

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

HAND DELIVERED
Page 1 of 1

Name: Tared S. Polis

Daytime Telephone (202) 225-2161

2010 Aug 10 09:11:37

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Colorado</u>	District: <u>2</u>	<input type="checkbox"/> Officer or Employee	Employing Office:
Report Type	<input checked="" type="checkbox"/> Annual (May 17, 2010)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:	

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

SCHEDULE I-EARNED INCOME

Name: Jared S. Polis

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SOURCE	TYPE	AMOUNT
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SPS Studios Inc. San Diego, CA

Salary 12/16/08 - 1/5/09

5,090.91 For Services rendered prior to House employment

SCHEDULE IV - TRANSACTIONS

Assets

Type of Transaction		Name:	Jared S. Polis	Date	Amount of Transaction
Purchase	Sale	Exchange	Other	Check Box if Capital Gain Exceeded \$200	A B C D E F G H I J K
					1,000,000 - 1,000,000
					500,000 - 500,000
					100,000 - 100,000
					250,000 - 250,000
					500,000 - 500,000
					1,000,000 - 1,000,000
					5 mil - 25 mil
					25 mil - 50 mil
					over 50 mil

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Robert W. Baird & Co Incorporated Accounts:

Baird Capital Partners IV LP

Investment in securities with a focus of the lower end of the U. S middle market

Milwaukee, WI

Wells Fargo Bank Collateral Account Adams 12 for New America School

University Co Enterprise Sys Rev Ser A 5% 06/01/22

Colorado Dept Transn Rev Antic NIS Ser 03 A 5.25% 12/15/15

Wells Fargo Bank Collateral Account Eagle for New America Sch

University Co Enterprise Sys Rev Ser A 5% 06/01/22

Denver Co Sch 5% 12/01/23

E-Trade Account:

Lifetime Brands Inc

Japan Equity Fund Inc

Merrill Lynch Accounts:

Highlands Ranch CO Met District No 2 6.5% 6/15/09

Colorado Dept Transn Rev 5.5% 6/15/2016

Solera National Bancorp

PNMCO Commodity Real Return Strategy Fund CL A

Credit Suisse Commodity Return Strat Fd CL A

AIM European Growth Fund

American Washington Mutual Fund

John Hancock Large Cap

Ishares MSCI Emerging

Ishares MSCI Germany

Ishares Russell 1000 Growth Index Fund

Primo Commodity Real Return Strategy Fund CL A

T. Rowe Price Mutual Funds:

T. Rowe Price Balanced Fund

T. Rowe Price International Fund

T. Rowe Price Latin American Fund

Merrill Lynch - Blue Mountain Arts Retirement 401K

Munder Midcap Core Growth Fund Class A

Jennison Midcap Growth Fund Class A

PNMCO Total Return Fund

Pioneer Bond Fund Class A

Scael, LLC

LaJolla, CA

Wells Fargo Checking

Centre Capital Investors III LP (limited partner)

Invests in equity or equity-related securities through privately

P (Partial)

09/28/09

03/23/09

04/02/09

03/23/09

12/01/09

06/15/09

06/25/09

03/03/09

12/30/09

12/30/09

12/30/09

12/14/09

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SCHEDULE IV - TRANSACTIONS

Assets

negotiated investments
 New York, New York

Jovian Holdings LLC - See assets listed below
 Business Development, Denver, CO

Notes receivable
 David Calone, Babylon, NY
 Olivia Lazarus, Denver, CO
 Goldman Sachs
 Foreign Currencies
 Australian Dollar

EURO

Japanese Yen
 Norwegian Krone
 Swedish Krone

Swiss Franc
 UK Pound Sterling

UKTB 0% 1/05/2009
 Landesbank Baden-Wuerttemberg 4% 2/13/2009 EUR
 Network Rail Limited 4.875% 3/6/2009 GBP
 European Investment Bank 5.75% 9/15/09

UKTB 0% 8/17/09 St. Lien

KFW BankenGruppe 5.5% 9/15/09

Landwirtschaftliche Rentenbank 6% 9/15/09

Bank Nederlandse Gemeenten 5.5% 11/3/09

BFT 0% 11/5/09

DTB 0% 8/31/09

NGTB 0% 12/16/09

NGTB 0% 9/16/09

SWTB 0% 8/19/09

Sweden, Kingdom of 4% 12/1/09

PowerShares ETF Trust Emerging Markets Sovereign Deb ETF
 iShares Trust iShares Cohen & Steers Realty Majors Index Fund
 PowerShares Dynamic Biotech & Genzyme Portfolio ETF
 PowerShares Global Water Portfolio ETF
 iShares MSCI Netherlands Index Fund ETF
 iShares MSCI Sweden Index Fund Market Index
 iShares MSCI United Kingdom Index Fund ETF
 iShares MSCI Australia Index Fund
 SPDR Index Shares SPDR S Mutual Fund ETF
 Vanguard European ETF

Name	Page 2 of 5	Jared S. Polis	Type of Transaction				Date	Amount of Transaction
			Purchase	Sale	Exchange	Other		
Partial Pymt								
Partial Pymt								
Interest Reinv.		Monthly, 5/27/09				12/29/09 X		
Interest Reinv.		09/03, 12/30/09				12/29/09 X		
Interest Reinv.		Monthly, 5/27/09						
Interest Reinv.		9/4, 12/30/2009						
Interest Reinv.		06/01/09						
Interest Reinv.		Monthly						
Interest Reinv.		09/03/09						
Interest Reinv.		05/27/09						
Interest Reinv.		09/03, 12/01/09						
Interest Reinv.		1/30, 2/27, 3/6, 3/31, 5/27, 9/15				05/28/09		
Interest Reinv.		9/3, 12/30/09						
						01/05/09	X	
						02/13/09	X	
						03/06/09	X	
						05/28/09	X	
						09/15/09	X	
						05/27/09	X	
						08/17/09	X	
						05/27/09	X	
						09/15/09	X	
						05/27/09	X	
						11/03/09	X	
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						08/31/09	X	
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						12/16/09	X	
						05/28/09	X	
						12/16/09	X	
						05/28/09	X	
						09/16/09	X	
						05/27/09	X	
						08/19/09	X	
						05/27/09	X	
						12/01/09	X	
						08/31, 09/01, 09/02/09	X	
						08/31, 09/01, 09/02/09	X	
						08/31, 09/01, 09/02/09	X	
						08/31, 9/1, 9/2/09	X	
						12/30/09	X	
						12/30/09	X	
						12/30/09	X	
						12/30/09	X	
						12/30/09	X	

Amount of Transaction

B C D E F G H I J K

15,000.00
 50,000.00
 100,000.00
 250,000.00
 500,000.00
 1,000,000.00
 5 mil - 25 mil
 25 mil - 50 mil
 Over 50 mil

Assets

Type of Transaction	Name	Page 4 of 5	Jared S. Polis	Date	Amount of Transaction
Purchase	Sale	Exchange	Other	Check Box if Capital Gain Exceeded \$200	B C D E F G H I J K
					1,000 - 15,000
					15,000 - 50,000
					50,000 - 100,000
					100,000 - 250,000
					250,000 - 500,000
					500,000 - 1,000,000
					1,000,000 - 5,000,000
					5 mil - 25 mil
					25 mil - 50 mil
					Over 50 mil

Jovian Capital Holdings LLC - Assets Listed below
 Holding Company, Denver, Co
 Jove Capital Associates LLC
 Investment Advisory services
 New York, New York
 Jove Equity Fund I LP - Assets listed below
 Invests in start-up and private companies
 Denver, CO
 All Japan Recovery Fund IV LP
 Invest in Japanese Debt
 Denver, CO
 BrightHealth International Inc Convertible Notes Receivable
 Healthcare Services, Greenwood Village, CO
 January Studios LLC - Notes Receivable
 Movie Script & Production
 Denver, CO
 Life Pics Inc
 Retail, Boulder, CO
 Life Pics Inc - Note Receivable
 Mayfair India Holdings LLC
 Cobal Investment, Bermuda
 Midwest Business Inc
 Business News, Chicago, IL
 Jove Venture Fund I LP - See assets listed below
 Invests in start-up and private companies
 Denver, CO
 Aquacopia Ventures Fund I LP
 Invests in private equity & equity related investments in the
 sustainable aquaculture sector
 Denver, CO
 Bullet Time Ventures LP
 Seed investments in early stage companies
 Boulder, CO
 Confluence Commons Inc
 Internet Services, Boulder, CO
 Confluence Commons Inc Line of Credit
 Techstars 2009 LLC
 Invests in and incubate start up companies
 Boulder, CO
 Zemble Inc
 Social networking site
 Pasadena, CA
 Zemble Inc Note Receivable
 Jove Equity Partners LLC
 Portfolio Management
 Babylon, New York
 Jove Equity Associates LLC
 General Partner of Jove Equity Fund I LP and Jove Venture Fund
 Babylon, New York

P (Partial)				10/21/09	X	
P (Partial)				01/23/09, 06/16/06, 11/04/04, 10/13/03, 10/23/09	X	
P (Partial)				12/10/09	X	
P (Partial)				07/31/09	X	
P (Partial)				07/31/09	X	Converted to stock
P (Partial)				02/12/09	X	
P (Partial)				04/07/09, 05/06/09, 11/13/08, 12/03/09	X	
P (Partial)				05/15/09	X	
P				05/13/09	X	
P				05/13/09	X	
P (Partial)				05/08/08, 06/08/08, 07/28/09	X	
P (Partial)				03/19/09	X	
				07/27/09	X	
X				07/27/09	X	
X				12/10/09	X	
P (Partial)				12/10/09	X	

Went out of Business
 Went out of Business
 Went out of Business

SCHEDULE V — LIABILITIES

Name

Sared S. Polis

Page

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability																		
			B \$10,001- \$15,000	C \$15,001- \$50,000	D \$50,001- \$100,000	E \$100,001- \$250,000	F \$250,001- \$500,000	G \$500,001- \$1,000,000	H \$1,000,001- \$5,000,000	I \$5,000,001- \$25,000,000	J \$25,000,001- \$50,000,000	K Over \$50,000,000									
	<i>Example:</i> First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X															
	<i>See attached schedule</i>																				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
<i>NA</i>		

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Name

Sared S. Polis

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
	See Attached Schedule

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; continuing participation in an employee welfare or benefit plan maintained by a former employer; or publication of a book.

Date	Parties To	Terms of Agreement
	NONE	

SCHEDULE VIII - POSITIONS

Name: JARED S. POLIS

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Position	Name of Organization
Managing Member	Aquacopia Ventures LLC
Managing Member	Internet Political Concepts LLC
Partner	January Studios LLC
Limited Partner	Jove Equity Fund I LP
Limited Partner	Jove Venture Fund I LP
Partner	Jove Equity Partners LLC
Partner	Jove Equity Associates LLC
Managing Member	Jovian Capital Holdings LLC
Managing Member	Jovian Management Company Holdings LLC
Managing Member	JPS Sports Holdings LLC (Dissolved January, 2009)
Managing Member	Jovian Holdings LLC
Director	Jovian Holdings Inc (Unpaid)
Managing Member	Jovian P4 LLC
Partner	Jove Partners LP (Dissolved 12/31/09)
Limited Partner	Mayfair India Holdings LLC (No longer in Business 2/12/09)
Managing Member	Mountain Property Improvement LLC
Managing Member	Mountain Property II LLC
Limited Partner	Optamin Medical Services LLC (Went out of Business 10/12/09)
Domestic Beneficiary	Pine 3-J Trust
Board Member	Polis -Schutz Family Foundation (Unpaid)
Partner	Social Venture Partners of Boulder County - Community Foundation of Boulder (Resigned)
Partner	Social Venture Partners of Denver County - The Denver Foundation (Resigned)
Vice President	SPS Studios, Inc. (January 5, 2009 resigned from Vice President)
Managing Member	Technology Stars of Colorado Holdings LLC
Donor-Advised Fund Donor	The Community Foundation: Jared Polis Foundation Fund; Venture Gift Fund (Unpaid)
Limited Partner	Deutsche Banc Special Opportunities Fund
Limited Partner	Centre Capital Investors III LP
Partner	Scael LLC
Limited Partner	GunparkProperty Limited PS LLLP
Limited Partner	I-Hatch Ventures LP
Limited Partner	William Blair New World Ventures LP
Partner	Techstars LLC

