

CHARLES B. RANGEL  
15TH CONGRESSIONAL DISTRICT  
NEW YORK

COMMITTEE:  
WAYS AND MEANS  
JOINT COMMITTEE  
ON TAXATION



GEORGE H. HENRY  
CHIEF OF STAFF

LEGISLATIVE RESOURCE CENTER  
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OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

Congress of the United States  
House of Representatives

October 2, 2012

**HAND DELIVERED**

The Honorable Karen L. Haas  
Clerk of the U.S. House of Representatives  
B106 Cannon House Office Building  
Washington, DC 20515

MC ✓

RE: Amendment to 2002-2011 Financial Disclosure Statements

Dear Ms. Haas:

I am submitting this letter to highlight changes in my previous Financial Disclosure Statements. It has been discovered that inadvertent omissions were made. My accountant discovered that my spouse had invested in a Fixed Annuity Contract (Fixed Annuity) in 2002. The Fixed Annuity was not disclosed in the 2002 through 2010 Financial Disclosure Statements. From 2002 to 2010, the value of that asset was between \$15,001 and \$50,000, and income earned ranged from \$1,000 to \$2,500. IRA distributions in the amount of \$5,107 were made in 2011. The 1099's reflecting the distributions have not been provided and therefore the distributions were not included on the Financial Disclosure Schedule I, Earned Income.

It should also be noted that I inadvertently did not disclose my board memberships in two organizations. While I was not an active member, I did serve as an *ex officio* member in 2004 and 2008 on the Board of the NYC Empowerment Zone. I also served as a Board member in the Ann S. Kheel Charitable Trust in 2002, 2003, 2008, 2010 and 2011. The Kheel Trust has been successful in fully distributing funds for educational, civil rights and other organizations that serve disadvantaged New York neighborhoods and will soon close out the account. These positions involved no monetary compensation. Per my belief that the Clerk of the U.S. House of Representatives prefers amendments to the originally filed schedules, we have prepared the attached amendments.

Thank you for your understanding in this matter.

Sincerely,

Charles B. Rangel  
MEMBER OF CONGRESS

WASHINGTON OFFICE  
2354 RAYBURN HOUSE OFFICE BUILDING  
WASHINGTON, DC 20515-3215  
TELEPHONE: (202) 225-4365  
FAX: (202) 225-0816

PLEASE RESPOND TO OFFICE CHECKED

DISTRICT OFFICE  
163 WEST 125TH STREET  
NEW YORK, NY 10027  
TELEPHONE: (212) 663-3900  
FAX: (212) 663-4277

**HAND DELIVERED**

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2002 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 9  
For use by Members, officers, and employees

Charles B. Rangel  
(Full Name)

(202)254-0365  
(Daytime Telephone)

Filer Status: X Member of the U.S. State: NY  
House of Representative District: 15

Officer Or Employee: \_\_\_\_\_  
Employing Office: \_\_\_\_\_

Report Type: Annual (May 15)  Amendment

Termination: \_\_\_\_\_  
Termination Date: \_\_\_\_\_

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? <i>If yes, complete and attach Schedule I.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? <i>If yes, complete and attach Schedule VI.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? <i>If yes, complete and attach Schedule I.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? <i>If yes, complete and attach Schedule VII.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? <i>If yes, complete and attach Schedule III.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? <i>If yes, complete and attach Schedule VIII.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? <i>If yes, complete and attach Schedule IV.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? <i>If yes, complete and attach Schedule IX.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? <i>If yes, complete and attach Schedule V.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

Trusts - <small>Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from the report details of such a trust benefiting you, your spouse, or dependent child?</small>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions - <small>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Standards of Official Conduct.</small>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>



CALENDAR YEAR 2002 FINANCIAL DISCLOSURE STATEMENT

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name **Charles B. Rangel**

BLOCK A		BLOCK B											BLOCK C							BLOCK D											BLOCK E	
Asset and/or Income Source		Value of Asset											Type of Income							Amount of Income											Transaction	
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investment), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.		Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.											Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets including all IRAs indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during calendar year.							For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was received.											Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.	
		A	B	C	D	E	F	G	H	I	J	K	L	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other types of Income (Specify: For Example, Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, E
SP, DC, JT	SP Mega Corp. Stock Simon & Schuster					X												X		Royalties												S (partial)
	74 West 132nd Street, NY, NY 10037 (Nov 1985)																															
	\$61,733 + Improvements)																															
	Punta Cana Beach Villa, Punta Cana, Dom Rep (March 1987 - \$82,750 + Improvements)																															
	Chase Manhattan Bank																															
	Greenpoint Bank																															
	Congressional FCU-IRA, Checking																															
	PepsiCo, Inc.																															

For additional assets and unearned income, use next page.



CALENDAR YEAR 2002 FINANCIAL DISCLOSURE STATEMENT

SCHEDULE IV - TRANSACTIONS

Name **Charles B. Rangel** Page 5 of 9

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction			Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction											
		PURCHASE	SALE	EXCHANGE		B \$1,001- \$15,000	C \$15,001- \$50,000	D \$50,001- \$100,000	E \$100,001- \$250,000	F \$250,001- \$500,000	G \$500,001- \$1,000,000	H \$1,000,001- \$5,000,000	I \$5,000,001- \$25,000,000	J \$25,000,001- \$50,000,000	K Over \$50,000,000		
Example:	Mega Corporation Common Stock (partial sale)		X		10-12-07		X										
	Niagara Mohawk Hldgs Inc		X		02-01-02	X											
	Verizon Communications Com		X		01-30-02	X											
	Alliance Municipal Invm Fd-New York Port CL A		X		Various, Div. Reinv.		X										
	ING Principal Protection Fund II CL B		X		01-30-02			X									
	Rochester Municipal Fund Class C		X		Monthly Div. Reinv.	X											
	Alliance Municipal Invm Fd-New York Port CL B		X		Monthly Div. Reinv.	X											
	Allm Floating Fund		X		08-08-02		X										
	Protective Life Insurance Co. of New York (Fixed Annuity)		X		06-07-02		X										

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