☐ *Original* ☐ *Amendment*

U.S. House of Representatives
Committee on Ethics

LEGISLATIVE RESOURCE CENTER
2016 APR -1 AM 11:20
U.S. HOUSE OF REPRESENTATIVES

EMPLOYEE POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, **you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed**. Please **do not** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Nicholas Stewart

2. a. Name of accompanying relative: _____ *or* None ☒
   b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____

3. a. Dates of departure and return: Departure: 1/29/2016 Return: 1/29/2016
   b. Dates at personal expense (if any): _____ *or* None ☒

4. Departure city: Washington, D.C. Destination: New York City Return city: New York City

5. Sponsor(s) (who paid for the trip): Success Academy Charter Schools

6. Describe meetings and events attended: We met with reps. from the organization who talked with us about education policy. We also toured a school in the Bronx, meeting with students and faculty.

7. Attached to this form are EACH of the following (*signify that each item is attached by checking the corresponding box*):
   a. ☒ a completed Sponsor Post-Travel Disclosure Form;
   b. ☒ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
   c. ☒ page 2 of the completed Traveler Form submitted by the employee; ***and***
   d. ☒ the letter from the Committee on Ethics approving my participation on this trip.

8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. (*Signify that statement is true by checking box*): ☒
   b. If not, explain: _____

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF TRAVELER: [signature] DATE: 2/10/16

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure form were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: Richard Hanna DATE: 2/10/16

SIGNATURE OF SUPERVISING MEMBER: _____

Version date 2/2015 by Committee on Ethics

■ *Original* ☐ *Amendment*

U.S. House of Representatives
Committee on Ethics

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. ***A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.*** You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip): Success Academy Charter Schools

2. Travel Destination(s): Success Academy Bronx 2 Middle School

3. Date of Departure: 1/29/2016 Date of Return: 1/29/2016

4. Name(s) of Traveler(s): Nick Stewart, Emily Slack, Leslie Tatum, Cyrus Artz, Ethan Gilbert
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)

5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total *Transportation* Expenses	Total *Lodging* Expenses	Total *Meal* Expenses	*Other* Expenses (dollar amount per item and description)
Traveler	192.00		20.00	
Accompanying Relative				

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (*Signify statement is true by checking box*): ■

. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:

Name: Emily Kim Title: Executive Vice President, Policy & Legal Affairs

Organization: Success Academy Charter Schools

I am an officer of the above-named organization ***(signify statement is true by checking box)***: ■

Address: 95 Pine St., Floor 6
New York, NY 10005

Telephone number: 646-545-6905

Email Address: emily.kim@successacademies.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

Version date 2/2013 by Committee on Ethics

U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Nicholas Stewart

2. Sponsor(s) (who will be paying for the trip): Success Academy Charter Schools

3. Travel destination(s): New York City

4. a. Date of departure 1/29/16 Date of return: 1/29/16
   b. Will you be extending the trip at your personal expense? ☐ Yes ■ No
   If yes, dates at personal expense: _____

5. a. Will you be accompanied by a relative at the sponsor's expense? ☐ Yes ■ No
   b. If yes:
   (1) Name of accompanying relative: _____
   (2) Relationship to traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
   (3) Accompanying relative is at least 18 years of age: ☐ Yes ☐ No

6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (*i.e.*, travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? ☐ Yes ■ No
   b. If yes, explain why the second night of lodging is warranted:

7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ■ Yes ☐ No
   NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.

8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.
   Senior Legislative Assistant handling education issues, our office maintains an interest education reform. This trip will allow me to learn more about charter school system as well as best practices. for education reform policies.

9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? ☐ Yes ☒ No

10. **FOR STAFF TRAVELERS:**
    TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 12-10-2015

Signature of Employing Member

U.S. House of Representatives
Committee on Ethics

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form ***at least 30 days before the start date of the trip***. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip): Success Academy Charter Schools

2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (*signify that the statement is true by checking box*): ☒

3. *Check only one:* I represent that:
   a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip ☒ ***or***
   b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds ☐ ***or***.
   c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☐
   If "c" is checked, list the names of the additional sponsors: _____

4. Provide names and titles of **ALL** House Members and employees you are inviting. **For each House invitee, provide an explanation of why the individual was invited** (include additional pages if necessary):
   See attached form.

5. Is travel being offered to an accompanying relative of the House invitee(s)? ☐ Yes ☒ No

6. Date of departure: 1/29/16 Date of return: 1/29/16

7. a. City of departure: Washington D.C.
   b. Destination(s): New York City
   c. City of return: Washington D.C.

8. I represent that (*check one of the following*):
   a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ ***or***
   b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ☐ ***or***
   c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☒

9. Check one of the following:
   a. I checked 8(a) or (b) above: ☐
   b. I checked 8(c) above but am not offering any lodging: ☒
   c. I checked 8(c) above and am offering lodging and meals for one night: ☐ ***or***
   d. I checked 8(c) above and am offering lodging and meals for two nights: ☐
   If "d" is checked, explain why the second night of lodging is warranted: _____

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (*i.e.*, an hourly description of planned activities for trip invitees) (*indicate agenda is attached by checking box*): ☐

11. Check one:
    a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (*signify that the statement is true by checking box*): ☐ ***or***
    b. N/A – trip sponsor is a U.S. institution of higher education. ☐

12. For **each** sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip **and** its role in organizing and/or conducting the trip:
    Success Academy Charter Schools is a non-profit organization that manages high-performing charter schools in New York City. This trip will allow Congressional staffers to observe the innovative teaching and learning techniques employed inside Success Academy classrooms.

13. *Answer parts a and b. Answer part c if necessary.*
    a. Mode of travel: Air ☐ Rail ☑ Bus ☐ Car ☐ Other ☐ (Specify: _____)
    b. Class of travel: Coach ☐ Business ☐ First ☐ Charter ☐ Other ☐ (Specify: _____)
    c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (*signify that the statement is true by checking box*): ☐

15. I represent that either (*check one of the following*):
    a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☐ ***or***
    b. The trip involves events that are arranged specifically *with regard* to congressional participation: ☐
    If "b" is checked:
    1) Detail the cost per day of meals (approximate cost may be provided): $25.00
    2) Provide reason for selecting the location of the event or trip:
    Staffers will travel to a Success Academy school to observe classroom education. The school is located in New York City.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
    Hotel name: _____ City: _____ Cost per night: _____
    Reason(s) for selecting: _____
    Hotel name: _____ City: _____ Cost per night: _____
    Reason(s) for selecting: _____
    Hotel name: _____ City: _____ Cost per night: _____
    Reason(s) for selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (*signify that the statement is true by checking box*): ☒

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

☐ actual amounts ☐ good faith estimates	Total *Transportation* Expenses per Participant	Total *Lodging* Expenses per Participant	Total *Meal* Expenses per Participant
For each Member, Officer, or employee	$190.00		$25.00
For each accompanying relative			

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (*e.g.*, taxi, parking, registration fee, etc.)
For each Member, Officer, or employee		
For each accompanying relative		

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check one:
    a. I certify that I am an officer of the organization listed below. ☒ ***or***
    b. N/A – sponsor is an individual or a U.S. institution of higher education. ☐

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name: Emily Kim

Title: Executive Vice President of Policy and Legal Affairs

Organization: Success Academy Charter Schools

Address: 95 Pine Street, New York NY 10005

Telephone number: 646 545 6905

Email address: emily.kim@successacademies.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

Version date 4/2013 by Committee on Ethics

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Chairman
Linda T. Sánchez, California
Ranking Member

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Trey Gowdy, South Carolina
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ONE HUNDRED FOURTEENTH CONGRESS

U.S. House of Representatives

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Facsimile: (202) 225–7392

January 25, 2016

Mr. Nicholas Stewart
Office of the Honorable Richard Hanna
319 Cannon House Office Building
Washington, DC 20515

Dear Mr. Stewart:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to New York, New York, scheduled for January 29, 2016, sponsored by Success Academy Charter Schools. We remind you that, because the trip sponsor employs a federal lobbyist, you may participate in officially-connected activity on one calendar day only.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than $375 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Charles W. Dent
Chairman

Linda T. Sánchez
Ranking Member

CWD/LTS:kd

SUCCESS ACADEMY CHARTER SCHOOLS

T-PALS ITINERARY

4am: Departure from Washington D.C via Amtrak from New York City Penn Station

5-8am: Train travel

8am: Travel by car from Penn Station to Bronx 2 Middle School

9am: Arrival at Bronx 2 Middle School
- Introduction by Eva Moskowitz (CEO and Founder)
- Breakfast and coffee will be provided

10am-12pm: In-depth classroom observation (5th grade math class)

12pm: Lunch at BX 2 MS
- Dietary restrictions/preferences will be noted and honored.

1pm: School tour and classroom observation
- Complete school tour
- Additional classroom observations involving different grades/subjects

2pm-4pm: Post-tour Discussion
- Break-out meetings with teachers and Principal to discuss school design and teacher preparation model

4pm: Departure to Penn Station via Car for Amtrak to Washington, D.C.

The following is a list of ALL House Member employees who have been invited to this event pursuant to item 4 on the Primary Trip Sponsor Form issued by the U.S. House of Representatives Committee on Ethics.

Jamie Tricarico: Legislative director
Kyle Hill: Legislative Correspondent
Jordan Morris: Legislative Correspondent
David Bagby: Legislative Director
Jonathan Martinez: Congressional Aide
Myah Coleman: Legislative Advisor
Bridgette Dehart: Senior Legislative Counsel
Melissa Connolly: Legislative Assistant
Blaire Bartlett: Deputy Chief of Staff
Chris Gorud: Senior Legislative Assistant
Reba Raffaelli: Tax Counsel
Todd Sloves: Legislative Assistant
Lukogho Kasomo: Legislative Assistant/
Catherine Barnao: Legislative Assistant
Shira Siegel: Senior Legislative Assistant
Patrick Hester: Legislative Assistant
Nick Stewart: Legislative Assistant
Ted McCann: Staff Director
Barrett Carr: Policy Director
Emily Slack: Professional Staff Member
Mandy Schaumberg: Education Deputy Director and Senior Counsel
Leslie Tatum: Professional Staff Member
Jenny Prescott: Professional Staff Member
Amy Jones: Professional Staff Member
Rayna Reid: Professional Staff Member
Jared Bass: Professional Staff Member
Jacque Chevalier: Professional Staff Member
Jean Hinz: Chief of Staff
Cyrus Artz: Legislative Director
Mark Cruz: Legislative Director
Ashley Rose: Legislative Assistant
Dolores Gomez: Legislative Assistant
Bo Morris: Legislative Assistant
Shaniqua McClendon: Legislative Director
Andres Perez: Education Fellow