Cash Acct. HOLD PROCEEDS
Ref #: 1694540

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 12 at a price of 52.79250

COGNIZANT TECH SOLUTIONS CL A
MATES TRADE 1914 100615007598
AVG PRICE SHOWN-DETAILS ON REQ
CGMI AND/OR ITS AFFILIATES
IS A MARKET MAKER

Gross Amount	\$ 633.51
Other Fee	.02
Amount	\$ 633.49
Settlement Date	06/18/2010

Trade Date: 06/15/2010
Market: Over-The-Counter

CUSIP#: 192446-10-2
Security#: C486888
Symbol: CTSH

Solicited Order
Cash Acct. HOLD PROCEEDS
Ref #: 1695218

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
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Confirmation

MorganStanley
SmithBarney

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

████████████████████
KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

167ND009 027428

*** RALPH BRADLEY MILLER #3,463
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

Summary For Settlement Date	06/21/2010
Total Purchases	\$ 1,344.20
Total Sales	\$ 1,280.05
Net Amount	\$ 84.15 Debit

You Bought 51 at a price of 26.35690

MICROSOFT CORP
MATES TRADE 1914 100618005720
AVG PRICE SHOWN-DETAILS ON REQ
CGMI AND/OR ITS AFFILIATES
IS A MARKET MAKER

Gross Amount	\$ 1,344.20
Amount	\$ 1,344.20
Settlement Date	06/21/2010

Trade Date: 06/16/2010
Market: Over-The-Counter

CUSIP#: 594918-10-4
Security#: M415385
Symbol: MSFT

Solicited Order
Cash Acct. HOLD SECURITIES
Ref #: 1530553

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 40 at a price of 32.00200

CVS CAREMARK CORP
MATES TRADE 1914 100616005775
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,280.08
Other Fee	.03
Amount	\$ 1,280.05
Settlement Date	06/21/2010

Trade Date: 06/16/2010
Market: Over-The-Counter

CUSIP#: 128850-10-0
Security#: C009323
Symbol: CVS

Solicited Order
Cash Acct. PREFERENTIAL RATE
Ref #: 1531116 HOLD PROCEEDS

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Confirmation

Morgan Stanley
Smith Barney

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

109ND009 022405

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

#832

Summary For Settlement Date	06/23/2010
Total Sales	\$ 1,424.30
Net Amount	\$ 1,424.30 Credit

You Sold 34 at a price of 41.89200

NUCOR CORP
MATES TRADE 1914 100618008643
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,424.33
Other Fee	.03
Amount	\$ 1,424.30
Settlement Date	06/23/2010

Trade Date: 06/18/2010
Market: Over-The-Counter

CUSIP#: 670346-10-5
Security#: N695607
Symbol: NUE

Solicited Order
Cash Acct.
Ref #: 1415161

PREFERENTIAL RATE
HOLD PROCEEDS

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MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

175ND009 025987

*** RALPH BRADLEY MILLER #6,176
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

Summary For Settlement Date	06/29/2010
Total Sales	\$ 1,080.85
Net Amount	\$ 1,080.85 Credit

You Sold 29 at a price of 37.27150

MEDTRONIC INC
MATES TRADE 1914 100624012286
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,080.87
Other Fee	.02
Amount	\$ 1,080.85
Settlement Date	06/29/2010

Trade Date: 06/24/2010
Market: Over-The-Counter

CUSIP#: 585055-10-6
Security#: M286611
Symbol: MDT

Solicited Order
Cash Acct.
Ref #: 1681426

PREFERENTIAL RATE
HOLD PROCEEDS

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RALEIGH NC 27609

Account Number:
Financial Advisor:

████████████████████
KEVIN BROWN/GORDON SMITH
919-877-2400

Page 1 of 1

178ND009 021362

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1278

#3,428

Summary For Settlement Date	06/30/2010
Total Purchases	\$ 1,322.16
Net Amount	\$ 1,322.16 Debit

You Bought 70 at a price of 18.88800

MACYS INC
MATES TRADE 1914 100625003158
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,322.16
Amount	\$ 1,322.16
Settlement Date	06/30/2010

Trade Date: 06/25/2010
Market: Over-The-Counter

CUSIP#: 55618P-10-4
Security#: M046300
Symbol: M

Solicited Order
Cash Acct. PREFERENTIAL RATE
Ref #: 2019002 HOLD SECURITIES

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SmithBarney**

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RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

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187ND009 025591

*** RALPH BRADLEY MILLER #3,076
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

Summary For Settlement Date	07/21/2010
Total Purchases	\$ 1,338.92
Net Amount	\$ 1,338.92 Debit

You Bought 42 at a price of 31.87900

*** CARNIVAL CORP
(PAIRED STOCK)
MATES TRADE 1914 100716008292
AVG PRICE SHOWN-DETAILS ON REQ
SEE INTERNATIONAL NOTE BELOW

Gross Amount	\$ 1,338.92
Amount	\$ 1,338.92
Settlement Date	07/21/2010

Trade Date: 07/16/2010
Market: Over-The-Counter

CUSIP#: 143658-30-0
Security#: C213824
Symbol: CCL

Solicited Order
Cash Acct.
Ref #: 1553167
PREFERENTIAL RATE
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

International Equity

This security is subject to special risks resulting from currency convertibility and fluctuations, uncertain liquidity, political factors, market volatility, tax considerations, and other special situations affecting the ultimate value and yield.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
As a reminder, payment for securities purchased, or delivery of securities sold, must be deposited by Settlement Date.
See reverse for further details. Keep this document for your records. Thank you for your business.

Confirmation

**MorganStanley
SmithBarney**

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

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207ND009 021828

*** RALPH BRADLEY MILLER #3,833
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

Summary For Settlement Date	07/29/2010
Total Purchases	\$ 1,332.07
Net Amount	\$ 1,332.07 Debit

You Bought 23 at a price of 57.91600

MONSANTO CO NEW
MATES TRADE 1914 100728004774
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,332.07
Amount	\$ 1,332.07
Settlement Date	07/29/2010

Trade Date: 07/28/2010 CUSIP#: 81188W-10-1
Market: Over-The-Counter Security#: M593619
Symbol: MON

Solicited Order CASH ACCT. REF #:
Cash Acct. REF #:
Ref #: 1635093
PREFERENTIAL RATE
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
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Confirmation

**MorganStanley
SmithBarney**

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

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214ND009 024717

*** RALPH BRADLEY MILLER #1,398
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

Summary For Settlement Date		08/05/2010
Total Sales		\$ 1,213.41
Net Amount		\$ 1,213.41 Credit

You Sold 63 at a price of 19.26090

CORNING INC
MATES TRADE 1914 100802019035
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,213.44
Other Fee	.03
Amount	\$ 1,213.41
Settlement Date	08/05/2010

Trade Date: 08/02/2010
Market: Over-The-Counter

CUSIP#: 219350-10-5
Security#: C688429
Symbol: GLW

Solicited Order
Cash Acct.
Ref #: 1849692
PREFERENTIAL RATE
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
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Confirmation

**MorganStanley
SmithBarney**

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
919-877-2400

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2154D009 028359

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27605-1276

#5,361

Summary For Settlement Date	08/06/2010
Total Purchases	\$ 1,362.23
Net Amount	\$ 1,362.23 Debit

You Bought 39 at a price of 34.92900

MERCK & CO INC NEW
MATES TRADE 1914 100803018023
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,362.23
Amount	\$ 1,362.23
Settlement Date	08/06/2010

Trade Date: 08/03/2010
Market: Over-The-Counter

CUSIP#: 58933Y-10-5
Security#: M321119
Symbol: MRK

Solicited Order
Cash Acct.
Ref #: 1726548
PREFERENTIAL RATE
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.
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Confirmation

**MorganStanley
SmithBarney**

MORGANSTANLEY SMITHBARNEY LLC
700 SPRING FOREST RD
SUITE 200
RALEIGH NC 27609

Account Number:
Financial Advisor:

KEVIN BROWN/GORDON SMITH
819-877-2400

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217ND008 018910

*** RALPH BRADLEY MILLER
CGM SEP IRA CUSTODIAN
GPM
1300 ST. MARY'S ST., STE 504
RALEIGH NC 27805-1276

#1,462

Summary For Settlement Date	08/10/2010
Total Sales	\$ 1,096.65
Net Amount	\$ 1,096.65 Credit

You Sold 53 at a price of 20.69190

LOWES COMPANIES INC
MATES TRADE 1914 100805014704
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,096.67
Other Fee	.02
Amount	\$ 1,096.65
Settlement Date	08/10/2010

Trade Date: 08/05/2010
Market: Over-The-Counter

CUSIP#: 548661-10-7
Security#: L846242
Symbol: LOW

Solicited Order
Cash Acct.
Ref #: 1712296

PREFERENTIAL RATE
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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