SCHEDULE I - EARNED INCOME

Name CONNIE MACK

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
JPMORGAN CHASE BANK NA TEFRA	SPOUSE PENSION	\$6,024

SP SOUND EXCHANGE, INC		WESTERFIELD SCOTCH,	Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting or
\$250,001 - \$500,000 INDEFINITE	\$50,001 - \$100,000	\$1 - \$1,000	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."
ROYALTIES	NONE	NONE	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.
\$1,000,001 - \$1,000,000 \$1,001 - \$2,500	NONE	NONE	Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, Indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.
	ס		BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

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OCHEDO	SCHEDOLE III - ASSE IS AND ONEARNED INCOME	Name CONNIE MACK	MACK	•	Page 4 of 24
SP	WARNER MUSIC, INC	INDEFINITE	ROYALTIES	\$5,001 - \$15,000	
SP	FILM PAYMENT SERVICES,	INDEFINITE	ROYALTIES	\$1 - \$ 200	
SP	UNION BANK OF CALIFORNIA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	MERRILL LYNCH-CMA MONEY FUND	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	MERRILL LYNCH-CMA ACCOUNT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	CALIFORNIA ST JUL08 03.500% AUG01 13	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	סי
дS	CALIFORNIA ST NOV07 03.800% NOV01 14	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	CALIFORNIA ST VAR PURP APR09 05.000% APR01 15	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	PS(part)
<u> </u>	CALIFORNIA ST NOV03 05.250% NOV01 19	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	CALIFORNIA ST SEP05 05.000% AUG01 20	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
မှ	LOS ANGELES CALIF UNI SCH DIST CTFS PARTN A RF JAN10 05.000% DEC01 16	NONE	INTEREST/CAPI TAL GAINS	\$1,001 - \$2,500	PS
SP	LOS ANGELES CO CA CTFS PARTN A OID	NONE	INTEREST/CAPI TAL GAIN	\$1,001 - \$2,500	Ø
Sp.	SAN FRAN CA CY-CO PUB	NONE	INTEREST/CAPI TAL GAINS	\$1,001 - \$2,500	Ø
SP ·	AFLAC INC COM	\$1,001 - \$15,000	DIVIDENDS/CAP	\$1,001 - \$2,500	S(part)

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	TE III - ASSETS AND ONEARNED INCOME	Name CONNIE MACK	MACK		Page 5 of 24
SP	AMAZON COM INC	\$1,001 - \$15,000	NONE	NONE	
SP	APPLE INC	\$1,001 - \$15,000	CAPITAL GAINS	\$2,501 - \$5,000	S(part)
SP	BECTON DICKINSON CO	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
d d	CATERPILLAR INC DEL	\$1,001 - \$15,000	DIVIDENDS/CAP	\$1,001 - \$2,500	S(part)
g Q	CISCO SYSTEMS INC COM	\$1,001 - \$15,000	NONE	NONE	S(part)
: <u>0</u>	CLIFFS NATURAL RESOURCES INC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
SP	CONOCOPHILLIPS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
g G	COSTCO WHOLESALE CRP	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$1,001 - \$2,500	PS(part)
SP	DISNEY (WALT) CO COM STK	\$1,001 - \$15,000	NONE	NONE	PS(part)
တ္	DEVON ENERGY CORP NEW	NONE	DIVIDENDS/CAP \$201 - \$1,000 ITAL GAINS	\$201 - \$1,000	တ
SP	EMERSON ELEC CO	\$1,001 - \$15,000	DIVIDENDS/CAP \$1,001 - \$2,500 ITAL GAINS	\$1,001 - \$2,500	S(part)
S P	FIRST SOLAR INC	\$1,001 \$15,000	NONE	NONE	PS(part)
S _D	GENERAL MILLS	NONE	DIVIDENDS/CAP	\$1,001 - \$2,500	σ
SP	GOOGLE INC CL A	\$1,001 - \$15,000	NONE	NONE	PS(part)

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name CONNIE MACK	MACK		Page 6 of 24
SP	HCP INC	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	PS(part)
SP	JPMORGAN CHASE & CO	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
SP	JOHNSON AND JOHNSON	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	PS(part)
SP	MCDONALDS CORP COM	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	S(part)
SP	MICROSOFT CORP	\$1,001 - \$15,000	NONE	NONE	70
g P		\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
SP P	PEABODY ENERGY CORP	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$1,001 - \$2,500	S(part)
SP	PG&E CORP	NONE	DIVIDENDS/CAP ITAL GAINS	\$1,001 - \$2,500	
SP	PRAXAIR INC	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	PS(part)
SP	PROCTER & GAMBLE	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	S(part)
q _S	SANDISK CORP INC	\$1,001 - \$15,000	NONE	NONE	PS(part)
SP	SCHLUMBERGER LTD	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
SP	TARGET CORP COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
SP	3M COMPANY	NONE	DIVIDENDS/CAP	\$1,001 - \$2,500	S

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name CONNIE MACK	MACK		Page 7 of 24
SD	UNITED PARCEL SVC CL B	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	ס
SP	UNITED TECHS CORP COM	\$1,001 - \$15,000	NONE	NONE	סי
d.	US BANCORP (NEW)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
SP	VERIZON COMMUNICATNS	NONE	DIVIDENDS	\$201 - \$1,000	·w
မှု	TRANSOCEAN LTD	NONE	CAPITAL GAINS	\$201 - \$1,000	ω ·
<u>တ</u> :	MERRILL LYNCH CMA-IRA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	FEDERAL HOME LOAN BANK BONDS 04.625% OCT10 2012-IRA	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
<u> </u>	FEDERAL FARM CREDIT BANK NOTES 04.875% DEC16 2015-IRA	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	FEDERAL FARM CREDIT BANK-IRA	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	ס־
- Sp	FEDERAL HOME LOAN BANK BONDS 04.750% DEC 16 2016-IRA	NONE	CAPITAL GAINS	\$2,501 - \$5,000	Ø
SP	FEDERAL HOME LOAN BANK BONDS 05.375% MAY 18 2016-IRA	NONE	CAPITAL GAINS	\$201 - \$1,000	PS
SP	FEDERAL HOME LOAN BANK BONDS 04.625% FEB 18 2011-IRA	NONE	CAPITAL GAINS	\$201 - \$1,000	·
SP P	JPMORGAN CHASE & CO- IRA	\$15,001 - · \$50,000	DIVIDENDS	\$201 - \$1,000	о ⁻

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מכחבטטרמ	TE III - ASSETS AND CHEARNED INCOME	Name CONNIE MACK	MACK		Page 8 of 24
SP	AFLAC INC COM-IRA	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	S(part)
SP.	AMAZON COM INC-IRA	\$1,001 - \$15,000	NONE	NONE	ס
SP	APPLE INC-IRA	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	S(part)
S P	BECTON DICKINSON CO-IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
S D	CATERPILLAR INC DEL-IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
S D	CISCO SYSTEMS INC COM-	\$1,001 - \$15,000	NONE	NONE	
SP	CLIFFS NATURAL RESOURCES-IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	' ' O '
SP	CONOCOPHILLIPS-IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	ਹ
SP	COSTCO WHOLESALE CRP DEL-IRA	\$1,001 - \$15,000	DIVIDENDS/CAP	\$1,001 - \$2,500	S(part)
SP	DISNEY (WALT) CO COM STK-IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	ט
g Q	EMERSON ELEC CO-IRA	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	S(part)
g P	FIRST SOLAR INC-IRA	\$1,001 - \$15,000	NONE	NONE	סי
d G	GOOGLE INC CL A-IRA	\$1,001 - \$15,000	NONE	NONE	
SP ·	HCP INC-IRA	\$1,001 - \$15,000	DIVIDENDS/CAP	\$201 - \$1,000	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
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SP SP	SCHEDULE III - ASSETS AND "UNEARNED" INCOME SP JOHNSON AND JOHNSON SP JPMORGAN CHASE & CO- IRA SP MCDORGET CORP COM- IRA	515 515	ן סן	e CONNIE M	DIVIDENDS DIVIDENDS
dS.	MCDONALDS CORP COM-	\$1,001 - \$15,000	DIVIDENDS	٠ ده	\$201 - \$1,000
SP	MICROSOFT CORP-IRA	\$1,001 - \$15,000	DIVIDENDS	* ** ***	\$1 - \$200
SP	ORACLE CORP \$0.01 DEL- IRA	\$1,001 - \$15,000	DIVIDENDS		\$1 - \$200
SP	PEABODY ENERGY CORP COM-IRA	\$1,001 - \$15,000	DIVIDENDS/CAP		\$201 - \$1,000
SP	PRAXAIR INC-IRA	\$1,001 - \$15,000	DIVIDENDS		\$1 - \$200
SP	PROCTER & GAMBLE CO- IRA	\$1,001 - \$15,000	DIVIDENDS		\$201 - \$1,000
SP	SANDISK CORP INC-IRA	\$1,001 - \$15,000	NONE		NONE
SP	SCHLUMBERGER LTD-IRA	\$1,001 - \$15,000	DIVIDENDS		\$1 - \$200
SP	TARGET CORP COM-IRA	\$1,001 - \$15,000	DIVIDENDS		\$1 - \$200
Sp	UNITED PARCEL SVC CL B-	\$1,001 - \$15,000	DIVIDENDS	•	\$1 - \$200
SP	UNITED TECHS COM-IRA	\$1,001 - \$15,000	DIVIDENDS		\$1 - \$200
SP	US BANCORP (NEW)-IRA	\$1,001 - \$15,000	DIVIDENDS		\$1 - \$200

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name CONNIE MACK	MACK		Page 10 of 24
SP	3M COMPANY-IRA N	NONE	CAPITAL GAINS	GAINS \$201 - \$1,000	S
SP.	PG&E CORP-JRA N	NONE	CAPITAL GAINS	GAINS \$201 - \$1,000	Ø
SP.	EXXON MOBIL CORP COM- N	NONE	CAPITAL GAINS	GAINS \$201 - \$1,000	w ·
SP	GENERAL MILLS INC-IRA N	NONE	CAPITAL GAINS	GAINS \$1,001 - \$2,500	ω .
SP	TRANSOCEAN LTD-IRA	NONE	CAPITAL GAINS	\$201 - \$1,000	S

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Name CONNIE MACK

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

residence	residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"	, please so indicate (i.e., "	paruai sale"	. See example below.	-
JT ,OG ,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
ďS	CALIFORNIA ST JUL08 03.500% AUG01 13	ט	N/A	12-10-10	\$15,001 - \$50,000
SP	CALIFORNIA ST VAR PURP APR09 05.00% APR01 15	.	N/A	01-21-10	\$15,001 - \$50,000
SP	LOS ANGELES CALIF UNI SCH DIST CTFS PARTN A RF JAN10 05.000% DEC01 16	ָּט [ָ]	N/A	01-20-10	\$50,001 - \$100,000
SP	AMAZON COM INC	TO .	N/A	12-14-10	\$1,001 - \$15,000
SP	CLIFFS NATURAL RESOURCES INC	. To	N/A	08-18-10	\$1,001 - \$15,000
SP	CONOCOPHILLIPS	70	N/A	07-29-10	\$1,001 - \$15,000
SP	COSTCO WHOLESALE CRP DEL	υ·	N/A	01-07-10	\$1,001 - \$15,000
SP	DISNEY (WALT) CO COM STK	ָ ט ר	N/A	07-29-10	\$1,001 - \$15,000
SP	FIRST SOLAR INC	ָ טר י	N/A	05-03-10	\$1,001 - \$15,000
SP	GOOGLE INC CL A	. TO	N/A	08-18-10	\$1,001 - \$15,000
SP	HCP INC	10 .	N/A	02-18-10	\$1,001 - \$15,000

Name CONNIE MACK

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	-				
SP, DC,		Type of	Capital Gain in Excess		
3			47.00.	04.00.40	
SP	JOHNSON AND JOHNSON COM	.	N/A	04-26-10	\$1,001 - \$15,000
SP	JPMORGAN CHASE & CO	. U`	N/A	11-22-10	\$1,001 - \$15,000
SP	MICROSOFT CORP	ָ טר	N/A	11-22-10	\$1,001 - \$15,000
SP	ORACLE CORP \$0.01 DEL	₽.	N/A	03-26-10	\$1,001 - \$15,000
SP	PRAXAIR INC	70 .	N/A	05-13-10	\$1,001 - \$15,000
qs	SANDISK CORP INC	ס	N/A	06-15-10 10-15-10	\$1,001 - \$15,000
SP	SCHLUMBERGER LTD	: •	N/A	06-15-10	\$1,001 - \$15,000
SP	UNITED PARCEL SVC CL B	:· ************************************	N/A	09-14-10	\$1,001 - \$15,000
SP	UNITED TECHS CORP COM	. TO	N/A	12-14-10	\$1,001 - \$15,000
SP	US BANCORP (NEW)		N/A	04-21-10	\$1,001 - \$15,000
SP	CALIFORNIA ST VAR PURP APR09 05.000% APR01 15	S(part)	No	06-11-10	\$15,001 - \$50,000

Name CONNIE MACK

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
SP	LOS ANGELES CALIF UNI SCH DIST CTFS PARTN A RF JAN10 05.00% DEC 01 16	ω	Yes	09-15-10	\$50,001 - \$100,000
^Q S	LOS ANGELES CO CA CTFS PARTN A OID	co ·	Yes	01-11-10	\$50,001 - \$100,000
SP	CALIFORNIA ST OID JUN00 05.000% JUN01 19	w ·	No	01-11-10	\$1,001 - \$15,000
ဗို	CALIFORNIA ST DPT WTR	SO .	No	01-11-10	\$1,001 - \$15,000
SP	SAN FRAN CA CY-CO PUB	ω .	Yes	01-11-10	\$15,001 - \$50,000
SP.	ADOBE SYS DEL INC CL A	S	Z o	08-18-10	\$1,001 - \$15,000
dS.	APOLLO GROUP INC CL A	σ .	N 0	01-07-10 02-18-10	\$1,001 - \$15,000
တို	AFLAC INC COM	S(part)	Yes	01-07-10 03-26-10 09-13-10 10-19-10	\$1,001 - \$15,000
SP	APPLE INC	· S(part)	Yes	01-07-10 04-21-10 09-13-10	\$1,001 - \$15,000

Name CONNIE MACK

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futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of
any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal
residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP BANK NEW YORK MELLON CORP						
BAXTER INTERNTL INC	BAXTER INTERNTL INC BECTON DICKINSON CO	BAXTER INTERNTL INC BECTON DICKINSON CO COSTCO WHOLESALE CRP DEL	BAXTER INTERNTL INC BECTON DICKINSON CO COSTCO WHOLESALE CRP DEL CONOCOPHILLIPS	BAXTER INTERNTL INC BECTON DICKINSON CO COSTCO WHOLESALE CRP DEL CONOCOPHILLIPS CATERPILLAR INC DEL	BAXTER INTERNTL INC BECTON DICKINSON CO COSTCO WHOLESALE CRP DEL CONOCOPHILLIPS CATERPILLAR INC DEL CLIFFS NATURAL RESOURCES INC	BAXTER INTERNTL INC BECTON DICKINSON CO COSTCO WHOLESALE CRP DEL CONOCOPHILLIPS CATERPILLAR INC DEL CLIFFS NATURAL RESOURCES INC CISCO SYSTEMS INC COM
Ø	S(part)	S(part) S(part)	S(part) S(part) S(part)	S(part) S(part) S(part) S(part)		
N ₀	Z Z	Yes No No	No Yes	Y No Yes	Z Y Z Y Z Z	Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z
	01-07-10 09-13-10 10-19-10	01-07-10 09-13-10 10-19-10 09-13-10 10-15-10 10-19-10	01-07-10 09-13-10 10-19-10 09-13-10 10-15-10 10-19-10 09-13-10 10-19-10	01-07-10 09-13-10 10-19-10 09-13-10 10-15-10 10-19-10 09-13-10 01-07-10 04-26-10 09-13-10 10-19-10	01-07-10 09-13-10 10-19-10 09-13-10 10-15-10 10-19-10 09-13-10 01-07-10 04-26-10 09-13-10 10-19-10 09-13-10 10-19-10	01-07-10 09-13-10 10-19-10 09-13-10 10-15-10 10-19-10 01-07-10 04-26-10 09-13-10 10-19-10 09-13-10 10-19-10 01-07-10 09-13-10 01-07-10 09-13-10
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Name CONNIE MACK

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
SP	DEVON ENERGY CORP NEW	S	Yes	01-07-10 03-26-10	\$1,001 - \$15,000
SP.	DISNEY (WALT) CO COM STL	S(part)	No o	09-13-10 10-19-10	\$1,001 - \$15,000
မှ	EXXON MOBIL CORP COM	တ ်	2 0	01-07-10 07-29-10	\$1,001 - \$15,000
SP	EMERSON ELEC CO	S(part)	Yes	01-07-10 07-29-10 09-13-10 10-19-10	\$1,001 - \$15,000
Sp	FIRST SOLAR INC	S(part)	N _o	09-13-10 10-19-10	\$1,001 - \$15,000
P S	GENERAL MILLS	Š	. 0,	01-07-10 08-18-10	\$1,001 - \$15,000
Q.	GOOGLE INC CL A	S(part)	No :	09-13-10 10-19-10	\$1,001 - \$15,000
SP	HCP INC	S(part)	Yes	07-29-10 09-13-10 10-19-10	\$1,001 - \$15,000
qs	HEWLETT PACKARD CO DEL	σ	N _O	01-07-10 09-13-10 10-19-10 11-22-10	\$1,001 - \$15,000

Report a futures, any exch	SCHEDULE IV - TRANSACTIONS Name CONNIE MACK Na	Name CONNIE MACK dependent child during the reporti exceeded \$1,000. Include transacti you, your spouse, or your depende	MACK eporting year esactions tha pendent child	of any real property, stocks, bonds, comr t resulted in a loss. Provide a brief descri l, or the purchase or sale of your personal	, stocks Provide
SP,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	,	Date
qs qs	JPMORGAN CHASE & CO	S(part)	N _o	109	01-07-10 09-13-10 10-19-10
P S	JOHNSON AND JOHNSON COM	S(part)	Yes		01-07-10 09-13-10 10-19-10
SP	MONSANTO CO NEW DEL COM	ဟ	<u>Z</u>		01-07-10 05-13-10
SP	MCDONALDS CORP COM	S(part)	Yes		01-07-10 09-13-10 10-19-10
SP	ORACLE CORP \$0.01 DEL	S(part)	N 0		09-13-10 10-19-10
SP	PG&E CORP		Yes	•	01-07-10 09-10-10
လူ	PEABODY ENERGY CORP COM	S(part)	Yes		01-07-10 09-13-10 10-19-10 11-22-10
SP	PRAXAIR INC	S(part)	Yes		09-13-10 10-19-10
d S	PROCTER & GAMBLE CO	S(part)	N _O		01-07-10 09-13-10 10-19-10

Name CONNIE MACK

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Pepert any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal SP JT DC, 3M COMPANY FEDERAL FARM CREDIT-IRA VERIZON COMMUNICATINS COM US BANCORP (NEW) SANDISK CORP INC JPMORGAN CHASE & CO-JRA THERMO FISHER SCIENTIFIC TARGET CORP COM SCHLUMBERGER LTD TRANSOCEAN LTD Asset S(part) S(part) S(part) T U ഗ S(part) Transaction Type of NA ö Capital Gain in N N <u>Z</u> Yes Yes <u>г</u> Z N_o <u>г</u> of \$200? Excess 04-21-10 01-07-10 01-07-10 01-12-10 01-07-10 09-13-10 04-29-10 01-07-10 01-07-10 09-13-10 10-27-10 07-29-10 12-14-10 09-13-10 09-13-10 09-13-10 10-19-10 10-19-10 10-19-10 10-19-10 10-19-10 Date \$1,001 - \$15,000 \$15,001 - \$50,000 \$15,001 - \$50,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 **Amount of Transactio**

Name CONNIE MACK

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any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

	•				
SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
SP	AMAZON COM INC-IRA	T	N/A	12-14-10	\$1,001 - \$15,000
SP	CLIFFS NATURAL RESOURCES INC-IRA	: "U"	N/A	08-18-10 10-19-10	\$1,001 - \$15,000
SP	CONOCOPHILLIPS-IRA		N/A	07-29-10	\$1,001 - \$15,000
SP	DISNEY(WALT) CO COM STK- IRA	יס	N/A	07-29-10	\$1,001 - \$15,000
SP	FIRST SOLAR INC-IRA	יס	N/A	05-03-10 07-07-10	\$1,001 - \$15,000
SP	GOOGLE INC CL A-IRA	ָ פר	N/A	08-18-10	\$1,001 - \$15,000
SP	HCP INC-IRA		N/A	02-18-10 07-07-10	\$1,001 - \$15,000
SP	JOHNSON AND JOHNSON COM-IRA	 ט	N/A	04-26-10 07-07-10	\$1,001 - \$15,000
SP	JPMORGAN CHASE & CO-IRA	. TO	N/A	07-07-10 11-22-10	\$1,001 - \$15,000
SP	MICROSOFT CORP-IRA	ס	N/A	11-22-10	· \$1,001 - \$15,000
S _P	ORACLE CORP \$0.01 DEL-IRA	σ	N/A	03-26-10 07-07-10	\$1,001 - \$15,000

any exchange transaction: Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so Indicate (i.e., "partial sale"). See example below. the tende commedities

iesidelica	residence, diffess it is rented out. If only a portion of an asset is sold, please so indicate (i.e., partial sale)	la, please so indicate (i.e.,	partial sale). See example below.	
SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
дS		ס	N/A	05-13-10 07-07-10	\$1,001 - \$15,000
ďS	SANDISK CORP INC-IRA	ס	N/A	06-15-10 07-07-10 10-15-10	\$1,001 - \$15,000
SP	SCHLUMBERGER LTD -IRA	'0	N/A	06-15-10 07-07-10	\$1,001 - \$15,000
SP	UNITED PARCEL SVC CL B-IRA	סד	N/A	09-14-10	\$1,001 - \$15,000
Sp .	UNITED TECHS CORP COM-IRA	ָ יסר י	N/A	12-14-10	\$1,001 - \$15,000
SP.	US BANCORP (NEW)-IRA	. •	· N/A	04-21-10 07-07-10	\$1,001~\$15,000
SP	FEDERAL HOME LOAN BANK BONDS 05.375% MAY18 2016-IRA		N/A	04-06-10	\$1,001 - \$15,000
SP	BANK NEW YORK MELLON-IRA	w	No O	12-14-10	\$1,001 - \$15,000
ŞP .	3M COMPANY-IRA	· ω.:	Yes	12-14-10	\$1,001 - \$15,000
SP	HEWLETT PACKARD CO DE-IRA	σ	N _o	11-22-10	\$1,001 - \$15,000
SP	PEABODY ENERGY CORP COM-IRA	S(part)	Yes	11-22-10	\$1,001 - \$15,000

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SCHE	SCHEDULE IV - TRANSACTIONS	Name CONNIE MACK	ACK	,	Page 20 of 24
- יייייייייייייייייייייייייייייייייייי	reduction, diffess it is relited out. It only a portion of an asset is sold, please so indicate (i.e.,	d, please so indicate (i.e., '	pendunt child "partial sale"	partial sale"). See example below.), is the purchase or sale of your personal "). See example below.
SP,	ΩΩ ΩΩ	Type of	Capital Gain in Excess	D D	Amount of Transactio
SP	FEDERAL HOME LOAN BANK BONDS 04.75% DEC16 2016-IRA	Ś	Yes.	10-27-10	\$15,001 - \$50,000
လို	CO WI	S(part)	Yes	10-15-10 10-19-10	\$1,001 - \$15,000
မှ	FEDERAL HOME LOAN BANK BONDS 05.375% MAY18 2016-IRA	S(part)	Yes	10-27-10	\$1,001 - \$15,000
SP	PG&E CORP-IRA	Ġ.	Yes	09-10-10	\$1,001 - \$15,000
SP	ADOBE SYS DEL PV\$0.001-IRA	σ,	Z 6	08-18-10	\$1,001 - \$15,000
SP	EXXON MOBIL CORP COM-IRA	o	Yes	07-29-10	\$1,001 - \$15,000
SP	EMERSON ELEC CO-IRA	S(part)	Yes	07-29-10	\$1,001 - \$15,000
SP	GENERAL MILLS-IRA	w ·	Yes	08-18-10	\$1,001 - \$15,000
дP	THERMO FISHER SCIENTIFIC-IRA	Ø.	N _O	07-29-10	\$1,001 - \$15,000
S _P	HCP INC-IRA	S(part)	Yes	07-29-10 10-19-10	\$1,001 - \$15,000
SP	BAXTER INTERNTL INC-IRA	ທີ	N _o	06-15-10	\$1,001 - \$15,000

SCHE	SCHEDULE IV - TRANSACTIONS	Name CONNIE MACK	IACK		Page 21 of 24
			:		to storke bonds commodition
* (The second secon		יייר באמוועות מחות	i i i i i i i i i i i i i i i i i i i
S. C.			Capital		
1 C Y	Asport	Type of Transaction	Gain in Excess	Date	Amount of Transactio
SP	DST SYSTEMS INC DEL-IRA	S	No	06-15-10	\$1,001 - \$15,000
q _S	MONSANTO CO NEW DEL COM-IRA		N _o	05-13-10	\$1,001 - \$15,000
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	TRANSOCEAN LTD-IRA	S	Yes	04-29-10	\$1,001 - \$15,000
SP	APPLE INC-IRA	S(part)	Yes	04-21-10	\$1,001 - \$15,000
SP	CATERPILLAR INC DEL-IRA	S(part)	No .	04-26-10	\$1,001 - \$15,000
SP	VERIZON COMMUNICATNS COM-IRA	ω .	N _o	04-21-10	\$1,001 - \$15,000
dS	AFLAC INC COM-IRA	S(part)	Yes	03-26-10 10-19-10	\$1,001 - \$15,000
Q _D	DEVON ENERGY CORP NEW-IRA	ທີ	No O	03-26-10	\$1,001 - \$15,000
q _S	APOLLO GROUP INC CL A-IRA	S .	N _o	02-18-10	\$1,001 - \$15,000
SP.	FEDERAL HOME LOAN BANK BONDS 04.625% FEB 18 2011-IRA	· · · · ·	Yes	01-11-10	\$15,001 - \$50,000
SP	FEDERAL HOME LOAN BANK BONDS 04.875% MAY14 2010-IRA	·	No O	01-11-10	\$1,001 ~ \$15,000

· :

0	٧	SP,
WESTERFIELD SCOTCH, LLC	WESTERFIELD SCOTCH, LLC	
: : : : : : : : : :	 סי	Type of Transables
N/A	N/A	Capital Gain in Excess of \$209?
10-22-10	10-22-10	; 7 {;
\$50,001 - \$100,000	\$1,001 - \$15,000	Amount of Transactio

	SCHEDULE V - LIABILITIES
	Name CONNIE MACK
A TO A MARK TO MARK TO MARKET	Page 23 of 24

Sp

WELLS FARGO BANK, NA.

Date Liability Inches 3

Type of Liabilit

OCTOBER

MORTGAGE ON RENTAL PROPERTY DURANGO, CO (WESTERFIELD SCOTCH, LLC)

\$250,001 - \$500,000

Amount of Liabilit

Name CONNIE MACK

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Name of Organia Co

TETELD SCOTCH, "I C