COMMITTEES

NATURAL RESOURCES
RANKING DEMOCRAT

ENERGY AND COMMERCE

EDWARD J. MARKEY
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Congress of the United States
House of Representatives
Washington, DC 20515–2107

MEMO

MC

TO:	Certifying Individual
FROM:	Rep. Ed Markey
DATE:	May 16, 2011
RE:	Schedule III Order of Items

All of my assets have been listed with the first box left blank, followed by our joint (JT) assets, followed by my spouse's (SP) assets. They were entered in that order as it makes comparison with the previous year easier. This year, due to some technical glitch, although the entries were made into the computer in that order and the radio button for them to print in that order was selected, the order changed somewhat randomly (attempted twice without success). Most of the asset names remained the same, however, where they changed, the previous name is listed in parenthesis following the new name entry.

The programmer has been alerted about the problem but was not able to offer a fix.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2011 MAY 16 PM 2:22
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2011 MAY 16 PM 2:23
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

MC

Edward J. Markey — (Full Name)
202-225-2836 — (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: MA, District: 07	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment ☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

	Question	Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	☐	☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**	☐	☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	☑	☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE I - EARNED INCOME

Name Edward J. Markey

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
US Public Health Service, Department of Health and Human Services	Spouse Pension	N/A
Private Practice of Medicine	Spouse - Medical Fees	N/A
Global Health Institute, LLC	Spouse - Salary	N/A
Purdue University	Spouse - Lecture Fees	$10,000
Sea Services Leadership Association	Spouse - Lecture Fees	$5,000
American Association of Diabetes Educators	Spouse - Lecture Fees	$8,000
Minneapolis Jewish Federation	Spouse - Lecture Fees	$10,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward J. Markey

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Fidelity SEP - Power Shares QQQ Trust (rolled over from Morgan Stanley Dean Witter IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	Reinvested
	Rydex OTC Investor Class Fund	$15,001 - $50,000	None	NONE	
	Janus Global Technology Fund	$1,001 - $15,000	None	NONE	
	Morgan Stanley Smith Barney, IRA-NASDAQ 100 Trust (QQQ) (formerly Salomon Smith Barney)	$1,001 - $15,000	DIVIDENDS	$1 - $200	Reinvested
	Firsthand Technology Value Fund	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

JT	Wachovia Bank Acct	$15,001 - $50,000	INTEREST	$1 - $200	
SP	Wachovia Bank Accts	$100,001 - $250,000	INTEREST	$201 - $1,000	
SP	Fidelity SEP - S+P Depository Receipts (SPDR) (rolled over from Morgan Stanley Dean Witter IRA - S+P Depository Receipts (SPDR)	$1,001 - $15,000	DIVIDENDS	$1 - $200	Reinvested
SP	Janus Global Select (formerly Janus Orion Fund)	$15,001 - $50,000	DIVIDENDS	$1 - $200	Reinvested
SP	Fidelity SEP - Spartan Ext Market Index Invester Class	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	Reinvested
SP	Janus Global Technology Fund	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	Reinvested
	Congressional Federal Credit Union Accts	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Firsthand Technology Value Fund	$50,001 - $100,000	None	NONE	
SP	Fidelity SEP Asset Manager 20%	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Fidelity Municipal Money Market	$1 - $1,000	DIVIDENDS	$1 - $200	Reinvested
SP	Fidelity SEP - Fidelity Cash Reserves *	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	Reinvested
SP	Rydex OTC Fund	$100,001 - $250,000	None	NONE	
SP	TIAA-CREF Traditional Retirement Annuity	$100,001 - $250,000	INTEREST	$2,501 - $5,000	Reinvested

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SP	TIAA-CREF Retirement Annuity CREF Equity Index	$100,001 - $250,000	Unrealized Growth	NONE	Reinvested
SP	R.S. Small Cap Growth Class	$50,001 - $100,000	None	NONE	
SP	Global Health Institute, LLC, Chevy Chase, MD (Nature of Business: Health Education and Services)	$50,001 - $100,000	Interest, Salary See Schedule I	$1 - $200	
SP	Capital One Bank Accts (formerly Chevy Chase Bank)	$50,001 - $100,000	INTEREST	$201 - $1,000	
SP	Fidelity Mass Muni Income	$50,001 - $100,000	INTEREST	$1,001 - $2,500	Reinvested
SP	Fidelity Mass AMT Tax-free Money Market	$50,001 - $100,000	INTEREST	$1 - $200	Reinvested
SP	Old Mutual Focused Fund Cl Z	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	Reinvested

SCHEDULE IV - TRANSACTIONS

Name Edward J. Markey

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	Fidelity SEP - Asset Manager 20%	P	N/A	04-15-11	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Edward J. Markey

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member (Associate)	Boston College Law School Board of Advisors (uncompensated)

FOOTNOTES

Name Edward J. Markey

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Morgan Stanley Dean Witter IRA - Liquid Asset Fund was below reportable amount and rolled over to Fidelity SEP - Fidelity Cash Reserves	Fidelity SEP - Fidelity Cash Reserves *