

U.S. House of Representatives
Committee on Ethics

MEMBER / OFFICER POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Congressman Mo Brooks
2. a. Name of accompanying relative: Martha Brooks *or* None
 b. Relationship to Traveler: Spouse Child Other (specify): _____
3. a. Dates of departure and return: Departure: March 28, 2016 Return: April 4, 2016
 b. Dates at personal expense: _____ *or* None
4. Departure city: Huntsville, AL Destination: Beijing, China Return city: Huntsville, AL
5. Sponsor(s) (who paid for the trip): Aspen Institute
6. Describe meetings and events attended (attach additional pages if necessary): The meetings at the conference provided non-partisan educational forums on public policy issues.
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. a completed Sponsor Post-Travel Disclosure Form;
 - b. the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
 - c. page 2 of the completed Traveler Form submitted by the Member or officer; *and*
 - d. the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
 b. If not, explain: _____

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached Sponsor Post-Travel Disclosure Form were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: Mo Brooks

DATE: 04/08/2016

U.S. House of Representatives
Committee on Ethics

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. *A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.* You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

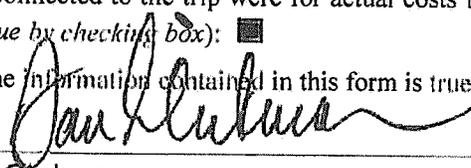
NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip): Aspen Institute Congressional Program
2. Travel Destination(s): Beijing, China and Nanjing, China
3. Date of Departure: March 28, 2016 Date of Return: April 4, 2016
4. Name(s) of Traveler(s): Morris Brooks and Martha Brooks
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)
5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)
Traveler	\$6,200	\$1,140	\$570	\$140 (visa)
Accompanying Relative	\$6,200	-0-	\$570	\$140 (visa)

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (Signify statement is true by checking box):

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 
 Name: Dan Glickman Title: Executive Director, Congressional Program
 Organization: Aspen Institute

I am an officer of the above-named organization (signify statement is true by checking box):

Address: 1 Dupont Circle, NW, Suite 700, Washington, DC 20036

Telephone number: 202-736-5825

Email Address: dan.glickman@aspeninstitute.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed trip sponsor form(s) and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. *Form (and any attachments) may be faxed to the Committee at (202) 225-7392, sent or delivered to the Committee at 1015 Longworth, or e-mailed to travel.requests@mail.house.gov.*

YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS THAN 30 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE. Absent exceptional circumstances, permission will not be granted for requests received less than 30 days before the trip commences. You must receive explicit approval from the Committee before you depart on this trip.

Name of Traveler: Congressman Mo Brooks

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

Signature: Kelly Zams

Name of signatory (if other than traveler): Kelly Zams

For staff, name of employing Member or committee: Office of Congressman Mo Brooks

Office address: 1230 LHOB, Washington, DC

Telephone number: 202-225-4801

Email address of contact person: kelly.zams@mail.house.gov

- Check this box if the sponsoring entity is a media outlet, the purpose of the trip is to make a media appearance sponsored by that entity, and these forms are being submitted to the Committee less than 30 days before the trip departure date.

NOTE: You must complete all of the contact information fields above, as Committee staff may need to contact you if additional information is required.

KEEP A COPY OF THIS FORM. Page 2 (but not this page) must be submitted to the Clerk as part of the post-travel disclosure required by House Rule 25. Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting paperwork for three subsequent Congresses from the date of travel.

If there are any questions regarding this form please contact the Committee:

Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)
Travel email: travel.requests@mail.house.gov

U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Congressman Mo Brooks
2. Sponsor(s) (who will be paying for the trip): Aspen Institute Congressional Program
3. Travel destination(s): China
4. a. Date of departure 3/28/2016 Date of return: 4/4/2016
b. Will you be extending the trip at your personal expense? Yes No
If yes, dates at personal expense: _____
5. a. Will you be accompanied by a relative at the sponsor's expense? Yes No
b. If yes:
(1) Name of accompanying relative: Martha Brooks
(2) Relationship to traveler: Spouse Child Other (specify): _____
(3) Accompanying relative is at least 18 years of age: Yes No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (*i.e.*, travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? Yes No
b. If yes, explain why the second night of lodging is warranted:

7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes No
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.
Congressman Mo Brooks is on the House Armed Services Committee and Foreign Affairs Committee. This conference provides non-partisan educational forums for Congressmen on public policy issues.
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? Yes No

10. **FOR STAFF TRAVELERS:**

TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 02/22/2016

Mo Brooks

Signature of Employing Member

**U.S. House of Representatives
Committee on Ethics**

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip): _____
Aspen Institute Congressional Program
2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box):
3. Check only one: I represent that:
 - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip *or*
 - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds *or*,
 - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.If "c" is checked, list the names of the additional sponsors: _____
Carnegie, Luce, Democracy Fund, Rockefeller Brothers, and MacArthur foundations
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):
See attached list
5. Is travel being offered to an accompanying relative of the House invitee(s)? Yes No
6. Date of departure: March 28, 2016 Date of return: April 4, 2016
7. a. City of departure: Washington, DC or district
b. Destination(s): Beijing, China and Nanjing, China
c. City of return: Washington, DC or district
8. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: *or*
 - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: *or*
 - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
9. Check one of the following:
 - a. I checked 8(a) or (b) above:
 - b. I checked 8(c) above but am not offering any lodging:
 - c. I checked 8(c) above and am offering lodging and meals for one night: *or*
 - d. I checked 8(c) above and am offering lodging and meals for two nights:If "d" is checked, explain why the second night of lodging is warranted: _____

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box):

11. Check one:

a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box): or

b. N/A – trip sponsor is a U.S. institution of higher education.

12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:

AICP provides non-partisan educational forums for members of Congress on public policy issues. AICP has organized and will conduct the conference and has selected and invited the attendees. The foundations provide grants to AICP and are interested in congressional education regarding "Co-Existing with a Rising China: US Economic, Security and Environmental Challenges." As grant making sponsors, these organizations had no role in developing and organizing the conference or selecting the participants.

13. Answer parts a and b. Answer part c if necessary.

a. Mode of travel: Air Rail Bus Car Other (Specify: _____)

b. Class of travel: Coach Business First Charter Other (Specify: _____)

c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box):

15. I represent that either (check one of the following):

a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or

b. The trip involves events that are arranged specifically *with regard* to congressional participation:

If "b" is checked:

1) Detail the cost per day of meals (approximate cost may be provided): _____
\$115 - Beijing; \$75 - Nanjing

2) Provide reason for selecting the location of the event or trip: _____
Beijing and Nanjing facilitate participation by Chinese and other Asian experts on the program.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel name: Waldorf Astoria Beijing City: Beijing Cost per night: \$245

Reason(s) for selecting: It offers conference services required including technology and meeting space. Facility security was a key factor including private meeting and dining rooms.

Hotel name: Intercontinental Nanjing City: Nanjing Cost per night: \$135

Reason(s) for selecting: It offers conference services required including technology and meeting space. Facility security was a key factor including private meeting and dining rooms.

Hotel name: _____ City: _____ Cost per night: _____

Reason(s) for selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box):

18. **TOTAL EXPENSES FOR EACH PARTICIPANT:**

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	\$6600	\$1,140	\$570
For each accompanying relative	\$6600	-0-	\$570

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$140	visa
For each accompanying relative	\$140	visa

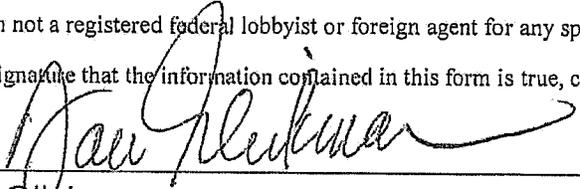
NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check one:

- a. I certify that I am an officer of the organization listed below. *or*
 b. N/A – sponsor is an individual or a U.S. institution of higher education.

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name: Dan Glickman

Title: Executive Director, Congressional Program

Organization: The Aspen Institute

Address: 1 Dupont Circle, NW, Washington, DC 20036

Telephone number: 202-736-5825

Email address: dan.glickman@aspeninstitute.org;

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
 U.S. House of Representatives
 1015 Longworth House Office Building
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)

Answer to Question 4 on the House Ethics Private Sponsor Form

1. Rep. Mo Brooks, member, Foreign Affairs Committee
2. Rep. Bradley Byrne, member, Armed Services Committee
3. Rep. Katherine Clark, member, Committee on Science, Space and Technology
4. Rep. Gerald Connolly, member, Foreign Affairs Committee
5. Rep. Diana DeGette, member, Energy and Commerce Committee
6. Rep. Suzan DelBene, member, Judiciary Committee
7. Rep. Ted Deutch, member, Foreign Affairs Committee
8. Rep. Sam Farr, member, Committee on Appropriations
9. Rep. Jeff Fortenberry, member, Committee on Appropriations
10. Rep. John Garamendi, member, Armed Services Committee
11. Rep. Andy Harris, member, Committee on Appropriations
12. Rep. Dan Kildee, member, Financial Services Committee
13. Rep. Rick Larsen, member, Armed Services Committee
14. Rep. Barbara Lee, member, Committee on Appropriations
15. Rep. Zoe Lofgren, member, Judiciary Committee
16. Rep. David Price, member, Committee on Appropriations
17. Rep. Peter Roskam, member, Ways and Means Committee

U.S. House of Representatives
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Carnegie Corporation of New York
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
 Yes No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (complete a or b):
 - a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. *or*
 - b. Has had a direct role in the organizing, planning, or conducting of a trip to
(destination) _____ on (date) _____ that is
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
 - a. My organization does not employ or retain a registered federal lobbyist or foreign agent *or*
 - b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Deana Arsenian

Name: Deana Arsenian

Title: VP, International Program

Organization: Carnegie Corporation of New York

Address: 437 Madison Ave., New York, NY, 10022

Telephone number: 212-207-6283

Email: DA@Carnegie.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

U.S. House of Representatives
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): The Henry Luce Foundation
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
 Yes No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (complete a or b):
 - a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
 - b. Has had a direct role in the organizing, planning, or conducting of a trip to
(destination) _____ on (date) _____ that is
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
 - a. My organization does not employ or retain a registered federal lobbyist or foreign agent or
 - b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:

Toby Alice Volkman

Name:

Toby Alice Volkman Title: *Secretary + Director of Policy Initiatives*

Organization:

The Henry Luce Foundation

Address:

51 Madison Ave., New York, NY 10010

Telephone number:

212-489-7700

Email:

t.volkman@hluce.org

If there are any questions regarding this form please contact the Committee at the following address:

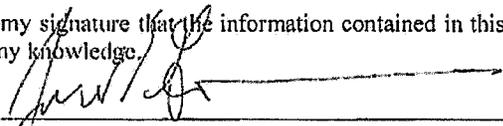
Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
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U.S. House of Representatives
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

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1. I certify that (name of your organization): Democracy Fund
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
 Yes No
2. Name of Primary Trip Sponsor: Aspen Institute
3. I certify that my organization (complete a or b):
a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
b. Has had a direct role in the organizing, planning, or conducting of a trip to
(destination) _____ on (date) _____ that is
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
a. My organization does not employ or retain a registered federal lobbyist or foreign agent or
b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.
- Signature: 
- Name: Joseph Goldman Title: President
- Organization: Democracy Fund
- Address: 1333 New Hampshire Ave, Suite 730, Washington DC 20036
- Telephone number: 202-465-8525 Email: jgoldman@democracyfund.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
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GRANTMAKING TRIP SPONSOR FORM

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NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Rockefeller Brothers Fund
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
 Yes No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (complete a or b):
a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
b. Has had a direct role in the organizing, planning, or conducting of a trip to
(destination) _____ on (date) _____ that is
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
a. My organization does not employ or retain a registered federal lobbyist or foreign agent or
b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name: STEPHEN HEINTZ

Title: PRESIDENT

Organization: Rockefeller Brothers Fund

Address: 475 Riverside Drive, New York, NY 10115

Telephone number: 212-812-4389

Email: sheintz@rbf.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
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This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. **Provide a copy of your completed form to the primary sponsor of the trip.**

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): The MacArthur Foundation
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
 Yes No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (*complete a or b*):
- a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. *or*
- b. Has had a direct role in the organizing, planning, or conducting of a trip to
(destination) _____ on (date) _____ that is
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
- a. My organization does not employ or retain a registered federal lobbyist or foreign agent *or*
- b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge. *7*

Signature: _____

Name: Joshua J. Mintz Title: Vice President & General Counsel

Organization: The John D. and Catherine T. MacArthur Foundation

Address: 140 S. Dearborn St.; Chicago, IL 60603

Telephone number: 312 920 6250 Email: jmintz@macfound.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

Charles W. Dent, Pennsylvania
Chairman
Linda T. Sánchez, California
Ranking Member

Patrick Meehan, Pennsylvania
Trey Gowdy, South Carolina
Susan W. Brooks, Indiana
Kenny Marchant, Texas

Michael E. Capuano, Massachusetts
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ONE HUNDRED FOURTEENTH CONGRESS

U.S. House of Representatives

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March 17, 2016

The Honorable Morris “Mo” Brooks
U.S. House of Representatives
1230 Longworth House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your and your spouse’s proposed trip to China, scheduled for March 28 to April 4, 2016, sponsored by the Aspen Institute, with financial support from the Carnegie Corporation, the Henry Luce Foundation, Democracy Fund, the Rockefeller Brothers Fund, and the MacArthur Foundation.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$375 from a single source on the “Travel” schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act (FGDA), gifts “of minimal value [currently \$375] tendered as a souvenir or mark of courtesy” by a foreign government. Any tangible gifts valued in excess of minimal value received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,



Charles W. Dent
Chairman



Linda T. Sánchez
Ranking Member

CWD/LTS:kd

Agenda

Co-Existing with a Rising China: U.S. Economic, Security, and Environmental Challenges

March 28-April 4, 2016
Beijing and Nanjing, China

MONDAY, March 28:

American participants travel to China

Rep. Mo Brooks and Mrs. Brooks depart Huntsville, Alabama @ 5:45 am on American Flight #5705 and arrive in Beijing the next day on American Flight #263.

TUESDAY, March 29

Participants arrive in Beijing late afternoon through early evening

Rep. Mo Brooks and Mrs. Brooks arrive in Beijing at 2:45 pm.

7:30-9pm

Working Dinner

Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and legislators are rotated daily.

WEDNESDAY, March 30

9am –1pm

Roundtable Discussion

FINANCIAL ENGAGEMENT, TRADE, ECONOMY

China is the world's second largest economy. China and the U.S. together account for nearly 35 percent of global Gross Domestic Product. Economic interdependence is the underlying stable framework of U.S.-China relations, with China dependent on exports to the U.S. and the U.S. reliant on China's purchase of its debt. Bilateral trade is now at a level of \$600 billion annually. China is the largest foreign debt holder for the U.S., at \$1 trillion. This economic foundation of engagement between countries with fundamentally different political systems is a stabilizing force, albeit one with some uneasiness. The change in valuation of China's currency triggered a sell-off in global stock markets last August.

- **How does China's economy affect the U.S. and the world?**
- **What are the links between U.S.-China economic engagement and security issues?**
- **What are China's prospects for economic growth and why does it matter?**
- **What are the policy implications of the continued U.S.-China trade deficit?**

- **What are the implications of China not being part of the Trans-Pacific Partnership?**
- **Do cybersecurity and copyright protection concerns jeopardize U.S.-China economic engagement?**
- **Does China's increasing wealth carry commensurate global responsibilities?**
- **How will the continued growth of China's middle class change China, and why does it matter to the U.S.?**
- **What are the prospects for China's currency to become convertible and what would be the global economic consequences?**

Arthur Kroeber, Managing Director, GaveKal Dragonomics, Editor, China Economic Quarterly

David Daokui Li, Director, Center for China in the World Economy, Tsinghua University,

Monetary Policy Committee, People's Bank of China

He Fan, Chief Economist, Caixin Insight Group

Hu Shuli, editor, Caixin magazine

1-2:30pm

Luncheon Remarks

**THE ROLE OF CHINA'S NEW
ASIAN INFRASTRUCTURE INVESTMENT BANK**

Jin Liqun, President, Asian Infrastructure Investment Bank

2:30-4pm

Individual Discussions

Members of Congress and scholars meet individually to discuss U.S.-China policy related to the U.S. economy and trade between the two countries. Scholars available to meet individually with members of Congress for in-depth discussions raised in the morning and luncheon sessions include Arthur Kroeber, David Daokui Li, He Fan and Hu Shuli.

5:45-6:45pm

Pre-Dinner Remarks

CINEMA AS AN INSIGHT INTO CONTEMPORARY CHINA

Representatives of China's film industry will discuss how contemporary cinema reflects the social, economic and cultural ties between the U.S. and China.

6:45-8:45pm

Working Dinner

Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and legislators are rotated daily.

THURSDAY, March 31

9am-1pm

Roundtable Discussion

ENERGY AND ENVIRONMENT RESOURCES

China and the U.S., the two largest emitters of carbon, recently announced an agreement to reduce emissions. China gets two-thirds of its electricity from burning coal. Coal burning for home heating purposes in northern China reportedly takes an average of 5.5 years off a person's life, and over 1.2 million

Chinese die prematurely annually from air pollution. In an effort to lessen its coal dependency, in addition to massive expansion of solar and wind energy, China plans to nearly double its nuclear energy capacity with 24 new plants under construction, mostly utilizing American technology and suppliers, with a goal of nuclear energy providing 20 percent of its electrical needs by 2030. China is also expected to increase its consumption of natural gas to offset coal production and become a major importer of liquefied natural gas, which could provide a huge opportunity for a new U.S. export commodity. Meanwhile, China's global thirst for energy, water, metals, food products, and strategic minerals to support the rising living standards of its immense population—one-fifth of the world—positions it as a resource competitor globally.

- **How severe are China's environmental challenges and what are their global implications?**
- **How will China and the U.S. implement their commitments to reduce emissions?**
- **Does China's "Cap and Trade" system on carbon emissions have relevance for the U.S.?**
- **How serious is China's commitment to renewable energy sources?**
- **Will China's water crisis have a global impact?**
- **Is China's appetite for resources a motivation for its development strategy in Africa?**
- **What is the future food security profile for China and its global impact?**

Hal Harvey, Director, Energy Innovation

Jiang Lin, Senior Vice President, China Strategy, Energy Foundation

1-2:00pm *Working Lunch*

2:30-3:30pm **MEETING WITH CHINA'S PRIME MINISTER**

Li Keqiang

6-7pm *Pre-Dinner Discussion with Beijing-based non-governmental organizations*

A CHANGING STATUS FOR FOREIGN ENTITIES IN CHINA

A new draft law could significantly change the terms for foreign entities operating in China. Non-governmental organizations (NGOs) will have to find a government sponsor and register with the state public security apparatus, which could lead to close monitoring by the state. We will hear from a panel of representatives of NGOs active in China about the impact of the proposed new regulations and how these regulations would affect their activities.

Moderator: Paul Haenle, Director, Carnegie-Tsinghua Center for Global Policy

Elizabeth Knup, China Representative, Ford Foundation

Ji Hongbo, Acting Representative for China, The Asia Foundation

Pia MacRae, China Country Director, Save the Children

Jeremy Daum, Senior Fellow, The China Center, Yale Law School

Lester Ross, Vice Chair, Board of Governors of the American Chamber of Commerce

7-9 pm

Working Dinner

Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and legislators are rotated daily.

FRIDAY, April 1

7:30-9:30am **MORNING DISCUSSION WITH THE U.S. AMBASSADOR TO CHINA**

Max Baucus

11:30am-2pm *Participants travel to Nanjing*

China Eastern Airlines Flight #2822

2-6:30 pm *Afternoon Educational Site Visits in Nanjing*

FORD MOTOR COMPANY RESEARCH AND DEVELOPMENT CENTER

China has the world's largest car market and the Ford Motor Company is making significant investments here to meet those demands, with the introduction of energy-saving and innovative systems to address the needs of the growing car market while lessening emissions and improving energy efficiency. Research is also being done at this new Nanjing facility on new models of hybrids, electric cars and self-driving vehicles. With a staff of nearly 2,000 engineers, Ford also sees this Nanjing plant as playing a major role its global strategy. We will discuss with Ford management why they have made this investment in Nanjing and its implications for the U.S. and China.

Tang Jie, Ford Motor Research and Engineering Company

NANJING AMITY PRINTING COMPANY

This visit will provide an opportunity to explore the juxtaposition of a private business and religious freedom. Amity is a joint venture between the Amity Foundation and the United Bible Societies and has been producing Bibles for domestic and international markets in several languages, employing 400 Chinese in this plant on the outskirts of Nanjing since 1988. A total of 70 million Bibles have been printed for distribution in China over the last 28 years. The plant has continued to expand with modern printing presses and is equipped to produce 20 million hardbound books annually.

John Zhang, Nanjing Amity Printing Company

HOPKINS NANJING CENTER

We will visit the Hopkins-Nanjing Center for Chinese and American Studies (HNC) a partnership between Johns Hopkins University and Nanjing University now in its 30th year of operation, where we will have a colloquy with students and faculty. The concept is to provide graduate-level studies for an equal number of American and Chinese graduate students, and all must be bilingual. The center also has received direct support from the U.S. government and currently is the recipient of a USAID grant to support its only "open stacks" library in China. Many students from the HNC center go on to careers in business and government that utilize their immersion in U.S.-China studies. We will hear an

explanation of this unique arrangement and have an opportunity to discuss directly with students and American and Chinese faculty.

Neil Kubler, American co-Director Hopkins-Nanjing Center

7-9 pm

Dinner with Hopkins-Nanjing Center Students and Faculty

Students and faculty members will join us over dinner for more in-depth discussions. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and legislators are rotated daily.

SATURDAY, April 2

9 am –1pm

Roundtable Discussion

SECURITY/GLOBAL RESPONSIBILITY

Ongoing military and security concerns exist in the western Pacific, exacerbated by China's island reclamation project in the South China Sea. Regional relationships, including with American ally Japan, play a key role in these considerations. Cybersecurity has become a major area of concern. Beijing and Washington collaborate on stability on the Korean peninsula, antiterrorism and nonproliferation. However, a lack of trust underlies the security dimension of U.S.-China relations.

- **Is China a security concern for the U.S. and the Pacific region?**
- **As China grows in global stature, is it fulfilling its role as a "responsible stakeholder" in addressing shared global challenges?**
- **How does China's pursuit of its regional and global aspirations fit with America's goals?**
- **How can maritime security issues best be addressed?**
- **What is the nature of the changing relationship between China and Russia and its relevance to the U.S.?**
- **How will the recent cybersecurity agreement be enforced?**
- **How can China and the U.S. cooperate on combatting terrorism and policy challenges in the Middle East?**

Admiral Dennis Blair, former U.S. Pacific Fleet Commander

Zhang Tousheng, Director of Research,

China Foundation for International Strategic International Studies

Akio Takahara, Professor, University of Tokyo

1-2pm

Working Lunch

2-4pm

Educational Site Visit

MEMORIAL TO THE VICTIMS OF THE NANJING MASSACRE

Participants will be joined by Chinese and Americans students from the Hopkins-Nanjing Center for a visit to the Memorial for the Victims of the Nanjing Massacre. The students will provide additional commentary about the historical and contemporary interpretations at the memorial, which provides insight into the relationship between China and Japan.

6:30-8:30pm *Working Dinner*

Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and legislators are rotated daily.

SUNDAY, April 3

9-11am *Roundtable Discussion*

GOVERNANCE/CIVIL SOCIETY

Human rights concerns and issues of equity and fairness continue to be irritants in the relationship, as does China's clamp-down on Internet freedom, cybersecurity concerns, and efforts to limit Hong Kong's democratic aspirations. President Xi Jinping's anti-corruption campaign has consolidated power and taken a more assertive stance on key issues. Is Xi "China's most authoritative leader since Mao" and if so, what relevance does that have for U.S. policymakers?

- **How is the "one country, two systems" arrangement working for Hong Kong?**
- **What are the prospects for Taiwan's governance and its relevance to the U.S.?**
- **What relevance is the outcome of the recent Taiwan elections for U.S.-China relations?**

*Harry Harding, Visiting Professor of Social Science,
Hong Kong University of Science and Technology*

Yu-Shan Wu, Professor of Political Science, National Taiwan University

11am-1 pm *Roundtable Discussion*

- **What do China's leadership trends portend for U.S.-China relations?**
- **Is China's authoritarian capitalism viable and durable?**
- **How will the Fall 2016 U.S. elections affect U.S.-China relations?**

Orville Schell, Director, Center on U.S.-China Relations, Asia Society

Robert Daly, Director, Kissinger Institute, Wilson Center

*Wang Dong, Director, Center for Northeast
Asian Strategic Studies, Peking University*

2-4 pm *Educational Site Visits*

CHINA MODERN HISTORY MUSEUM

This is the presidential palace of Chiang Kai-Shek's nationalist government, which ruled China from 1927 until he was ousted in 1949 when communist forces captured Nanjing and his government fled to Taiwan. In the late 1980s it was transformed into the museum about 20th century China, including the history of the Republic of China and the history of the People's Republic of China. Historical and contemporary commentary will be provided.

Orville Schell, Director, Center on U.S.-China Relations, Asia Society

NANJING CITY WALL

This 600-year old brick wall, built in the early Ming Dynasty, demarcated the ancient city of Nanjing, which was formerly the capital of China. Its total length was 35 kilometers and 25 kilometers of the wall still remains today. It is the most ancient city wall still standing in China and in the world as a whole today. It is witness to the achievements of ancient China in the planning of urban defense facilities, craftsmanship of city wall construction, and overall development of feudal capitals. Historical and contemporary commentary will be provided.

Robert Daly, Director, Kissinger Institute, Wilson Center

6:30-8:30pm *Working Dinner*

Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and legislators are rotated daily.

MONDAY, April 4:

All participants depart Nanjing in the morning; Americans continue on transpacific travel and arrive in the U.S. late afternoon on the same day.

Rep. Mo Brooks and Mrs. Brooks depart Nanjing at 8:45 am on Air China Flight #1820 and arrive in Huntsville, Alabama at 10:25 pm on American Airlines Flight# 5767.