☐ *Original* ☐ *Amendment*

LEGISLATIVE RESOURCE CENTER
2017 MAR -6 PM 2:45
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

U.S. House of Representatives
Committee on Ethics

MEMBER / OFFICER POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with House Rule 25, clause 5, you must **complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed.** Please **do not** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Congressman Stephen F. Lynch

2. a. Name of accompanying relative: _____ ***or*** None ☑
   b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____

3. a. Dates of departure and return: Departure: Feb 18 2017 Return: Feb 27 2017
   b. Dates at personal expense: Feb 26 2017 ***or*** None ☐

4. Departure city: Boston Destination: New Delhi & Hyderabad, India Return city: Boston

5. Sponsor(s) (who paid for the trip): Aspen Institute Congressional Program

6. Describe meetings and events attended (attach additional pages if necessary): please see attached conference agenda

7. Attached to this form are EACH of the following (*signify that each item is attached by checking the corresponding box*):
   a. ☑ a completed Sponsor Post-Travel Disclosure Form;
   b. ☑ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
   c. ☑ page 2 of the completed Traveler Form submitted by the Member or officer; ***and***
   d. ☑ the letter from the Committee on Ethics approving my participation on this trip.

8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (*Signify that statement is true by checking box*): ☑
   b. If not, explain: _____

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached Sponsor Post-Travel Disclosure Form were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: Stephen F. Lynch

DATE: 3-3-2017

Version date 2/2015 by Committee on Ethics

☐ *Original* ☐ *Amendment*

U.S. House of Representatives
Committee on Ethics

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. ***A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.*** You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip): The Aspen Institute, Inc. (Congressional Program)

2. Travel Destination(s): New Delhi and Hyderabad, India

3. Date of Departure: February 18, 2017 Date of Return: February 27, 2017

4. Name(s) of Traveler(s): Rep. Stephen Lynch
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)

5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total *Transportation* Expenses	Total *Lodging* Expenses	Total *Meal* Expenses	*Other* Expenses (dollar amount per item and description)
Traveler	$9,064	$1,445	$608	Visa: $124; Conference expense: $160
Accompanying Relative				

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (*Signify statement is true by checking box*): ■

. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Dan Glickman

Name: Dan Glickman Title: Vice President and Executive Director

Organization: The Aspen Institute, Inc (Congressional Program)

I am an officer of the above-named organization *(signify statement is true by checking box)*: ☐

Address: One Dupont Circle, NW
Washington, DC 20036

Telephone number: 202-736-5825

Email Address: lisa.jones@aspeninstitute.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

Version date 2/2013 by Committee on Ethics

U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Congressman Stephen F. Lynch
2. Sponsor(s) (who will be paying for the trip): Aspen Institute Congressional Program
3. Travel destination(s): New Delhi, India and Hyderabad, India
4. a. Date of departure Feb 18 2017 Date of return: Feb 27 2017
   b. Will you be extending the trip at your personal expense? ☑ Yes ☐ No
   If yes, dates at personal expense: February 26
5. a. Will you be accompanied by a relative at the sponsor's expense? ☐ Yes ☑ No
   b. If yes:
   (1) Name of accompanying relative: _____
   (2) Relationship to traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
   (3) Accompanying relative is at least 18 years of age: ☐ Yes ☐ No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (*i.e.*, travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? ☐ Yes ☑ No
   b. If yes, explain why the second night of lodging is warranted:
7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☑ Yes ☐ No
   NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.
   The Aspen Institute's Conference on "India's Emergence and Development Challenges: Policy Implications for the U.S." covers public policy issues of relevance to Congressman Lynch's duties as Ranking Member of the Committee on Oversight and Government Reform's
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? ☐ Yes ☑ No
10. **FOR STAFF TRAVELERS:**
    TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 1-17-2017

Signature of Employing Member

8. (cont.) Subcommittee on National Security as well as his duties as a Ranking Member on the Financial Services Committee Task Force to Investigate Terrorism Financing.

U.S. House of Representatives
Committee on Ethics

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form ***at least 30 days before the start date of the trip***. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip): The Aspen Institute, Inc. (Congressional Program)

2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (*signify that the statement is true by checking box*): ■

3. *Check only one:* I represent that:
   a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip ☐ ***or***
   b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds ☐ ***or***.
   c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ■
   If "c" is checked, list the names of the additional sponsors: Gates, Luce, Democracy Fund, Rockefeller Brothers Fund, MacArthur foundations

4. Provide names and titles of **ALL** House Members and employees you are inviting. **For each House invitee, provide an explanation of why the individual was invited** (include additional pages if necessary):

   See attached list

5. Is travel being offered to an accompanying relative of the House invitee(s)? ■ Yes ☐ No

6. Date of departure: Feb. 18, 2017 Date of return: Feb. 26, 2017

7. a. City of departure: Washington, DC or district
   b. Destination(s): New Delhi, India and Hyderabad, India
   c. City of return: Washington, DC or district

8. I represent that (*check one of the following*):
   a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ ***or***
   b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ■ ***or***
   c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐

9. Check one of the following:
   a. I checked 8(a) or (b) above: ■
   b. I checked 8(c) above but am not offering any lodging: ☐
   c. I checked 8(c) above and am offering lodging and meals for one night: ☐ ***or***
   d. I checked 8(c) above and am offering lodging and meals for two nights: ☐
   If "d" is checked, explain why the second night of lodging is warranted:

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (*i.e.*, an hourly description of planned activities for trip invitees) (*indicate agenda is attached by checking box*): ■

11. Check one:
    a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (*signify that the statement is true by checking box*): ■ ***or***
    b. N/A – trip sponsor is a U.S. institution of higher education. ☐

12. For **each** sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip **and** its role in organizing and/or conducting the trip:

    Aspen Institute's Congressional Program (AICP) provides non-partisan educational forums for members of Congress on public policy issues. AICP has organized and will conduct the conference and has selected and invited the attendees. The foundations listed in Question 3C provide the grants to AICP and are interested in congressional education regarding "India's Emergence and Development Challenges:Policy Implications for the U.S."

13. *Answer parts a and b. Answer part c if necessary.*
    a. Mode of travel: Air ■ Rail ☐ Bus ☐ Car ☐ Other ☐ (Specify: _____)
    b. Class of travel: Coach ☐ Business ■ First ☐ Charter ☐ Other ☐ (Specify: _____)
    c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (*signify that the statement is true by checking box*): ■

15. I represent that either (*check one of the following*):
    a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☐ ***or***
    b. The trip involves events that are arranged specifically *with regard* to congressional participation: ■

    If "b" is checked:
    1) Detail the cost per day of meals (approximate cost may be provided): $105
    2) Provide reason for selecting the location of the event or trip: India provides a central meeting point for international scholars and provides the opportunity for sites visits to view the impact of US-funded projects in India.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

    Hotel name: Imperial Hotel City: Delhi Cost per night: $291
    Reason(s) for selecting: Hotel has required technology and meeting space with security and food safety a key factor.

    Hotel name: Taj Hotel City: Hyderabad Cost per night: $125
    Reason(s) for selecting: Hotel has required technology and meeting space with security and food safety a key factor.

    Hotel name: _____ City: _____ Cost per night: _____
    Reason(s) for selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (*signify that the statement is true by checking box*): ■

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

☐ actual amounts ■ good faith estimates	Total *Transportation* Expenses per Participant	Total *Lodging* Expenses per Participant	Total *Meal* Expenses per Participant
For each Member, Officer, or employee	$7,000	$1,445	$608
For each accompanying relative	$7,000	-0-	$608

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (*e.g.*, taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	$284	Visa $124; Meeting room rental $160
For each accompanying relative	$284	Visa $124; Meeting room rental $160

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check one:
    a. I certify that I am an officer of the organization listed below. ■ ***or***
    b. N/A – sponsor is an individual or a U.S. institution of higher education. ☐

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ■

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Dan Glickman

Name: Dan Glickman

Title: Vice President, Aspen Institute; Executive Director, Congressional Program

Organization: The Aspen Institute, Inc.

Address: 1 Dupont Circle, NW, Washington, DC 20036

Telephone number: 202-736-5859 (Lisa Jones)

Email address: lisa.jones@aspeninst.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

Version date 4/2013 by Committee on Ethics

U.S. House of Representatives
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. **Provide a copy of your completed form to the primary sponsor of the trip.**

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): The Bill & Melinda Gates Foundation has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
   ■ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (*complete a or b*):
   a. ■ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. *or*
   b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to (destination) _____ on (date) _____ that is being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
   a. ■ My organization does not employ or retain a registered federal lobbyist or foreign agent *or*
   b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ■
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Katy M. Button

Name: Katy Button Title: Senior Program officer

Organization: The Bill & Melinda Gates Foundation

Address: P.O. Box 6178, Franklin Station, Washington, DC 20044

Telephone number: 202-662-8122 Email: Katy.button@gatesfoundation.org

If there are any questions regarding this form please contact the Committee at the following address:

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U.S. House of Representatives
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Washington, DC 20515
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NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): The Henry Luce Foundation has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
   ■ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (*complete a or b*):
   a. ■ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. *or*
   b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to (destination) _____ on (date) _____ that is being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
   a. ■ My organization does not employ or retain a registered federal lobbyist or foreign agent *or*
   b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ■
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Toby Alice Volkman

Name: Toby Alice Volkman Title: Secretary + Director of Policy Initiatives

Organization: The Henry Luce Foundation

Address: 51 Madison Ave., New York, NY 10010

Telephone number: 212-489-7700 Email: tvolkman@hluce.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
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Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. **Provide a copy of your completed form to the primary sponsor of the trip.**

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Democracy Fund has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
   ☒ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute
3. I certify that my organization (*complete a or b*):
   a. ☒ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. ***or***
   b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to (destination) _____ on (date) _____ that is being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
   a. ☒ My organization does not employ or retain a registered federal lobbyist or foreign agent ***or***
   b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name: Joseph Goldman Title: President

Organization: Democracy Fund

Address: 1333 New Hampshire Ave, Suite 730, Washington DC 20036

Telephone number: 202-465-8525 Email: jgoldman@democracyfund.org

If there are any questions regarding this form please contact the Committee at the following address:

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U.S. House of Representatives
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Washington, DC 20515
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Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

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NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Rockefeller Brothers Fund has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service. ■ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (*complete a or b*):
   a. ■ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. ***or***
   b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to (destination) _____ on (date) _____ that is being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
   a. ■ My organization does not employ or retain a registered federal lobbyist or foreign agent ***or***
   b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ■
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: [signature]

Name: STEPHEN HEINTZ Title: PRESIDENT

Organization: Rockefeller Brothers Fund

Address: 475 Riverside Drive, New York, NY 10115

Telephone number: 212-812-4389 Email: sheintz@rbf.org

If there are any questions regarding this form please contact the Committee at the following address:

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U.S. House of Representatives
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GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. **Provide a copy of your completed form to the primary sponsor of the trip.**

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): The MacArthur Foundation has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
   ☒ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (*complete a or b*):
   a. ☒ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation, ***or***
   b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to

      (destination) _____ on (date) _____ that is being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
   a. ☒ My organization does not employ or retain a registered federal lobbyist or foreign agent ***or***
   b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name: Joshua J. Mintz Title: Vice President & General Counsel

Organization: The John D. and Catherine T. MacArthur Foundation

Address: 140 S. Dearborn St.; Chicago, IL 60603

Telephone number: 312 920 6250 Email: jmintz@macfound.org

If there are any questions regarding this form please contact the Committee at the following address:

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U.S. House of Representatives
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Washington, DC 20515
(202) 225-7103 (phone)
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Susan W. Brooks, Indiana
Chairwoman
Theodore E. Deutch, Florida
Ranking Member

Patrick Meehan, Pennsylvania
Trey Gowdy, South Carolina
Kenny Marchant, Texas
Leonard Lance, New Jersey

Yvette D. Clarke, New York
Jared Polis, Colorado
Anthony Brown, Maryland
Steve Cohen, Tennessee

ONE HUNDRED FIFTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

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Staff Director and Chief Counsel

Donna Herbert
Director of Administration

Sheria A. Clarke
Counsel to the Chairwoman

Daniel J. Taylor
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515–6328
Telephone: (202) 225–7103
Facsimile: (202) 225–7392

February 21, 2017

The Honorable Stephen F. Lynch
U.S. House of Representatives
2268 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to India, scheduled for February 18 to 27, 2017, sponsored by the Aspen Institute, with financial support from the Bill & Melinda Gates Foundation, the Henry Luce Foundation, the Democracy Fund, the Rockefeller Brothers Fund, and the MacArthur Foundation. We note that this trip includes one day at your personal expense.

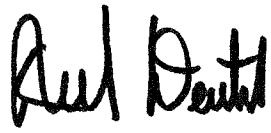
You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than $390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act (FGDA), gifts "of minimal value [currently $390] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of minimal value received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments filed with the Committee, and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Susan W. Brooks
Chairwoman



Theodore E. Deutch
Ranking Member

SWB/TED:wfs

India's Emergence and Development Challenges: Policy Implications for the U.S.

THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM
February 18-26, 2017
New Delhi and Hyderabad - India

SATURDAY, February 18:
American participants travel to India
Rep. Stephen Lynch departs Boston @ 10:55 am on Emirates Airlines flight # 240.

SUNDAY, February 19:
All participants arrive in New Delhi
Rep. Stephen Lynch arrives in Delhi @ 2:45 pm on Emirates Airlines flight # 516.

6:30-8:30 pm *Working Dinner*
Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

MONDAY, February 20:
8:00 am *Breakfast Meeting for Conference Scholars*

7:30-9:00 am *Breakfast Buffet is open*

9:00 am **INTRODUCTION AND FRAMEWORK**
***Dan Glickman**, Executive Director, Aspen Institute Congressional Program*

9:15 am *Roundtable Discussion*
INDIA'S POVERTY, INEQUALITY, FOOD & POPULATION CHALLENGES: THEIR GLOBAL IMPACT AND CONSEQUENCES
With 1.3 billion people, population growth puts enormous pressures on the country's resources. Nearly one-third of India's population are urban dwellers, which puts considerable strain on water resources and sanitation, with dire consequences for health. Despite great progress on some health issues, India accounts for 22 percent of child deaths worldwide. One in 17 children die before the age of five and 43 percent of children under age five are underweight. This session will discuss the progress India has made in reducing poverty rates and improving health conditions and will examine the challenges that remain.

- What is the scope of U.S. aid to India and does it adequately support U.S. foreign policy objectives? What other forms of economic cooperation characterize U.S.-India relations?
- Many people point to developments in agriculture and health as examples of success in foreign aid programs to India. To what do we attribute this success and can it be replicated elsewhere?

- India's growing economy provides it with resources to help alleviate poverty in which a large number of Indians live. To what extent does U.S. aid policy take India's wealth into account?
- Violence against women in India has received a lot of publicity. To what extent is it a factor in meeting these challenges?

***Hari Menon**, India Director for Poverty Alleviation,*
Bill & Melinda Gates Foundation, New Delhi
***Anurhada Gupta**, Deputy CEO, GAVI*

11:00 am *Break*

11:15 am *Roundtable Discussion*

INDIA'S RISING ECONOMIC CLOUT AND GLOBAL ROLE: THE NEXUS OF GROWTH AND POVERTY AND ITS RELEVANCE TO U.S. POLICY

India has been described as two countries – one with growing economic vitality and global influence and one with incredible rates of poverty and development challenges. India's Gross Domestic Product is nearly $2 trillion but per capita income is just $1,500. More than 21 percent of its population lives in extreme poverty. This session will explore the economic and political implications of India's growing importance for its own development and that of South Asia.

- How does the dichotomy between India as a rising economic power and India with its significant development challenges manifest itself in terms of governance, budgets, and social and economic polices?
- With its growing population, a significant proportion of which is in extreme poverty, how will India be able to sustain its economic growth and address its development challenges?
- The United States has begun helping countries reform their tax systems in order to free up more domestic funding for development. How will the new Goods and Services Tax (GST) imposed on commercial transactions affect India's revenues? To what extent can India's reformed tax system be used to support its own development? What role does the proposed Corporate Social Responsibility Tax play? *(The new CSR self-administered tax requires Indian and foreign companies meeting certain profit thresholds to spend no less than 2 percent of their net profits toward remediating social problems, such as hunger, poverty, public health, education, gender inequality, environment, and cultural initiatives and the arts.)*

***Sachin Chaturvedi**, Director General, Research &*
Information System for Developing Countries, New Delhi
***Daniel Twining**, Counselor, Asia Director, German Marshall Fund, USA*

1:00-2:00 pm *Working Luncheon*

Discussion continues between Members of Congress and scholars on the challenges for U.S. policy regarding India's poverty, inequality, food and population challenges.

2:00-4:00 pm *Individual Discussions*

Members of Congress and scholars meet individually to discuss U.S. foreign policy. Scholars available to meet individually with Members of Congress for in-

depth discussion of ideas raised in the morning sessions include Hari Menon, Sachin Cahaturvedi, and Daniel Twining.

6:000-8:30 pm *Working Dinner*

Members of the Indian Parliament will join Members of Congress for a reception and dinner. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

TUESDAY, February 21:

7:30 am *Breakfast Remarks*

THE U.S.-INDIA RELATIONSHIP: BOLSTERING U.S. GLOBAL INTERESTS

- U.S. and Indian relations have fluctuated over the years. To what does one attribute this current period of stronger ties and cooperation? What do both countries need to do to sustain this alliance?
- What are the prospects for increased commerce between the U.S. and India?
- The United States and India have an existing security agreement. What are its terms and implications for security cooperation, particularly around terrorist activities?
- What are the implications for the U.S. and India of potential changes in U.S. immigration that could impact issuance of H-1B visas?
- What is the role of development assistance in the U.S.-India relationship?
- To what extent are public-private partnerships a factor in furthering development goals?

***MaryKay Loss Carlson**, Deputy Chief of Mission, U.S. Embassy, New Delhi*
***Idris Diaz**, Acting USAID Mission Director, U.S. Embassy, New Delhi*

9:00 am *Roundtable Discussion*

INDIA'S FUTURE OUTLOOK

The scale of India's poverty and potential prosperity have global implications. Its population is expected to exceed that of China's making it the most populous nation on earth in just over a decade, with commensurate pressures on food security and regional resources. The U.S. relationship with India has grown considerably in the last 15 years, and India is now described as one of its most important bilateral partners. The two countries have found themselves collaborating more often on a full range of issues and trade flows have increased markedly. Despite improved relations, a number of frustrations remain with regard to trade issues and relations with Pakistan and Afghanistan.

- What are the greatest political and economic challenges India faces?
- To what extent does discord with Pakistan affect the region's economic growth?
- What are the implications for the United States if relations between India and Pakistan continue to deteriorate?

***Tim Roemer**, former U.S. Ambassador to India and former Member of Congress*

10:30 am Session concludes
Members of Congress board bus

11:00 am *Meeting with India's Prime Minister*
Joined by Members of Congress in the Goodlatte CODEL
RELATIONS BETWEEN THE U.S. AND INDIA
***Narendra Modi**, Prime Minister*

Noon: Members of Congress board bus to return to the hotel

12:15 pm *Working Luncheon*
Discussion continues between Members of Congress and scholars on the challenges for U.S. policy regarding India's energy challenges and future outlook.

1:15 pm *Roundtable Discussion*
INDIA'S ENERGY NEEDS IN THE CONTEXT OF CLIMATE CHANGE & DEVELOPMENT
With over 300 million Indians lacking access to electricity, the demand of India's population for energy and its status as the world's third largest carbon emitter (after China and the U.S.) have implications for the country's development. India's National Action Plan on Climate Change commits that by 2030 it will reduce the carbon intensity of its economy by 30 to 35 percent and that 40 percent of its electricity will come from non-fossil fuels, such as wind and solar. At the same time, nuclear power is the fourth leading source of electricity. Air pollution in India has recently spiked to seriously unsafe levels; accenting the degree of energy production's contribution to this health crisis. This session will explore how India can address its energy needs and climate change commitments and their effects on economic growth.

- How will India's growing demand for power and its recent commitments in the Paris agreement on climate change affect its economy? What are the implications for India if the new U.S. administration backs away from the global climate agreement?
- Will India's adoption of wind, solar, and nuclear energy options (most of which will be supplied by American sources) be sufficient to address its needs?
- How will India deal with displacing coal as an energy source?
- In some areas of the world, farmers are recognizing the effects of climate change and using adaptation approaches. To what extent is climate change affecting Indian agriculture?
- What are the implications of the proposed natural gas pipeline from Iran to India? Does it have any relevance to the United States?

***Brahma Chellaney**, Centre for Policy Research, New Delhi*
***Tanvi Madan**, Director, The India Project, The Brookings Institution*

3:15 pm *Afternoon session concludes*

5:30-6:30 pm *Pre-Dinner Remarks*
A CONTEMPORARY PERSPECTIVE ON INDIA
Young Indian leaders will explain their perspectives on India, its potential, its role in the world, and its relationship with the U.S.

***Sumeeta Banerji**, Director, Democratic Governance Program, UN Development Program*
***Arunabha Ghosh**, CEO, Council on Energy, Environment and Water*
***Rohit Kansal**, Director Ministry of New and Renewable Energy*
***Manoj Kumar**, CEO, Naandi Foundation*
***Sathya Raghu Mokkapati**, Co-Founder, Cosmos Green, Hyderabad*
***Aditya Natraj**, Founder and Director, Kaivalya Education Foundation*
***Gunjan Shah**, Partner, Shardul Amarchand Mangaldas & Co.*

6:30-8:30 pm *Working Dinner*
Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

WEDNESDAY, February 22:

7:30 am *Breakfast Remarks*

POLIO'S ERADICATION IN INDIA:
A REMARKABLE SUCCESS STORY WITH GLOBAL IMPLICATIONS

In the 1980s there were approximately 350,000 cases of polio worldwide, and nearly half of those were in India. A remarkable campaign was undertaken to vaccinate 172 million children twice a year and community organizers spread the news about the virtues of hand-washing, greater hygiene, sanitations and breastfeeding, along with routine, vigorous immunization practices. Dr. Ghosh will explain the details of the multilateral efforts involved in this successful campaign and its global relevance.

- How did India eradicate polio? What was the scope of the investment, over what period of time?
- Does it require continued vigilance?
- What lessons can be learned from India's eradication of polio that can be applied to combatting other vaccine-preventable diseases?

***Rajshankar Ghosh**, India Director for Vaccines and Infectious Diseases, Bill & Melinda Gates Foundation*

9:00 am *Roundtable Discussion*

INDIA'S ROLE IN THE REGION AND GLOBALLY: THE CHALLENGES OF TERRORISM, EXTREMISM, AND NUCLEAR NONPROLIFERATION

The United States and India increasingly cooperate on military, security and counterterrorism issues. At home, India has suffered from its own terrorist attacks. Its growing military might make it a strategic partner in South Asian geopolitical stability. India's stability and regional economic influence affect the ability of its neighbors to reach some of their own development goals and are seen as a hedge against China's growing influence. India plans to spend billions over the next decade to upgrade is mostly Soviet-era arsenal, which raises the profile of U.S. arms sales.

- India's volatile relationship with Pakistan has been a long-term problem. Both countries devote significant resources to their militaries and intelligence

services. To what extent does this conflict hold both countries back in terms of economic growth?

- What is the nature of the U.S. role working with India on security and nuclear issues?
- How would you describe U.S.-India cooperation on these issues? Where are the challenges and how can they be overcome?
- What is the China factor in India and the region?
- What are the causes and consequences of the conflict in Kashmir, and what are the likely outcomes?

***Alyssa Ayres**, Council on Foreign Relations*
***Constantino Xavier**, Fellow, Carnegie India, New Delhi*

11:00 am *Break*

11:15 am *Roundtable Discussion*
INDIA'S DEVELOPMENT CHALLENGES AND THEIR RELEVANCE TO THE U.S.

India's strong economic growth has moved more than 100 million people since 2001 from the ranks of extreme poverty into low income status. Yet, many development challenges remain particularly with regard to health, water, sanitation, and energy.

- What are the prospects for increasing the standard of living for India's poorest?
- How dependent is India on foreign assistance, particularly from the U.S., to further its development goals?
- Do girls and women have equal access to educational opportunities?
- What factor is the average nutritional intake in the overall health of India's people?
- How does the national identification number help combat poverty? *(The Unique Identification Authority of India began issuing a unique identification number, similar to a U.S. Social Security Number, to all citizens and residents of India in 2010. The project gives each Indian citizen a unique 12 digit identification number, along with recording their biometrics such as iris scan and fingerprints on a database.)*

***Baijayant Panda**, Member of the Indian Parliament*
***Shashi Tharoor**, Chairman of the Foreign Affairs Committee, Indian Parliament*

1:00 pm *Setting the Stage for the Afternoon Site Visit*
***Anand Rudra**, Senior Water & Sanitation Advisor, USAID, New Delhi*

1:15-1:45 pm *Working Luncheon*
Discussion continues between Members of Congress and scholars on the challenges for U.S. policy regarding India's poverty, inequality, food and population challenges.

2:00-4:00 pm *Educational Site Visit*
TACKLING SANITATION CHALLENGES IN A NEW DELHI SLUM

This site visit is limited to Members of Congress due to capacity concerns

We will visit an urban slum and see firsthand how USAID is assisting the government of India to address the challenges of sanitation.

USAID is providing technical expertise at a level of $20 million and sharing global best practices with India's Ministry of Urban Development, which has committed $100 million. This partnership supports India's aspiration to provide clean water and sanitation services to all of India's low income populations through the Swachh Bharat Mission (Clean India Campaign). USAID support targets behavior changes such as hand washing, providing appropriate treatment for children with diarrhea, and/or reducing open defecation (which is a public health problem that India's Prime Minister Modi has identified as a national priority). USAID supports the Center for Urban and Regional Excellence (CURE) to connect unserved urban areas to water and sanitation infrastructure. CURE plans to reach out to over 40,000 households in approximately 60 slums, setup 4,000 individual toilets, and provide safe drinking water to 10,000 households.

We will arrive at an inner city slum in Delhi—which serves a population of 2,500 in a densely populated area. The visit will include an overview of the program, conducted by CURE. The group will walk through the crowded slum and see community toilets and shower facilities and discuss with residents the challenges they face and the improvements these facilities have made in their lives. Community leaders and recipients will field questions. This site will require splitting the overall delegation into at least 2-3 groups and having each group visiting a separate slum.

- What evidence is there that programs like this one can be scaled up across India?
- To what extent do India's sanitation problems have regional or global impact?
- What types of behavior change are necessary for this program to be successful?
- Do sanitation programs like these prevent illnesses and help young children and adolescents from missing time in school?

***Alok Das Gupta**, Team Leader, USAID Urban WASH Alliance Partner*
***Anand Rudra**, Senior Water & Sanitation Advisor, USAID India*

6:30-8:30 pm *Working Dinner*
Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

THURSDAY, February 23:

8:00-9:00 am	*Breakfast Buffet is open*
9:30 am	Luggage will be loaded in separate luggage van for transfer to the airport
11:00 am	Depart hotel for the airport
Noon	Arrive at the airport
2:05 pm	Depart New Delhi on Indigo Airlines nonstop flight to Hyderabad

4:10 pm Arrive in Hyderabad

4:45 pm Split into two groups to visit different Dr. Water distribution sites near the airport

5:15 pm *Educational Site Visits*

POTABLE WATER ACCESS ASSISTED WITH USAID-FUNDED PROJECT

Access to clean drinking water throughout India is a major problem. Traditional solutions to provide potable water such as boreholes and water delivered by tanker trucks are viable options for water access, but they can easily become compromised and are not long-term solutions. *WaterHealth,* a USAID-supported initiative, enables the delivery of affordable clean water to tens of thousands of households in some of India's most vulnerable settlements. Each *WaterHealth* dispensary costs approximately $37,000 and 400 of these are now in operation throughout India, with plans to expand to 1,000 more in the next three years.

We will travel to see a *WaterHealth* Center, a clean water dispersal system, where customers purchase 20 liters of water at a time at a cost of roughly 30 cents. The average family generally accesses this service every other day. *WaterHealth* Centers deliver a scalable and sustainable solution to purify any source of water to international-quality drinking water standards. Representatives will be on site from *WaterHealth* and USAID to discuss their strategy and how they are addressing the need of providing clean drinking water to people in India. We will also have a chance to speak with users about the importance of having quick and reliable access to clean drinking water.

- What is the extent of USAID and Indian support for this program?
- How long have these centers existed, and what are the outcomes, particularly with regard to health?
- Is this a market-driven approach which can be sustainable without outside support?
- What types of health benefits do programs like these offer families, especially those with young children?
- Are individuals able to re-sale the water and make a profit on their own?
- Is the cost an impediment to access? How are the prices set?
- Given the scale of the challenge, is this a realistic way to serve the need?

***Nandini Dasgupta**, Director, WaterHealth India*

5:45 pm Site visits conclude, board buses and proceed to hotel

6:30 pm Arrive at hotel

7:00-9:00 pm *Working Dinner*

Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

FRIDAY, February 24:

7:00-8:15 am *Breakfast Buffet is open*

8:15-8:40 am *Setting the Stage for the Morning Site Visits*

Christoph Benn, *External Affairs Director, The Global Fund*
Alwin de Greeff, *Disease Fund Manager, The Global Fund*
Anna Sarkissian, *Senior Program Officer, The Global Fund*

8:30 am Depart hotel, with on-board commentary provided by accompanying scholars to ensure that Members of Congress have an understanding of the challenges of HIV/AIDS in India and are prepared for the upcoming site visits to the Community Center and Fernandez Maternal Hospital. We will split into two groups with one going to each site.

9:15 am *Educational Site Visits*

COMBATTING THE GLOBAL CHALLENGE OF HIV/AIDS ON INDIA'S FRONTLINE

The delegation will divide into two groups and spend time with two separate Global Fund to Fight AIDS, Tuberculosis, and Malaria recipients: Alliance India and SAATHI (Solidarity and Action Against the HIV Infection in India.) One group will visit the Fernandez Maternal Hospital and a second group will go to a community site where social support services are provided to pregnant, HIV positive women.

The Global Fund is a multilateral organization that receives U.S. support. The United States contributed $9 billion from 2002 to 2013 and has pledged an additional $4 billion through 2016. The Global Fund's mission is to accelerate the end of AIDS, tuberculosis and malaria as epidemics. Founded in 2002, it is a partnership between governments, civil society, the private sector and people affected by the diseases. The Global Fund raises and invests nearly $4 billion per year to support programs run by local experts in countries and communities most in need. It is attributed with saving millions of lives and providing prevention, treatment and care services to hundreds of millions of people.

With an estimated 2.4 million individuals living with HIV/AIDS, India ranks third, next to South Africa and Nigeria, in number of HIV cases. The HIV epidemic in India is concentrated with a high prevalence among most at-risk populations including sex workers, intravenous drug users, homosexuals, and male-to-female transgender people.

The first group will visit a community center and meet with people living with HIV. We will hear individuals tell their stories and better understand what role these care and support programs play in helping people who live with HIV/AIDS. We will also talk with community outreach workers, who will demonstrate how they track the medical records of up to 300 patients on an electronic tablet, which allows them an efficient way to track daily dosages and ensure adherence to the HIV medication regime. Prevention of Mother To Child Transmission of HIV (PMTCT) is an important focus.

The second group will visit the Fernandez Maternity Hospital and talk with hospital staff about the role of PMTCT and its effectiveness. We will also have the opportunity to speak with HIV positive mothers and their HIV negative babies and hear their stories. We will hear how the Global Fund is helping to ensure that women who are HIV positive do not pass the disease along to their babies before, during and after childbirth. We will talk with hospital administrators and hear from mothers and pregnant women who have successfully been through the PMTCT program. In India, less than 30 percent of the estimated 43,000 HIV positive pregnant women receive PMTCT services. SAATHII, working in partnership with the Indian government, is implementing the country's largest preventive care program since 2002.

- What are India's policies with regard to social services for HIV positive women?
- How is India addressing its very high prevalence rate among sex workers, drug users, and LGBT communities?
- What is the difference between programs supported by the Global Fund and those supported by the President's Emergency Plan for AIDS Relief (PEPFAR)? How do the Global Fund and PEPFAR work together?
- What type of stigma still exists in India regarding those who suffer from HIV/AIDS? Is there a difference in stigma between the urban and rural areas? Do women feel compelled to conceal HIV/AIDS from their husbands and families?
- How has the Global Fund helped strengthen health systems in India?
- Through PMTCT, can India completely eliminate children being born with HIV?

***Sonal Mehta**, Director for Policy and Programs, India HIV/AIDS Alliance*
***Joson Meloot**, Program Director,*
Solidarity and Action Against the HIV Infection in India

11:15 am Arrive back at hotel

12:00-1:00 pm *Luncheon Discussion*

HIGH TECH WORKFORCE OPPORTUNITIES FOR INDIAN WOMEN

India has a low female workforce participation rate of 24 percent, comparable to levels in the Middle East and North Africa. If India could increase this percentage, it would help raise the country's Gross Domestic Product, as well as enrich the lives of countless Indian women. Girls in Tech is a San Francisco based organization whose purpose is to promote the advancement of women in technology. Their India operation opened just over one year ago, based in Hyderabad, which is home to some of the major American high-tech firms such as Google and Microsoft. India Director Sree Divy Vadlapudi will give an overview of the prospects for women in technology in India and draw broader implications for the country and society.

- What are the most significant challenges facing young women in India?
- What are the limitations for women in India's workforce?
- What can be done to address the inequality of opportunities, and does the U.S. have a role?

- To what extent to U.S. companies provide high-skilled job opportunities for women in India?
- How does improving the gender gap in India's tech workforce impact local communities and families?

***Sree Divya Vadlapudi**, Managing Director, Girls in Tech, India*

1:00-1:20 pm *Setting the Stage for the Afternoon Site Visits*

***Vinay Chawla**, Director, Abraaj Capital, Dubai*

1:45 pm Break into two subgroups and each group departs hotel

2:00 pm *Educational Site Visit*

INDIA'S HEALTH CARE SUCCESS AND CHALLENGES

One group will visit a CARE hospital and the second group will visit a CARE clinic to learn about a new private initiative funded in part by the U.S.-supported Overseas Private Investment Corporation (OPIC).

The CARE Hospitals Group is a multispecialty healthcare provider, with 15 hospitals serving seven cities across six states of India. The regional leader in tertiary care in South/Central India and among the top four pan-Indian hospital chains, CARE Hospitals deliver comprehensive care in more than 30 specialties in tertiary care settings. Each of the two groups will have a briefing from the CARE Hospitals team and have the opportunity to tour various parts of the hospital or clinic. Along the way, there will be interactions with staff, key administrators and patients. CARE charges patients according to their income—the affluent pay more, which subsidizes the poor. No one is turned away who seeks medical help.

This CARE hospital is an alternative development model arranged by a private equity firm, Abraaj, based in Dubai, which sees health care delivery in India as a profit-making venture. The hospital and clinic in Hyderabad are part of the firm's $1 billion healthcare fund focused on building hospitals, clinics, and diagnostic labs in specific cities across Africa and South Asia to increase access and affordability of healthcare. The fund is one of the largest pools of 'impact capital' in the world – with investors including governments, foundations, corporations, institutional investors, and private individuals. This model is an example of several key trends: the shift India is making from a developing nation to an emerging economy; the growing transition from foreign assistance to hybrid investment-driven models to tackle development challenges; and the increasing collaboration between diverse stakeholders – government, nonprofit, businesses, and financial firms in delivering outcomes.

- OPIC has provided $150 million in financing to support Abraaj's initiatives in South Asia and sub-Saharan Africa. How does Abraaj support the work of these CARE facilities in Hyderabad? Are there any U.S. companies involved?
- What makes this model unique and what is the chance it can be replicated?
- Does its success depend on U.S. taxpayer investment? Are U.S. funds at risk? Will this be a self-sustaining profitable model?

- Given India's severe challenges with regard to maternal and child health issues, how are CARE hospitals better positioned to improve basic health conditions?
- What is the overall impact to the workforce and economy in providing world-class access to healthcare in India? How large can examples such as CARE scale-up to address needs throughout India?

***Dr. Gopi Krishna**, Medical Superintendent, CARE Hospitals*
***Raajiv Singhal**, Director, Healthcare Operations, The Abraaj Group*

3:00 pm Depart hospital and clinic

3:15 pm Return to hotel

6:30-8:30 pm *Working Dinner*
Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

SATURDAY, February 25:

7:00-8:30 am *Breakfast Buffet is open*

8:30 am Depart hotel, with on-board commentary provided by accompanying scholars to ensure that Members of Congress have an understanding of the agricultural development situation in India and are prepared for the upcoming site visit to the ICRISAT Center.

9:30 am *Educational Site Visit*

THE ROLE OF AGRICULTURAL RESEARCH IN MEETING GLOBAL FOOD SECURITY CHALLENGES

ICRISAT (International Crops Research Institute for the Semi-Arid Tropics) receives annual support of $15.3 million from the US, which is one-fourth of its annual budget. ICRISAT is an international non-profit organization that undertakes scientific research for agricultural development. It has projects with several American universities including UC/Davis, the University of Georgia, and Kansas State University. It is a member of the CGIAR* consortium, a global agricultural research partnership whose goal is to maximize funding coordination. ICRISAT uses an "Inclusive Market Oriented Development" approach. Inclusive includes all farmers (including women and youth as well) in developing solutions. Market Oriented Development focuses research and development efforts on making farming profitable, helping move farmers from subsistence to commercial operators. With a focus on the drylands, ICRISAT specializes on six crops that survive in these harsh climates: chickpea, pigeonpea, groundnut, sorghum, pearl millet, and finger millet. For these mandated crops, ICRISAT builds special expertise across the whole value chain – conserving, analyzing, breeding, understanding farm management practices, processing and agribusiness

opportunities. * The CGIAR (formerly known as the Consultative Group for International Agricultural Research) System Organization and its members form the largest international agricultural research network in the world, uniting research organizations for a food-secure future. With an annual operating budget of over $1 billion per year and funding provided by 34 countries, multilateral institutions and foundations, and over 10,000 staff of its 15 Centers operating in more than 70 countries, CGIAR is a complex organization that offers opportunities for large scale economies.

India has two percent of the world's arable land and serves an astonishing 17-20 percent of the world's population. Agriculture contributes nearly 13 percent to India's $2 trillion economy and employs about two-thirds of its 1.2 billion people. ICRISAT's Senior Manager Murli Sharma will explain how the results of the Green Revolution decades ago and improved agriculture yields "saved India, and how the United States is a big part of that story."

The delegation will have a briefing on ICRISAT and its role in agriculture development in India and Africa and tour adjacent research fields, facilities and laboratories, where questions can be fielded throughout. There will be a lunch with further discussion with researchers. The delegation will drive 30 minutes to a rural village setting and meet with small-holder farmers who are benefiting from ICRISAT's training and agriculture expertise.

- India's role on the cutting edge of the Green Revolution's scientific advances greatly improved its ability to feed itself. Yet, there are some quarters of Indian society that reject current scientific approaches in the form of genetic engineering. How does ICRISAT cope with this dichotomy?
- After two years of severe drought, India has started to import food again. When will India reach a sustainable basis of food production given India's projected population growth and the challenges facing global agriculture?
- How applicable are lessons learned here in Hyderabad to global food security challenges?
- Is genetic engineering a factor in ICRISAT's success?
- How essential is U.S. support for this multilateral initiative?

***Dr. David Bergvinson**, Director General, ICRISAT*
***Murli Sharma**, Senior Manager, ICRISAT*

11:00 am Visit field plot with commentary by ICRISAT researchers and nearby farmers

12:15 pm *Working Lunch at ICRISAT*

1:15 pm Depart ICRISAT

2:15 pm Arrive at hotel

3:30 pm Depart hotel for airport

Rep. Stephen Lynch departs Hyderabad @ 6:45 pm on Air India 840 and arrive in New Delhi @ 8:55 pm, at personal expense, and overnights at personal expense.

SUNDAY, February 26:

Rep. Stephen Lynch departs Delhi @ 9:25 pm on Emirates Airline flight # 515.

MONDAY, February 27:

Rep. Stephen Lynch arrives in Boston @ 7:40 am on Emirates Airline flight # 239.

Answer to Question 4 – House Ethics Primary Trip Sponsor Form
Aspen Institute – India Conference – 2017

4. Provide names and titles of all House members you are inviting. For each House invitee, provide an explanation of why the individual was invited.

The invitees reflect a bipartisan delegation representing different geographical areas of the country, having various committee assignments with relevance to U.S. policy issues dealing with diplomacy and development in India. These Members have an interest in deeper analysis with the benefit of American and Indian analysts.

1. Rep. Don Beyer – VA – Science, Space and Technology Committee
2. Rep. Earl Blumenauer – OR – Ways and Means Committee
3. Rep. Jim Cooper – TN – Armed Services Committee
4. Rep. Suzan DelBene – WA – Agriculture Committee
5. Rep. Charlie Dent – PA – Appropriations Committee
6. Rep. Dan Donovan – NY – Foreign Affairs Committee
7. Rep. Anna Eshoo – CA – Energy and Commerce Committee
8. Rep. Elizabeth Esty – CT – Science, Space and Technology Committee
9. Rep. Jeff Fortenberry – NE – Appropriations Committee
10. Rep. John Garamendi – CA – Armed Services Committee
11. Rep. Andy Harris – MD – Appropriations Committee
12. Rep. Hank Johnson – GA – Armed Services Committee
13. Rep. Dan Kildee – MI – Financial Services Committee
14. Rep. Darin LaHood – IL – Science, Space and Technology Committee
15. Rep. Zoe Lofgren – CA – Judiciary Committee
16. Rep. Alan Lowenthal – CA – Foreign Affairs Committee
17. Rep. Stephen Lynch – MA – Oversight and Government Reform Committee
18. Rep. Dave McKinley – WV – Energy and Commerce Committee
19. Rep. Patrick Meehan – PA – Ways and Means Committee
20. Rep. Kathleen Rice – NY – Homeland Security Committee
21. Rep. Mark Sanford – SC – Budget Committee
22. Rep. Jan Schakowsky – IL – Energy and Commerce Committee
23. Rep. Brad Schneider – IL – Foreign Affairs Committee
24. Rep. David Valadao – CA – Appropriations Committee
25. Rep. Peter Welch – VT – Energy and Commerce Committee

India's Emergence and Development Challenges: Policy Implications for the U.S.

THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM

February 18-26, 2017 New Delhi & Hyderabad (4 nights in Delhi; 2 nights in Hyderabad)

****DRAFT AGENDA OVERVIEW****

SATURDAY, February 18:
10:25am Depart Dulles (IAD) on Emirates #232

SUNDAY, February 19 (Overnight in New Delhi):
8:10am Arrive in Dubai
10:00am Depart Dubai on Emirates #516
2:45pm Arrive in New Delhi

MONDAY, February 20 (Overnight in New Delhi):
Morning Roundtable Discussions (9am-1pm)
INDIA'S POVERTY, INEQUALITY, FOOD & POPULATION CHALLENGES:
THEIR GLOBAL IMPACT AND CONSEQUENCES
Rajiv Shah, former USAID Administrator
Hari Menon, India Director for Poverty Alleviation, Bill & Melinda Gates Foundation
INDIA'S RISING ECONOMIC CLOUT AND GLOBAL ROLE: THE NEXUS OF GROWTH AND POVERTY AND ITS RELEVANCE TO U.S. POLICY
Sachin Chaturvedi, Director General, Research & Information System for Developing Countries, New Delhi
Daniel Twining, Director, Asia Program, German Marshall Fund

Reception/Dinner: joined by Indian parliamentarians

TUESDAY, February 21 (Overnight in New Delhi):
Breakfast Remarks
THE U.S.-INDIA RELATIONSHIP:
BOLSTERING U.S. GLOBAL INTERESTS
MaryKay Loss Carlson, Deputy Chief of Mission,
Jonathan Addleton, USAID Mission Director;
U.S. Embassy, New Delhi (invited)

Morning Roundtable Discussions (9am-1pm)
INDIA'S ENERGY NEEDS IN THE CONTEXT OF CLIMATE CHANGE & DEVELOPMENT
Brahma Chellaney, Centre for Policy Research, New Delhi
Tanvi Madan, Director, the India Project, the Brookings Institution
INDIA'S FUTURE OUTLOOK
Tim Roemer, former U.S. Ambassador to India and former Member of Congress

AFTERNOON MEETING WITH INDIA'S PRIME MINISTER NARENDRA MODI *(requested)*

Pre-Dinner Remarks: **A CONTEMPORARY PERSPECTIVE ON INDIA,** Indian Young Leaders

WEDNESDAY, February 22 (Overnight in Delhi):
Breakfast Remarks
POLIO'S ERADICATION IN INDIA: A REMARKABLE SUCCESS STORY WITH GLOBAL IMPLICATIONS
Rajshankar Ghosh, India Director, Vaccines/Infectious Diseases, Bill & Melinda Gates Foundation

Morning Roundtable Discussions (9am-1pm)
INDIA'S ROLE IN THE REGION AND GLOBALLY: THE CHALLENGES OF TERRORISM, EXTREMISM, AND NUCLEAR NONPROLIFERATION
Alyssa Ayres, Council on Foreign Relations
Constantino Xavier, Associate, Carnegie India, New Delhi
INDIA'S DEVELOPMENT CHALLENGES AND THEIR RELEVANCE TO THE U.S.
Nandan Nilekani, former Chair of the Unique Identification Authority (invited)
Shashi Tharoor, Chairman of the Foreign Affairs Committee, Member of Parliament

SITE VISIT: Sanitation Challenges in an Urban Slum National Hygiene Project supported by USAID

THURSDAY, February 23 (Overnight in Hyderabad):
TRANSIT TO HYDERABAD
11:00am Depart hotel
Noon Arrive at airport
2:05pm Flight to Hyderabad on Indigo Airlines #6E679
4:10pm Arrive at Hyderabad
6:00pm Arrive at hotel; dinner

FRIDAY, February 24 (Overnight in Hyderabad):
SITE VISITS: USAID effort to dispense clean water; Maternal hospital treating HIV/AIDS patients (Global Fund); CARE hospital supported by OPIC
Luncheon Remarks:
HIGH TECH OPPORTUNITIES FOR INDIAN WOMEN
Sree Divy Vadlapudi, Girls in Tech, India

SATURDAY, February 25:
SITE VISIT: USAID-supported ICRISAT International Ag Research Center
5:00pm Dinner at hotel; 6:00pm Depart hotel for airport
7:30pm Check in; 9:20pm Depart on Emirates #529;
11:59pm Arrive in Dubai

SUNDAY, February 26:
2:25am Depart Dubai on Emirates #231
8:10am Arrive at Dulles (IAD) in the U.S.

THE ASPEN INSTITUTE

Dan Glickman

Executive Director

Congressional Program

November 17, 2016

The Honorable Stephen Lynch
U.S. House of Representatives
Washington, DC 20515

Dear Stephen:

I would like to invite you and Margaret to participate in a congressional conference on ***India's Emergence and Development Challenges: Policy Implications for the U.S.,*** February 18-26, 2017, **in New Delhi and Hyderabad, India,** during the likely dates of the February recess. We have limited space and must hear from you proactively as soon as possible if you wish to participate.

Within the next decade India will surpass China to be the most populous country, and it is now described as one of the most important bilateral relations for the U.S. We will examine the dichotomy of its economic growth and potential while one-fourth of its 1.3 billion people live in extreme poverty without access to proper sanitation or reliable electrical power. We will also review the regional security concerns of this nuclear power. Site visits will showcase examples of U.S. development assistance to support policy interests. The agenda is attached.

We will have a mix of contributors from India as well as prominent American scholars to assist our discussions. Attendance is by invitation only, with no outside observers, lobbyists, or congressional staff. Funding is provided solely by grants from foundations—no government, individual, foreign, corporate or special interest funds are accepted.

Under guidelines established by the House Committee on Standards of Official Conduct, we will provide you with a signed Private Sponsor Travel Certification Form affirming that no lobbyists fund this activity, that the Aspen Institute does not hire or retain lobbyists, and that no lobbyists will be present. Our purpose is education, not advocacy.

If your accompanying spouse or family member is a registered lobbyist, under the ethics rules of the House, their participation would not be allowed. Guidance from the Committee will not allow us to accept any enrollments less than one month prior to the conference.

Departure will be on Saturday, February 18th and we will return on Sunday, February 26th. Should you accept the invitation, it is required that you participate through the duration of the conference.

One Dupont Circle, NW

Suite 700

Washington, DC 20036-1133

PH 202.736.5825

FX 202.785-2737

www.aspeninstitute.org

Expenses for you and Margaret, including business class airfare on a carrier with whom we have negotiated a fare, lodging and meals will be paid by the Aspen Institute Congressional Program. Under the ethics rules, no expenses are covered for entertainment or recreation. Congressional participants are limited to only one accompanying family member.

The Aspen Institute, an independent, nonprofit organization founded in 1950, provides a nonpartisan, neutral forum for leaders to discuss critical issues. The Institute's Congressional Program is designed to promote leadership on selected public policy issues in the Congress by bringing legislators together with internationally-recognized scholars and analysts in high-level discussion. Since the program's inception, over 410 Members of Congress have participated in the 131 conferences we have conducted.

Enclosed is a fact sheet on the program. In addition to answering any questions you may have, it has proven to be useful in responding to public and press inquiries.

Additionally, we hold breakfast meetings in the Capitol throughout the year to keep Members of Congress up-to-date between our annual conferences. We hope you will be able to participate in the extended educational offerings of our breakfast series.

If you have any questions, please feel free to call me at 202-736-5825. I hope you and Margaret will join us for this important conference.

Sincerely,

Dan

Dan Glickman

Enclosures

CONGRESSIONAL PROGRAM

FACT SHEET

The Aspen Institute Congressional Program is a nongovernmental, nonpartisan educational program for members of the U.S. Congress. It provides lawmakers with a stronger grasp of critical public policy issues by convening high-level conferences in which legislators are brought together with internationally recognized academics, experts and leaders to study their ideas and to explore various policy alternatives. Political neutrality is essential to the educational mission of the program. There is no identification with a political or party viewpoint and no endorsement of specific legislation. Its Executive Director is former Agriculture Secretary Dan Glickman. Conferences in 2016 included a focus on China, the Middle East, and a meeting between members of Congress and members of the Russian Duma and the German Bundestag. We concurrently had a project on U.S. foreign assistance for congressional staff.

- **Participation.** Since the program's inception, 429 members of Congress (including 35% of the current Congress), 194 international parliamentarians, political and government leaders, and 921 scholar/experts have been involved. Participation is limited to these individuals, their spouses and foundation representatives. No lobbyists, congressional staff or outside observers are permitted.

- **Funding.** Since its inception, the Congressional Program has been funded solely by established foundations. Funding is not accepted from lobbyists, governments, corporations, private citizens or special interests; honoraria are not paid to members of Congress or scholars. Legislators feel free to engage openly because of their confidence in the integrity of the program. In 2017, funding is provided by the following foundations: the Asia Foundation, Carnegie Corporation (foundation) of New York, the Democracy Fund, the Ford Foundation, the Bill and Melinda Gates Foundation, the William and Flora Hewlett Foundation, the Henry Luce Foundation, the John D. and Catherine T. MacArthur Foundation, the Rockefeller Foundation, Rockefeller Brothers Fund, and Sasakawa Peace Foundation, USA.

- **Ethics.** The Congressional Program is in full compliance with the rules adopted by the House in 2007, and also conforms to provisions in the "Honest Leadership and Open Government Act of 2007." Lobbyists have no role whatsoever: they do not plan, fund, or participate in program activities. The Aspen Institute does not employ or retain registered lobbyists. All conference agendas are reviewed by the House and Senate Ethics committees.

- **Venues.** Members of Congress face massive demands on their time. Convening conferences outside Washington gives lawmakers an opportunity to devote time to serious learning and reflection without interruption. To supplement the annual conferences, 20-25 breakfast meetings are held each year in the Capitol featuring distinguished scholars and international experts with both academic and practical experience on program topics.

Dan Glickman, Executive Director
(202) 736-5825
www.aspeninstitute.org/congressional