

2007 SEP 25 AM 10:40

Original Amendment

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

U.S. House of Representatives
110th Congress

EMPLOYEE
POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the annual Financial Disclosure Statements of those persons required to file them. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms publicly available as soon as possible after they are received. Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.

Name of Employee (print or type): DAVID J. MCCARTHY

Name of Accompanying Family Member (if any): NONE

Relationship to Employee: Spouse Child Other (specify): _____

Date of Departure and Date of Return: 09-20-07 to 09-21-07

Dates at Personal Expense: NONE

Itinerary (cities of departure - destination - return): WASHINGTON NATIONAL to INDIANAPOLIS, IN + return to D.C.

Sponsor(s) (who paid for the trip): INDIANA ENERGY ASSOC.

Describe meetings and events attended (attach additional pages if necessary): 2 day CONFERENCE ON CURRENT ISSUES AFFECTING ELECTRIC & GAS UTILITIES IN INDIANA

Attached to this form are EACH of the following (signify "yes" for each item by checking the corresponding box):

1. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
2. the Privately-Sponsored Travel Approval Form completed by the employee; and
3. the Committee on Standards' letter approving my participation on this trip.

I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box):

If not, explain: _____

TRAVEL EXPENSES:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For employee:	\$ 252.80	\$ 129. —	\$ 106. —
For accompanying family member:	—	—	—

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For employee:		Taxi in D.C. \$19 — CAR RENTAL \$74. —
For accompanying family member:	—	—

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF EMPLOYEE: David J. McCarthy DATE: 09-24-07

I authorized this travel in advance. I have determined that all of the expenses listed above were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: Joe Barton, Ranking Member

SIGNATURE OF SUPERVISING MEMBER: Joe Barton DATE: 9-24-07

Version date 4/2007 by Committee on Standards of Official Conduct

**U.S. House of Representatives
Committee on Standards of Official Conduct**

**PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)**

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): INDIANA ENERGY ASSOC.
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): DAVID J. MCCARTHY
MINORITY COUNSEL, HOUSE ENERGY & COMMERCE COMMITTEE
6. Dates of travel: Sep. 20-21, 2007
7. Cities of departure - destination - return: WASH., D.C. - Indianapolis, Wash., D.C.
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One-night's lodging and meals are being offered: or
 - b. Two-nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: SPONSOR
is host and organizer of the conference

13. Describe each sponsor's organizational interest in the purpose of the trip: To learn
perspectives on Congressional activity on Energy

14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: Budget Class, commercial, air transportation on
regularly scheduled flights

15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):

16. I represent that either (check one of the following):

a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or

b. The trip involves events that are arranged or organized specifically with regard to congressional participation:

If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____

17. Reason for selecting the location of the event or trip: selected location for
conference attendees

18. Name of hotel or other lodging facility: INDIANAPOLIS MARRIOTT NORTH

19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$129

20. Reason(s) for selecting hotel or other lodging facility: Conference site

21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	\$360 AIR Car Rental tax.	\$129	\$98.00
For each accompanying family member	NONE	NONE	NONE

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee		
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Harry L. Waite

Name and title: Harry L. Waite Asst. Secretary/Treasurer

Organization: INDIANA ENERGY ASSOCIATION

Address: 1600 ONE AMERICAN SQUARE, Bldg 1/s, IN 46282

Telephone number: 317 632 4406

Fax number: 317 262 4940

Email Address: KWAITE@INDIANAEENERGY.ORG

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 226-7172 (fax for travel approvals)

Version ante 4/2007 by Committee on Standards of Official Conduct

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY-SPONSORED TRAVEL APPROVAL FORM
For Members, Officers and Employees
(submit directly to the Committee)

This form should be completed by House Members, officers or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule XXV, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer or employee, together with the completed and signed Private Sponsor Travel Certification Form.

Members, officers and employees seeking approval for travel are urged to submit all forms to the Committee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. A copy of this form will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 226-7172.

1. Name of Member, officer or employee (traveler): David McCarthy

2. Sponsor(s) (who will be paying for the trip): Indiana Electric Association

3. a. Dates of travel: September 20-21, 2007
b. Will you be extending the trip at your personal expense? Yes No
If yes, dates at personal expense: _____

4. If travel is for participation a one-day event (per trip sponsor question 10), check one of the following:
a. Approval for one-night's lodging and meals is being requested: or
b. Approval for two-nights' lodging and meals is being requested:
If "b" is checked, explain why the second night is warranted: _____

5. Travel destination(s): Indianapolis, IN

6. Explain why participation in the trip is connected to your official or representational duties:
Event is the IEA 2007 Energy Conference. As Chief Counsel, Energy and Environment, I will deliver a speech

7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (signify "yes" by checking box):

8. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: David J. McCarthy

Name of Signatory (if other than traveler): _____

For staff, name of employing Member/Committee: Committee on Energy and Commerce

Office address: 2322A Rayburn HOB

Phone number: 202-225-3641

Email address: David.McCarthy@mail.house.gov

Committee staff may contact you if additional information is required.

FOR STAFF:

TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Joe Barton
Signature of Employing Member

Date: 9/7/07

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)
(202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

2007 Energy Conference

Indianapolis Marriott North
3645 River Crossing Parkway
Indianapolis, Indiana 46240

PRELIMINARY AGENDA

Thursday, September 20, 2007

10:30 a.m. – 3:00 p.m. – Delegate Registration Table Open

1:30 - 1:45 p.m. - Convening of Conference

1:45 – 2:45 p.m. – Opening Session – Keynote addresses:

- Jeff Sterba, Chairman of the Edison Electric Institute, Chairman, President and CEO, PNM Resources
- David McClanahan, First Vice Chair of the American Gas Association, President and CEO, CenterPoint Energy

2:45 – 3:00 p.m. – Break

3:00 – 4:00 p.m. – Concurrent Break-out Sessions

MISO/PJM

- IURC Chairman David Hardy, Moderator
- Mike Kormos, Director of Operations, PJM
- T. Graham Edwards, President & CEO, Midwest ISO

IGCC Clean Coal

- Fred Palmer, Senior Vice President, Peabody Coal Co.
- Norman Shilling, Carbon Leader Gasification, General Electric
- Dennis Zupan, Duke Energy

Pipeline Safety and Utility Relocation Rules Update

- Karen Butler, Pipeline Safety Hazardous Material Association
- John Scheidler, Associate General Counsel, Duke Energy Law Department

4:00 – 4:15 p.m. – Break

4:15 – 5:15 p.m. – Concurrent Break-out Sessions

Indiana Environmental Issues

- Stan Pinegar, Moderator
- The Honorable Beverly Gard, Chairman, Senate Energy & Environmental Affairs Committee
- Tom Easterly, Commissioner, IDEM

Facing the Hard Truths About Energy – The Report from the National Petroleum Council

- David K. Bellman, Director of Fundamental Analysis Corporate Planning & Budgeting, American Electric Power Co., Inc.

5:30 p.m. – 7:00 p.m. – The Chairman's Reception

2007 Energy Conference

Indianapolis Marriott North
3645 River Crossing Parkway
Indianapolis, Indiana 46240

PRELIMINARY AGENDA

Friday, September 21, 2007

7:45 a.m. – Breakfast Buffet

8:15 – 8:45 a.m. – Plenary Session I

- The Honorable Mitch Daniels, Governor of Indiana

8:45 – 9:45 a.m. – Plenary Session II

- Susan Macey - Report from the Office of Consumer Counselor
- Chairman David Hardy - Report from the Indiana Utility Regulatory Commission

9:45 – 10:00 a.m. – Break

9:30 – 10:00 a.m. – Plenary Session III

Congress Addresses Energy Issues

- David McCarthy, Chief Energy Counsel, House Energy & Commerce Committee

10:30 – 10:45 a.m. – Break

10:45 – 11:45 a.m. – Concurrent Break-out Sessions

Leading Issues in Energy Efficiency and Demand Response

- Ahmad Faruqui, Principal, The Brattle Group

The Future of Climate Change – The U.S. and Europe

- Jochem Moerkerken, Principal, Roland Berger Strategy Consultants - Europe
- Dennis Welch, Senior Vice President Environment and Safety, American Electric Power

11:45 a.m. – 1:30 p.m. – Closing Luncheon, Speaker and Awards

- Frank Luntz, National Political Pollster – *America's Political Landscape*
- Gas & Electric Safety Awards Presentation
- IEA Outstanding Service Award Presentation
- Delegate Door Prize Drawing

Adjournment

9-5-07

McCarthy, David

From: Ed Simcox/Kerry Waite [kwaite@indianaenergy.org]

Sent: Monday, June 18, 2007 5:41 PM

To: McCarthy, David

Subject: Message from Ed Simcox

David,

It was great talking with you this morning and I am delighted that you will be joining us for the annual energy conference. It will be like old times! Well, not maybe as old the John Myers days but at least as old as the early IEA days.

You will be speaking from 10:15 a.m. to 10:45 a.m. on Friday, September 21 under the heading *Report from Washington*. Dave, I won't tell you what should go into those remarks. There are so many hot topics before the Congress that by the time that September rolls around I know that you will have plenty to bring to us. I would like to have you go for twenty minutes and then leave 10 minutes for Q & A.

Remember also that I would like to invite you to have dinner with the board on Thursday evening following our President's Reception. The reception runs from 5:30 to 7:00 p.m. with dinner to follow at 7:15 p.m.

As an aside you will be interested to know that the governor will be speaking to our group from 8:15 to 9:00 a.m. on Friday followed by the Consumer Counselor Susan Macey and the IURC Chairman David Hardy. Following Hardy...and a short break...you will be the next speaker of the morning.

I will send you a complete program and itinerary as other aspects of the program come together.

Again, Dave, I can't thank you enough for working this out. We will, of course, cover all travel and overnight expenses. Kerry Waite will be your contact in this office for purposes of necessary audiovisual equipment or other speaking aides you might request. She will also work with you on travel – either advance or reimbursement – whichever your choose.

Ed

9/6/2007



THE COMMITTEE ON ENERGY AND COMMERCE

SPEAKING ENGAGEMENT FORM

To: Lyn Walker
From: **DAVID J. MCCARTHY**
Re: Speaking Engagement

Sep. 6, 2007
Date

Sponsor:	<u>INDIANA ELECTRIC ASSOC.</u>
Date(s) of Event:	<u>Sep. 20-21</u>
Location:	<u>INDIANAPOLIS MARRIOTT NORTH</u> <small>Name of Hotel/Convention Center/Office, City, State</small>
Topic:	<u>2007 ENERGY CONFERENCE</u> <small>Conference Topic and Panel Topic</small>
Other Speakers:	<u>see attached (it's not a panel)</u> <small>List of other speakers, title and company/agency affiliation</small>
Attendees:	<u>INDIANA ENERGY UTILITY EXEC'S.</u> <small>Type of people attending: Members, industry folks, etc. Attach a list of all attendees if available.</small>
Agenda:	<u>see attached</u> <small>Attach a copy of the conference agenda.</small>
Press:	<u>None</u> <small>Will press attend? If so, is it trade press, national press, etc?</small>
Comments:	<u></u> <small>Any additional information you feel will be useful.</small>

Attachments:

- 1: Attach a copy of the invitation you received asking you to be a speaker/panelist.
- 2: Attach a copy of the talking points you will use for your presentation.

Note: All requests are approved according to the guidelines listed in the Committee's Employee Handbook. (See pages 22-23)

Committee on Energy and Commerce
Advance Authorization of Employee Travel

Budget: _____

Off Budget: X _____

Name of Sponsor: INDIANA ENERGY ASSOCIATION

Date: Sept. 7, 2007

Approval is requested for travel by the following:

Staffer: DAVID J. MCCARTHY

Purpose: Speak

Dates of Event: Sep. 21, 2007

Dates of Travel: Sep. 20, 2007 - Sep. 21, 2007

Location of Event: INDIANAPOLIS, IN

Approximate Cost:	Per Diem:	_____
	Transportation:	<u>259</u>
	Rental Car (Y) <input checked="" type="checkbox"/> (N) <input type="checkbox"/>	<u>80</u>
	Other (Taxi, Parking): <u>Hotel</u>	<u>160</u>
	Total Approximate Cost:	<u>499</u>

Comments: _____

I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Approved in accordance with the Rules of the House and the Ethics Reform Act of 1989.

D J Pawbe

David Cavicke
Chief of Staff

John D. Dingell
Chairman

Joe Barton
Joe Barton
Ranking Member

STEPHANIE TUBBS JONES, OHIO
CHAIRWOMAN
GENE GREEN, TEXAS
LUCILLE ROYBAL-ALLARD, CALIFORNIA
MICHAEL F. DOYLE, PENNSYLVANIA
WILLIAM D. DELAHUNT, MASSACHUSETTS
WILLIAM V. O'REILLY,
CHIEF COUNSEL/STAFF DIRECTOR
DAWN KELLY MOBLEY,
COUNSEL TO THE CHAIRWOMAN

ONE HUNDRED TENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515-6328

September 17, 2007

DOC HASTINGS, WASHINGTON
RANKING REPUBLICAN MEMBER

JO BONNER, ALABAMA
J. GRESHAM BARRETT, SOUTH CAROLINA
JOHN KLINE, MINNESOTA
MICHAEL T. MCCAUL, TEXAS

TODD UNGERECHT
COUNSEL TO THE RANKING
REPUBLICAN MEMBER

SUITE HT-2, THE CAPITOL
(202) 225-7103

Mr. David McCarthy
Committee on Energy and Commerce
2322A Rayburn House Office Building
Washington, DC 20515

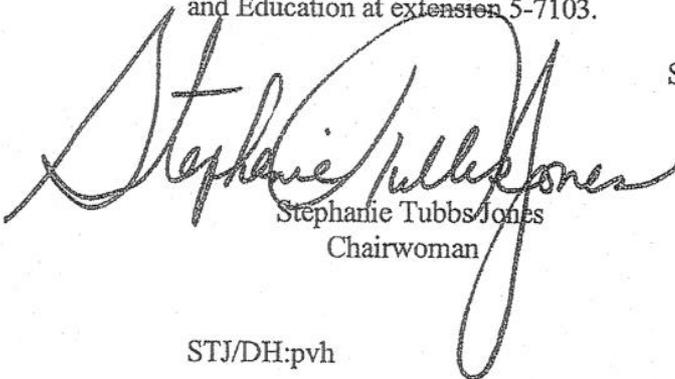
Dear Mr. McCarthy:

Pursuant to House Rule XXV, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip to Indianapolis, Indiana scheduled for September 20 to 21, 2007 sponsored by the Indiana Electric Association.

You must complete an Employee Travel Disclosure Form (which your employing Member must also sign) and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including all attachments. You must also include a copy of the Privately-Sponsored Travel Approval Form completed by you and signed by your employing Member. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than \$305 from a single source on Schedule VII of that statement.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,


Stephanie Tubbs Jones
Chairwoman


Doc Hastings
Ranking Republican Member

STJ/DH:pvh